

# 高温超伝導の数値的研究

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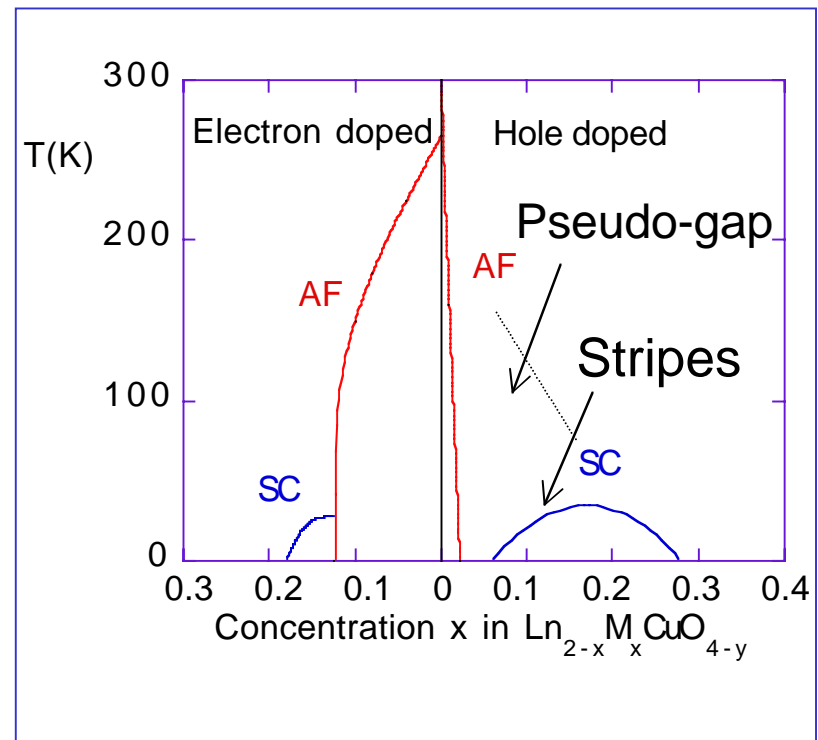
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1. Introduction
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6. Stripes in high- $T_c$  cuprates
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# 1. Introduction

Key words: Physics from U (Coulomb interactions)

- A possibility of superconductivity  
Superconductivity from U
- Competition of AF and SC
- Incommensurate state  
Stripes and SC  
Compete and Collaborate
- Stripes in the lightly-doped region
- Singular Spectral function



# Purpose of Theoretical study

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## 1. Origin of the superconductivity

- Symmetry of Cooper pairs
- Mechanism of attractive interaction

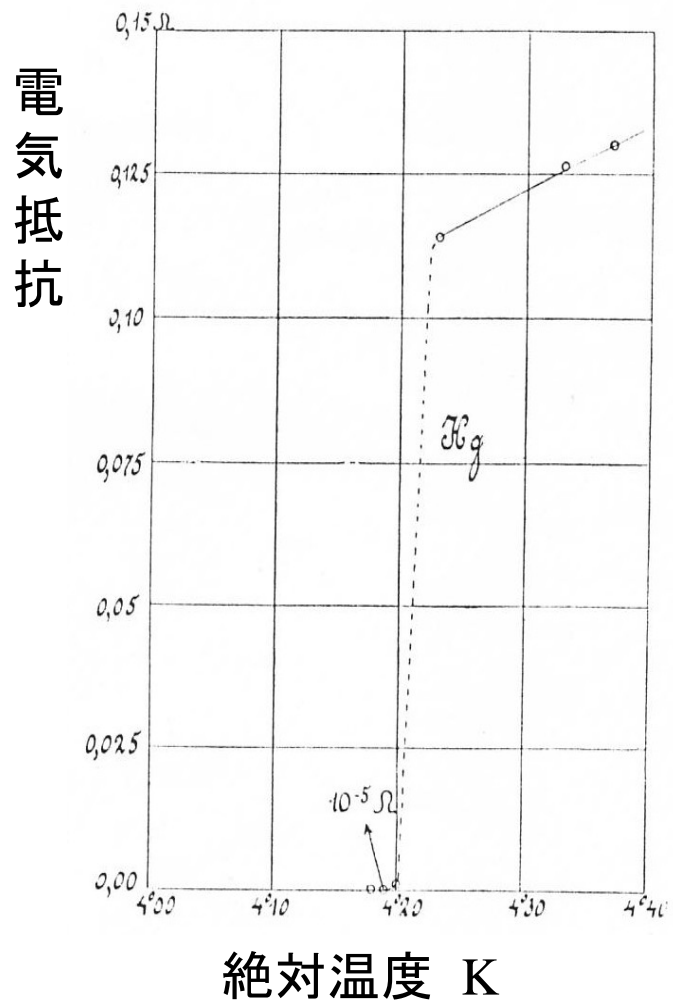
Coulomb interaction  $U$ , Exchange interaction  $J$

