# Regularization Methods for the Restricted Bayesian Network BESOM

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## Outline

• Our research goal:

Implement a cerebral cortex model

- Our developing model: BESOM model
- Local minimum problem of BESOM
- Regularization methods
- Network translation technique in order to use EM algorithm

#### Our research goals

• Long term goal : **Human-like intelligence** by WBA approach

- Short term goals:
  - Implement a cerebral cortex model
    - Our working hypothesis : The cerebral cortex is a kind of Bayesian network
  - Implement visual area, language area, motor area etc. using the cerebral cortex model



#### Cerebral cortex

• Realizes human's intelligence.

- Sensory, Motor, Language, ...

• It is important to reveal the informationprocessing principle of the cortex.





from http://en.wikipedia.org/wiki/Brodmann\_area

# Bayesian network models of cerebral cortex

- Pattern recognition
   [George and Hawkins 2005][Hasegawa and Hagiwara 2010]
- Electrophysiological phenomena [Lee and Mumford 2003] [Rao 2005] [Chikkerur, Serre, Tan and Poggio 2010][Hosoya 2010][Hosoya 2012]
- Psychophysical phenomena [Chikkerur, Serre, Tan and Poggio 2010]
- Anatomical structures
   [George and Hawkins 2005] [Ichisugi 2007] [Rohrbein, Eggert and Korner 2008] [Ichisugi 2011]
- Motor areas [Hosoya 2009]
- The others [Litvak and Ullman 2009][Ichisugi 2011]

A cerebral cortex seems to be a huge Bayesian network with layered structure like Deep Learning.

# What is Bayesian network?

- Very efficient and expressive data structure for probabilistic knowledge.
  - If a joint probability table can be factored into small conditional probability tables (CPTs), time and space complexity will decrease.

$$ex.: P(S,W,R,C) = P(W \mid S,R)P(C \mid R)P(S)P(R)$$



P(S=yes)
0.2

CPTs

=yes)	

P(R=yes)
0.02

S	R	P(W=yes S,R)
no	no	0.12
no	yes	0.8
yes	no	0.9
yes	yes	0.98

R	P(C=yes R)
no	0.3
yes	0.995

# Similarities between Cerebral Cortex and Bayesian network

- Asymmetric and bidirectional connections between lower and higher areas.
- Local and asynchronous communications.
- Non negative values.
- Normalization of values.
- Hebb's learning rule.
- Context dependent recognition.
- Behavior based on Bayesian Statistics.

#### Deep Learning using a Bayesian network is thought to be promising Because of its similarity to the human

- Because of its similarity to the human brain
- Inference in Bayesian networks can sometime be executed with low computational complexity

- Top-down information flow
- It is easy to build in prior knowledge about learning targets

#### BESOM (BidirEctional SOM) [Ichisug 2007]

- A Bayesian network model of cerebral cortex
- Combination of Bayesian Networks, Deep Learning, Self-Organizing Maps and Independent Component Analysis

Incomplete technology, however

- Our goal:
  - Scalability of computation amount
  - Scalability of accuracy
  - Usefulness as a machine learning algorithm
  - Plausibility as a neuroscientific model

#### **BESOM Ver.3.0 features**

Restricted Conditional Probability Tables:

$$P(x|u_1, ..., u_m) = \frac{1}{m} \sum_{k=1}^{m} P(x|u_k)$$

- Scalable recognition algorithm [Ichisugi, Takahashi 2015]
- Regularization methods:
  - Win-rate penalty
  - Lateral-inhibition penalty

Today's topics

- Neighborhood learning
- Edge selection

# 4 layer BESOM for supervised learning



Ovals are nodes (random variables)

White circles inside are units (possible values for the random variables)

# **Objective of learning**

• Calculate MAP estimator of the parameter  $\theta$ 

$$\theta^* = \arg \max_{\theta} \left[ \prod_{i=1}^t P(\mathbf{i}(i) \mid \theta) \right] P(\theta)$$
$$= \arg \max_{\theta} \left[ \prod_{i=1}^t \sum_{\mathbf{h}} P(\mathbf{h}, \mathbf{i}(i) \mid \theta) \right] P(\theta)$$

To estimate parameter, the online EM (Expectation-Maximization) algorithm or its approximation is used.