## 2. Physics of Anomalous Metallic behavior

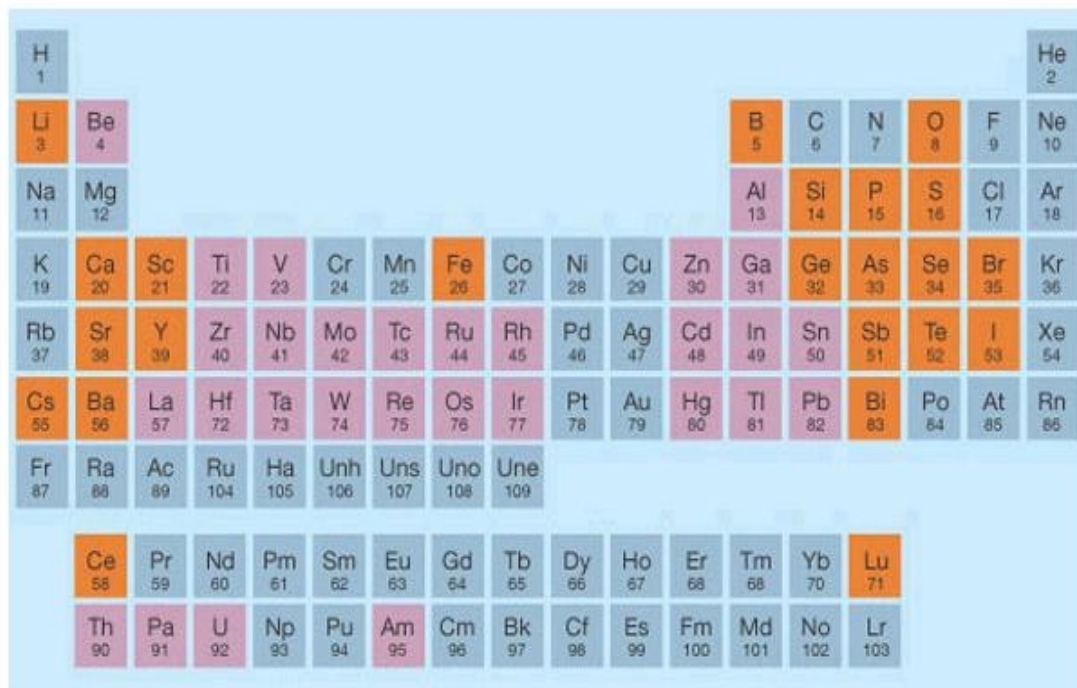
- Inhomogeneous electronic states: stripe
- Pseudogap phenomena
- Structural transition LTO, LTT

# 2. Superconductivity

1911 カマリン・オネス



超伝導になる元素



冷やすと超伝導になる

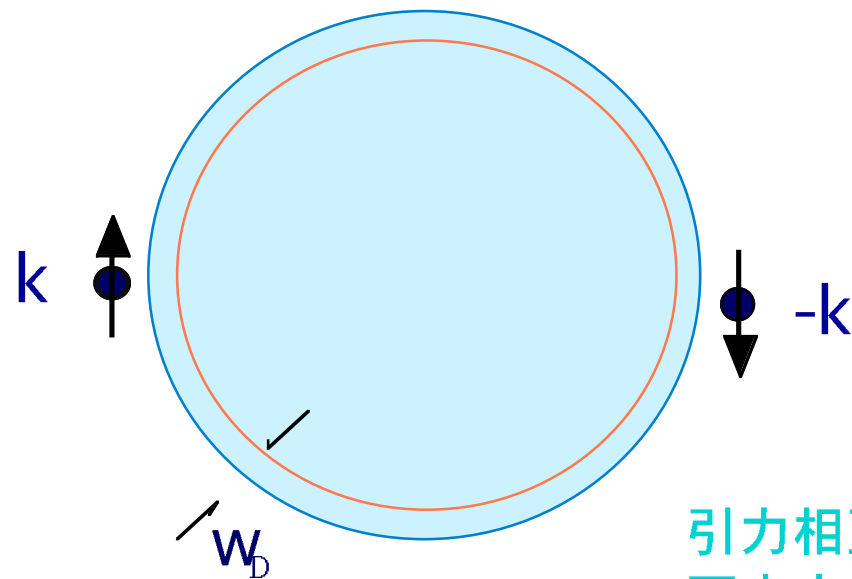


圧力をかけると初めて超伝導になる

Y. Maeno

# 巨視的量子現象

$k$ と $-k$ の電子がペアをつくり、ゲージ（位相）不変性が破れた状態



BCS理論

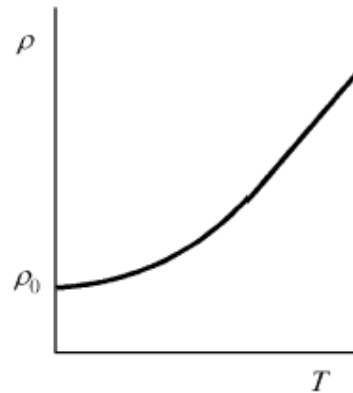
電子には位相という仮想的空間内での回転の自由度があり、勝手な方向を向いている。が、超伝導状態ではすべての電子ペアが同じ方向を向いている。

対称性の破れ

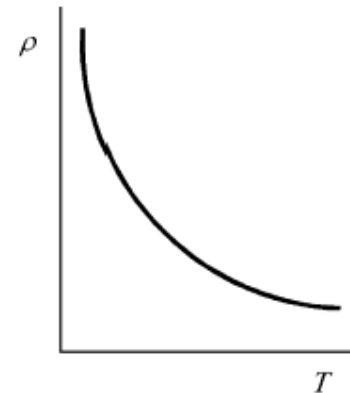
引力相互作用によるフェルミ面の不安定性によって超伝導が引き起こされる

# 超伝導体の特徴

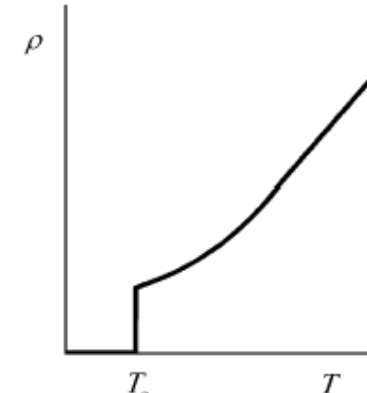
電気抵抗 0



金属

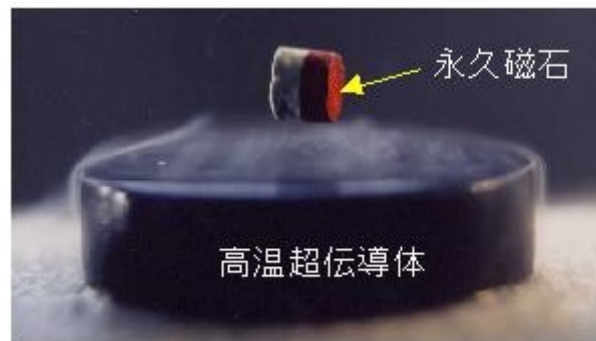


半導体



超伝導体

マイスナー効果



磁場は超伝導体に入り込むことができない

フッシング効果

超伝導体に磁石を近付けておいて冷やすと超伝導体内部に入り込んだ磁場により（第2種超伝導体）、つりあげることができる。

# 超伝導

(近藤淳「超伝導」(固体物理)より)

BCS理論 どうして電子対を考えたか

超伝導状態: 一つのSlater行列式では表わせない

電子間引力  $H_1$

$$H = H_0 + H_1 \quad \Psi = \sum_j c_j \Psi_j \quad \Psi_j : \text{Slater行列式}$$

$\Psi_0 : \text{Fermi球}$

波動関数  $\Psi = c_0 \Psi_0 + \sum_{j \neq 0} c_j \Psi_j$       摂動計算  $c_0 \approx 1$

$$c_j \langle \Psi_0 H_1 \Psi_j \rangle < 0$$

エネルギー  $\langle \Psi H \Psi \rangle = \sum_j c_j^2 \langle \Psi_j H_0 \Psi_j \rangle + \sum_i c_i c_j \langle \Psi_i H_1 \Psi_j \rangle$

もし、すべての  $\langle \Psi_i H_1 \Psi_j \rangle < 0$  なら、すべての  $c_j > 0$

$\Psi_j$ としてペアーの状態をとるならば、 $c_j > 0$ としてエネルギーを下げるができる。



# 超伝導 (2)

電子対

$$\Psi_i = |k_1 \uparrow - k_1 \downarrow, k_2 \uparrow - k_2 \downarrow, k_3 \uparrow - k_3 \downarrow, L\rangle$$

$$\Psi_j = |k'_1 \uparrow - k'_1 \downarrow, k_2 \uparrow - k_2 \downarrow, k_3 \uparrow - k_3 \downarrow, L\rangle$$

順番を変えても  
符号は変わらない。

$$\langle \Psi_i | H_1 | \Psi_j \rangle = \langle k'_1 - k'_1 | V | k_1 - k_1 \rangle < 0$$

非対角要素を常に負にできる

基底状態はすべての対状態の一次結合で表わされる:

$$\Psi = \sum c_{k_1 k_2 L} |k_1 \uparrow - k_1 \downarrow, k_2 \uparrow - k_2 \downarrow, L\rangle$$

独立対近似 (一体近似) をすると

$$\Psi = \sum c_{k_1} c_{k_2} L |k_1 \uparrow - k_1 \downarrow, k_2 \uparrow - k_2 \downarrow, L\rangle$$

N電子項のみを取り出すとして

$$\Phi = \prod_k (u_k + v_k |k \uparrow - k \downarrow\rangle)$$

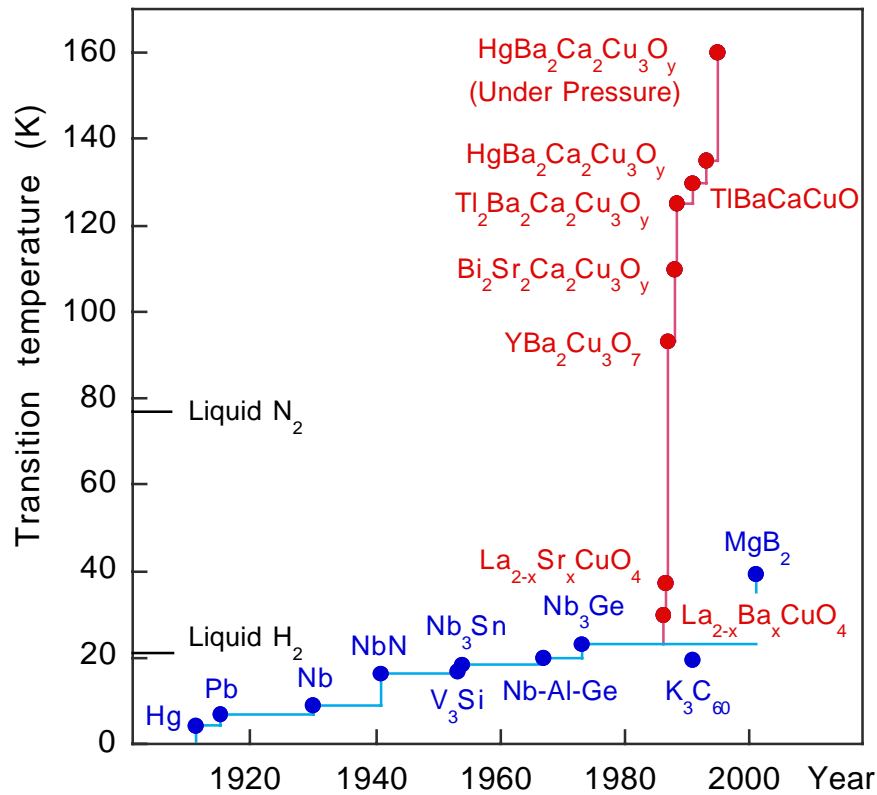
BCSの波動関数

$$\frac{\sqrt{(N - \langle N \rangle)^2}}{\langle N \rangle} \propto \frac{1}{\sqrt{\langle N \rangle}}$$