# Problem of utilization ratio of units



Seems to be very bad local minimum.

- Wastes units.
- Low recognition rates. 63.6% MNIST

Learned with proposed priors.

Learned with **no priors**. Most units never become active.

Each image is the mean image of inputs which activate the unit. (Selected 10 units of L2 nodes are shown.)

White image indicate the unit never become active.

# Win-Rate penalty

- All units should be **used evenly**.
- Penalties are imposed when the histograms of win-rates are difference from the uniform distributions.

$$P^{WinRate}(\theta) = \prod_{X \in \mathbf{X}} e^{-C^{WinRate} D_{KL}(Q(X)||P(X;\theta))}$$
(8)  
$$Q(X = x_i) = 1/s$$
(9)

Problem: When the parameter has a complex prior distribution, it is not obvious how to perform the EM algorithm efficiently.

### Equivalent network

 Fortunately, the network with win-rate penalty can be expressed as an approx.
 equivalent network without prior.

- Then, EM is straightforwardly applicable.



# Lateral-Inhibition penalty

- Nodes which shares the same child nodes should be independent.
  - Otherwise, redundant representation is acquired by learning.
- Penalties are imposed when designated pairs of nodes are **not independent**.

$$P^{Lateral}(\theta) = \prod_{(U,V)\in L} e^{-C^{Lateral}I(U,V;\theta)}$$
(17)  
$$I(U,V;\theta) = \sum_{u} \sum_{v} P(u,v;\theta) \log \frac{P(u,v;\theta)}{P(u;\theta)P(v;\theta)}$$
(18)

### Equivalent network

• This penalty can also be represented by an approximately equivalent network without prior.



# Evaluation Result (MNIST)

	With Win-Rate Penalty	Without Win-Rate Penalty						
With Lateral-Inhibition	80.6%	81.8%						
Without Lateral-Inhibition	82.2%	63.6%						

For both penalties, the recognition rate was higher than when no penalties were applied.

This result also shows that two prior distribution can be applied simultaneously; however, it does not show the best accuracy in this case.

### Status of utilization of units

	With									V	Vit									
	Win-Rate Penalty									Win-Rate Penalty										
	2	4	1	0	8	8	9	4	6		X			9	7	I	9	8	9	
	8	5	7	1	7	2	9	2	0		7	2		Ð	0	3	7	5	9.	
	3	G	5	3	1	Z	6	6	8		4			5	2	Ó	8	2	0	
	8	8	в	ø	9	ß	7	2	3		ø	0	8	9	0	5	6	0	7	
With	0	3	4	3	9	0	2	4	3		0	9		2	8		0	6	6	
	0	0	8	2	9	0	2	3	3		3	3	Ð	3	9		3		3	
Lateral-Inhibition	q	g	6	8	5	9	Ø	6	3		9		6	6	0		5	3	9	
penalty	7	6	9	3	7	7	1	7	9		2	3	Ŧ		6		Ķ		4	
	5	7	6	8	0	6	з	9	8		4	ı	4	7	8	2	0	9	7	
	9	Ø	ŀ	3	3	0	4	Z	2		1	T		4	9	0	8	2	5	
		3	2	3	3			6	I						0	0				
	4	0	4	I	7	0	з.		9					8						
		8	5	I	5	5	9	4	5											
	I	9	3	I	0	2	7	ą	7						I					
Without		7			9	0	7	0	0											
Lateral-Inhibition		5	7		4			7	3						3					
penalty		3	5	8	9	2		9	0											
ponany	9	8	3	0	3	6	¥	7												
	5	2	8	4	2	2	6	7	3											

#### Conclusion

- Two regularization methods for parameter learning of layered Bayesian networks like deep learning are proposed.
  - Win-Rate penalty and Lateral Inhibition penalty
  - Standard EM can be used for learning by network translation technique
- They may alleviate both local minima and overfitting problems.