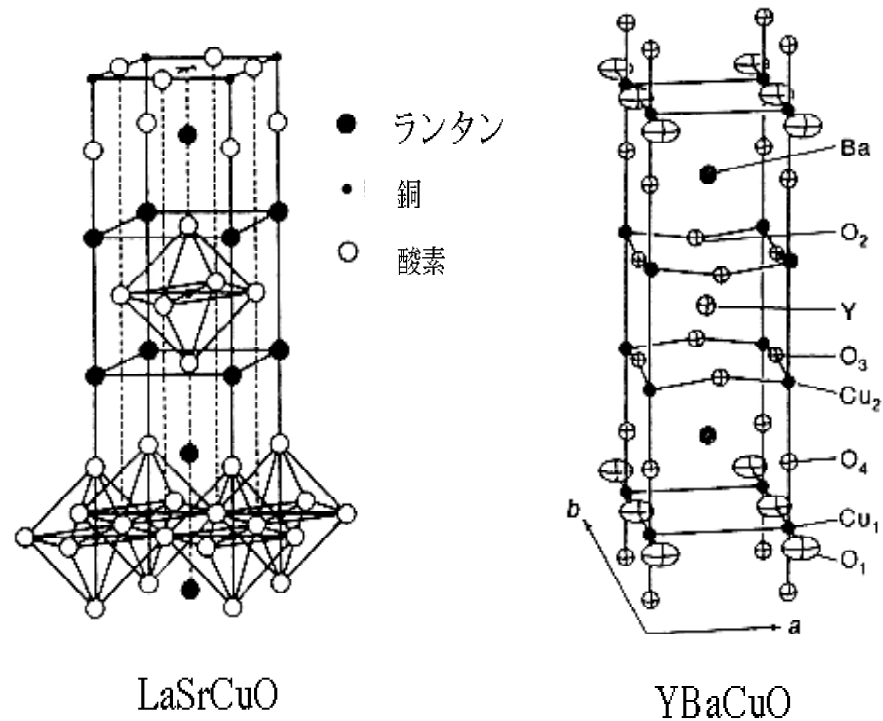
粒子数のゆらぎは小さい

# 3. 高温超伝導

## 超伝導臨界温度

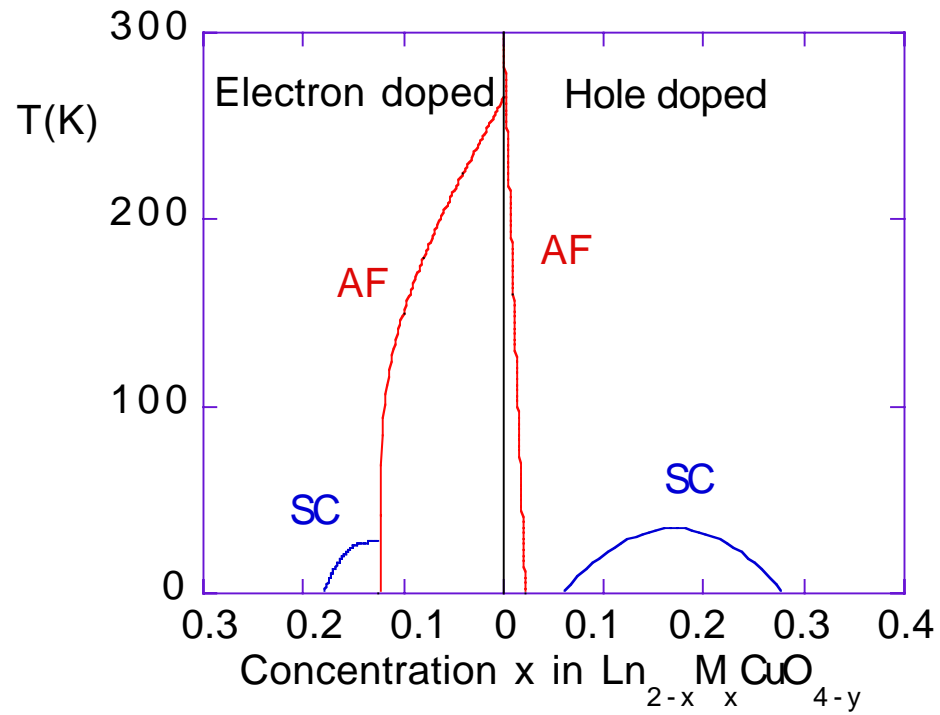


## ペルブスカイト 銅酸化物

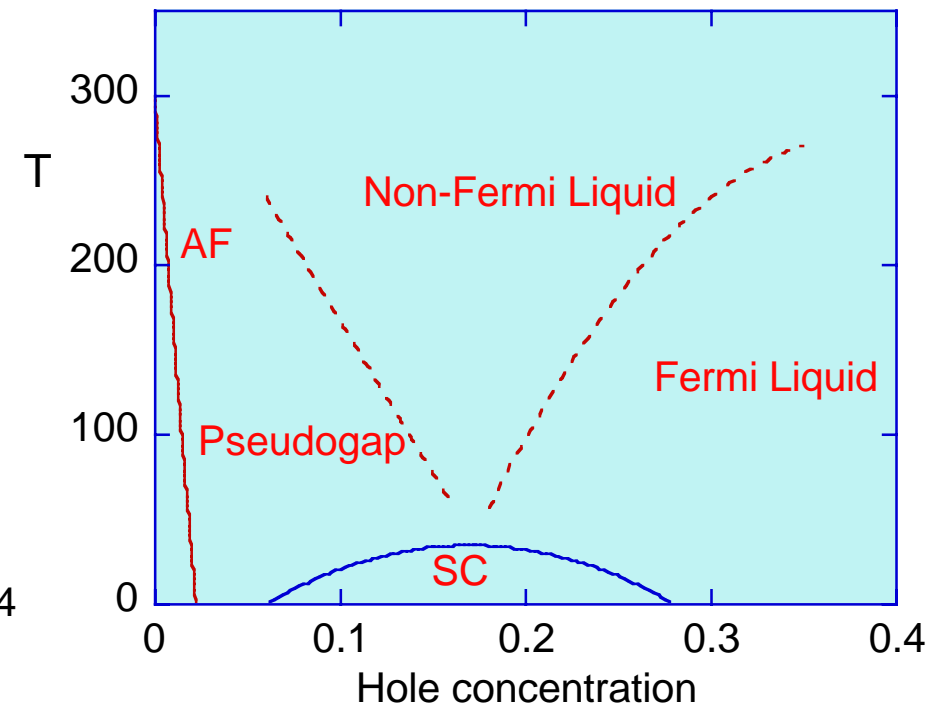


# 高温超伝導の相図

Phase diagram



A Theoretical suggestion



# 電気抵抗の温度依存性

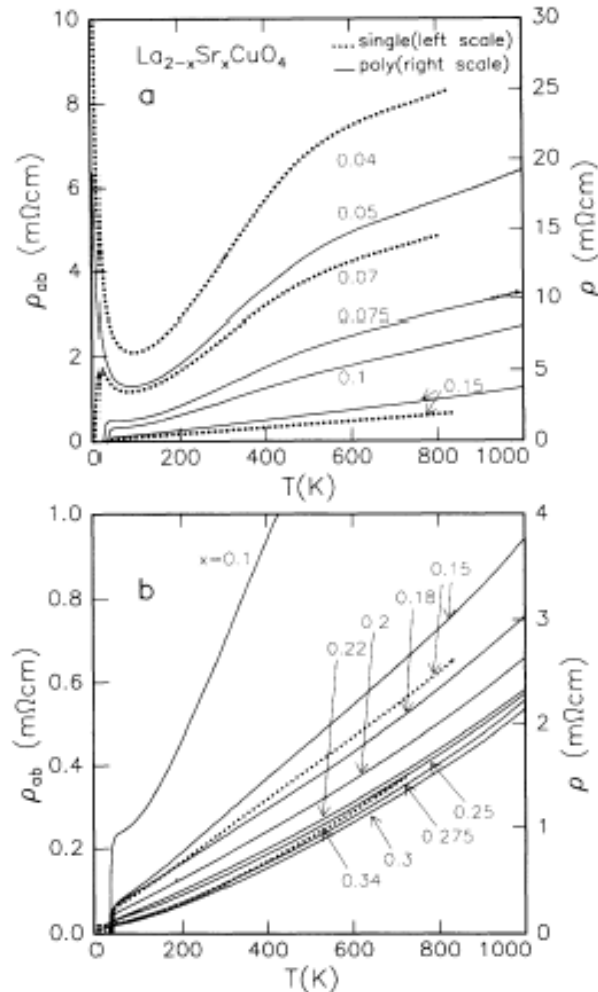


FIG. 1. The temperature dependence of the resistivity for  $\text{La}_{2-x}\text{Sr}_x\text{CuO}_4$ . (a)  $0 < x \leq 0.15$ , (b)  $0.1 \leq x < 0.35$ . Dotted lines, the in-plane resistivity ( $\rho_{ab}$ ) of single-crystal films with (001) orientation; solid lines, the resistivity ( $\rho$ ) of polycrystalline materials. Note,  $\rho_M = (h/e^2)d = 1.7 \text{ m}\Omega \text{ cm}$ .

H. Takagi et al. PRL (1992)

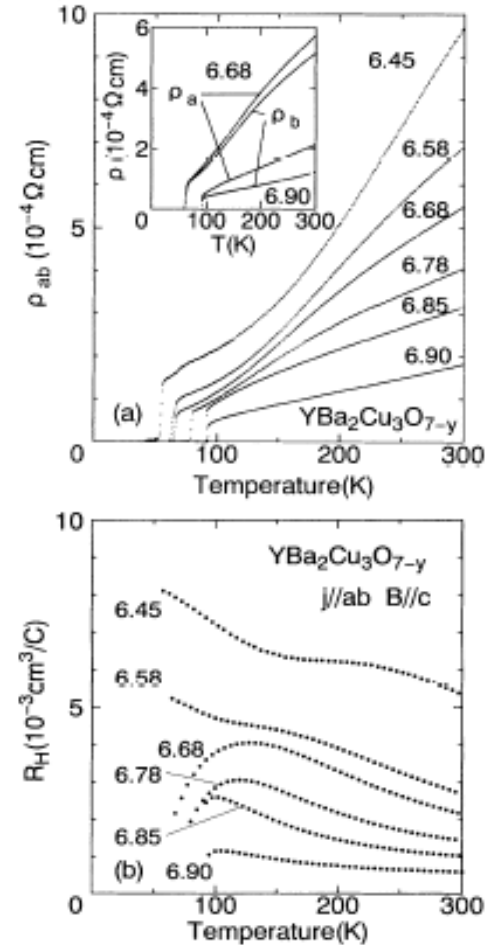


FIG. 1. (a) Temperature dependence of in-plane resistivity of twinned  $\text{YBa}_2\text{Cu}_3\text{O}_{7-y}$  crystals with oxygen concentration  $7-y \sim 6.90, 6.85, 6.78, 6.68, 6.58, \text{ and } 6.45$ . Inset: Temperature dependence of  $\rho_a$  and  $\rho_b$  for detwinned crystals of  $T_c = 90$  and  $60$  K. (b) Temperature dependence of  $R_H$  of twinned crystals measured under  $j \parallel ab$  plane and  $\mathbf{B} \parallel c$  axis at  $B = 5$  T.

T. Ito et al. PRL(1991)

# 比熱

LSCO

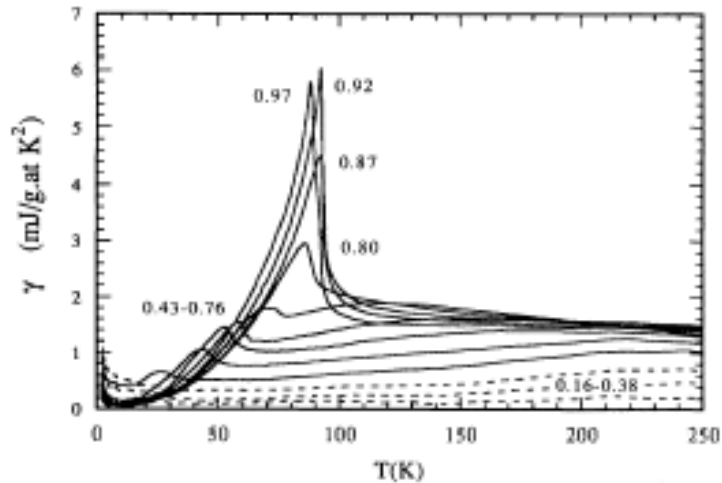


FIG. 4. Electronic specific heat coefficient  $\gamma(x, T)$  vs  $T$  for  $\text{YBa}_2\text{Cu}_3\text{O}_{6+x}$  relative to  $\text{YBa}_2\text{Cu}_3\text{O}_6$ . Values of  $x$  are 0.16, 0.29, 0.38, 0.43, 0.48, 0.57, 0.67, 0.76, 0.80, 0.87, 0.92, and 0.97.

Loram et al., Phys. Rev. Lett. 71, 1740 (1993)

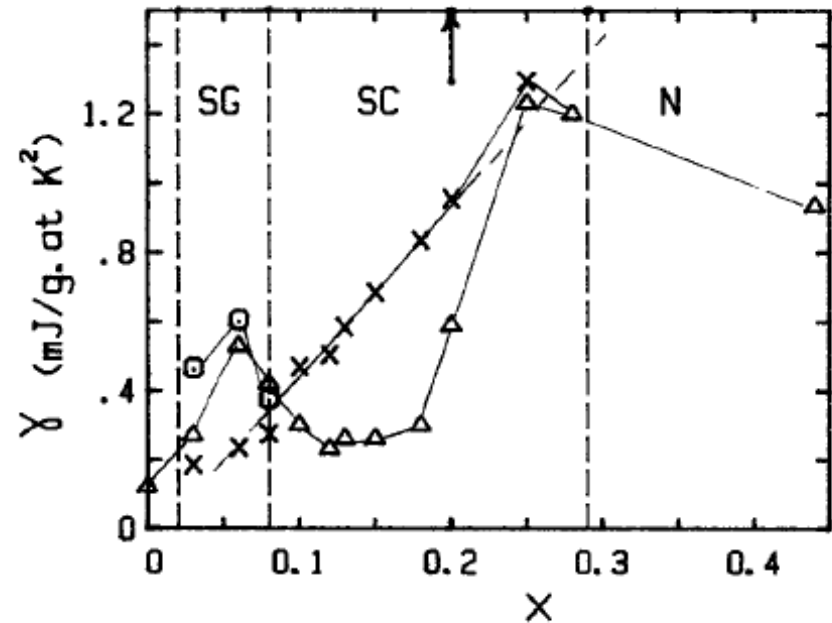


FIGURE 2

$\gamma$  vs  $x$ . for  $x \leq 0.08$   $\Delta, \gamma(2\text{K}); \circ, \gamma(8\text{K}); \times, \gamma(40\text{K})$   
for  $x \geq 0.1$   $\Delta, \gamma(0); \times, \gamma_n$

Loram et al., Physica C162-164, 498 (1989)

# 磁気緩和率

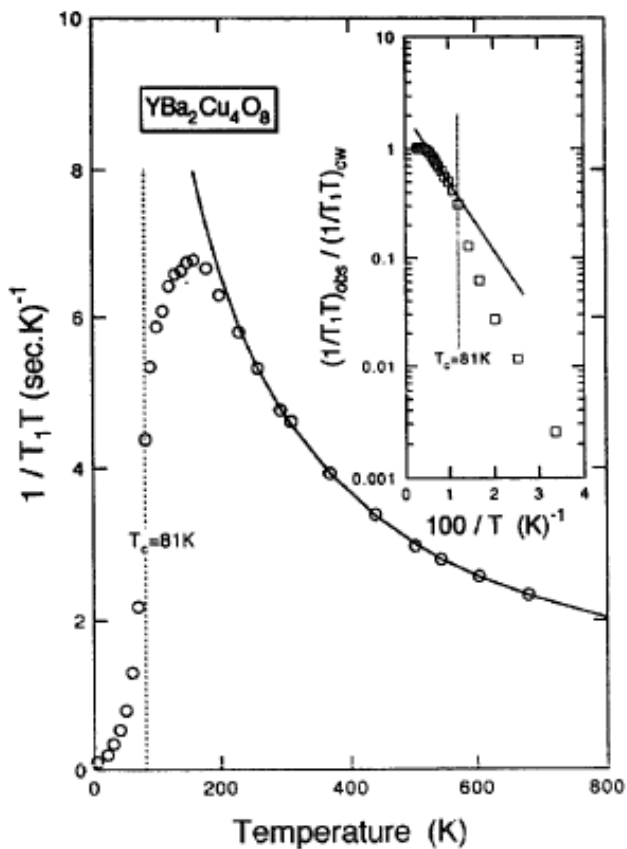


Fig. 1. Temperature dependence of the nuclear spin-lattice relaxation rate  $1/T_1T$  for Cu(2) sites of  $YBa_2Cu_4O_8$ . The solid curve shows the best fit of the data to Eq. (1) for  $T > 250$  K. The inset shows the Arrhenius plots for the ratio of the observed  $(1/T_1T)_{obs}$  to the expected  $(1/T_1T)_{cw}$  from Eq. (1), and the best fit of the data to Eq. (2) is shown by the solid line.

$YBa_2Cu_4O_8$

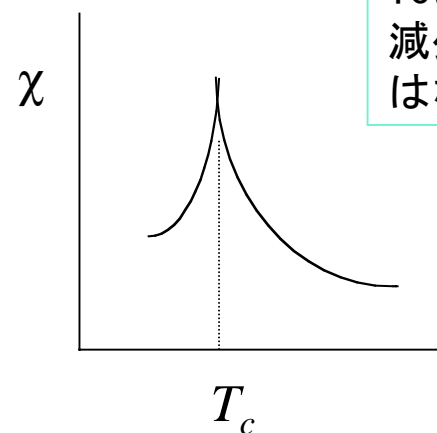
アンダードープ域  $T_c = 81K$

$T_c$ より上の温度から帯磁率が下がり始める。



擬ギャップ

スピンゆらぎ理論によると



$T_c$ より上から減少することはない。

# 量子臨界現象

## 量子相転移

- T=0で起こる相転移
- 量子ゆらぎが重要
  - 量子ゆらぎにより引き起こされる
- 圧力、磁場変化、元素置換等による相転移
- 量子臨界点（相転移点）の近くでは非フェルミ流体の振る舞いが見られることがある。
  - 抵抗、比熱、帯磁率

スピンゆらぎ理論 (Millis, Moriya)

3D	C/T	$\chi(Q)$	$\rho$	$1/T^1T$
Ferro.	$-\ln T$	$T^{-4/3}$	$T^{5/3}$	$T^{-4/3}$
AF	$T^{1/2}$	$T^{-3/2}$	$T^{3/2}$	$T^{-3/4}$

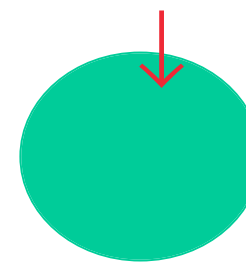
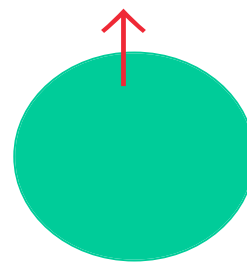
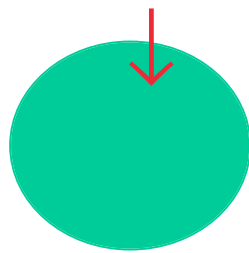
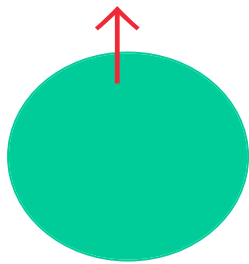
2D	C/T	$\chi(Q)$	$\rho$	$1/T^1T$
Ferro.	$T^{-1/3}$	$(T \ln T)^{-1}$	$T^{4/3}$	$\chi(Q)^{3/2}$
AF	$-\ln T$	$T^{-1}$	$T$	$T^{-1}$

(『重い電子系の物理』 (大貫・上田より))

- 2D Ferroについては、 $\chi^{-1}$ は $T^{-4/5}$ であり、低温でCurie-Weissとする文献もある。  
 $1/T^1T \sim \chi^{3/2}$ は一致している。

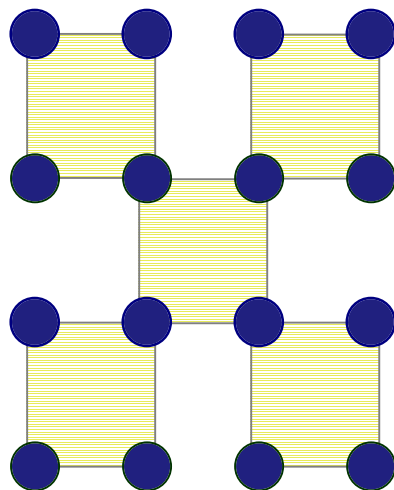
# 3. Hubbard Model - Metal-Insulator Transition -

Itinerant Electrons



Electrons

Atoms



Square  
Lattice

Mott transition

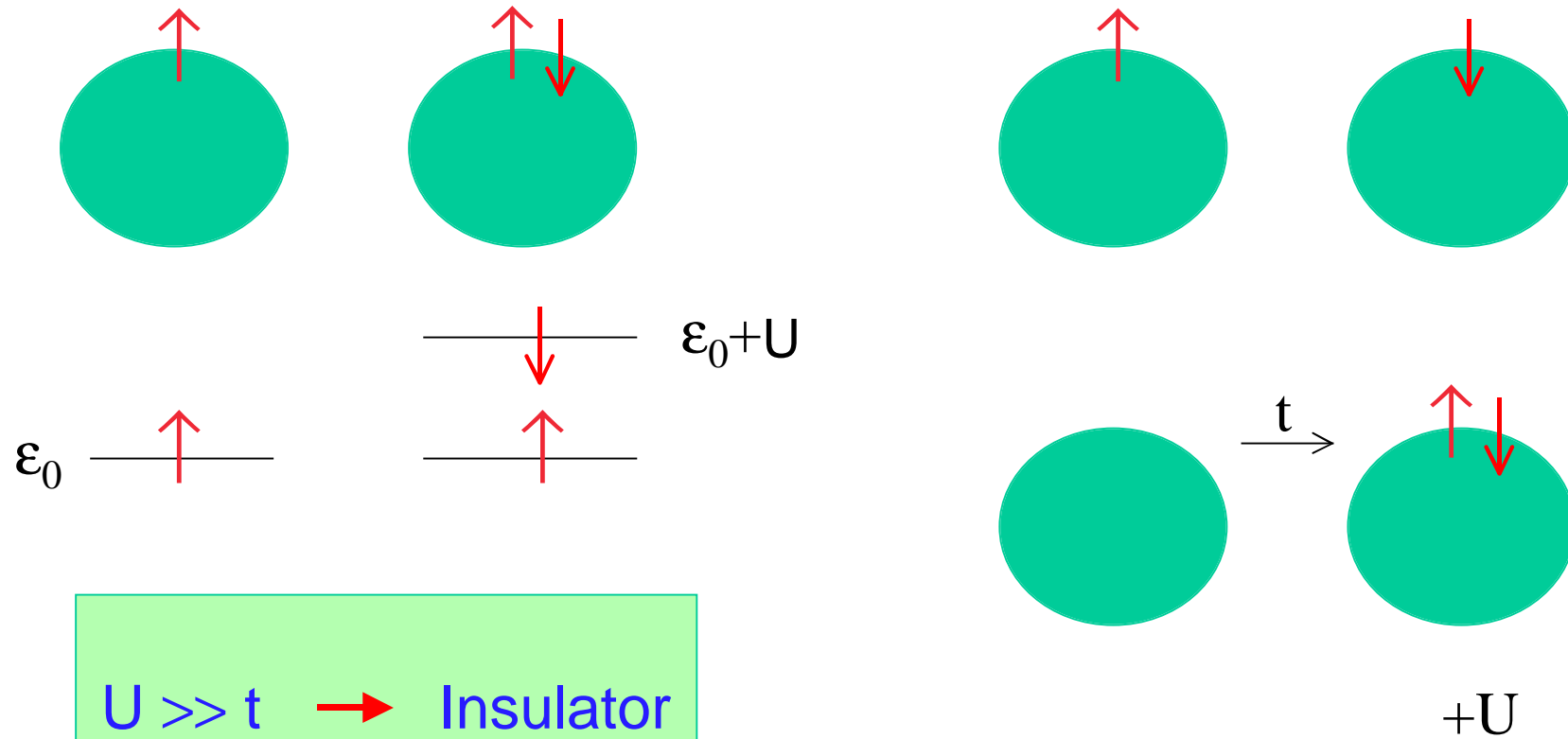
MnO, FeO, CoO,  $\text{Mn}_3\text{O}_4$ ,  $\text{Fe}_3\text{O}_4$ ,  
NiO, CuO

**Insulator:** Coulomb interaction is  
Important !

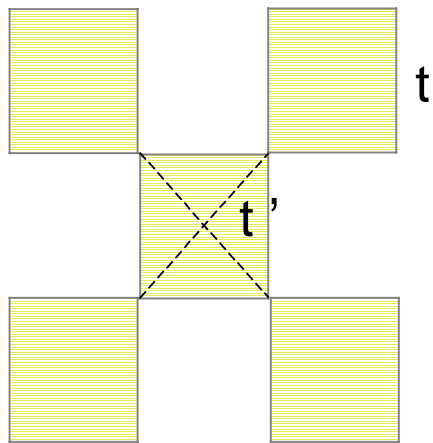


# Hubbard Model I

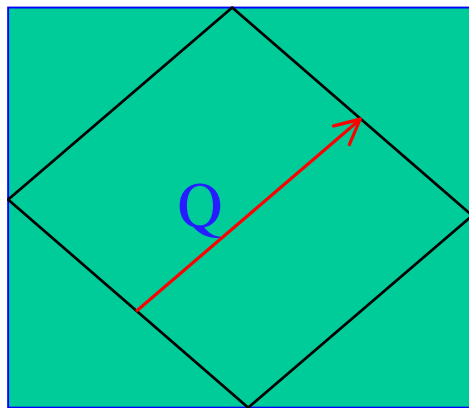
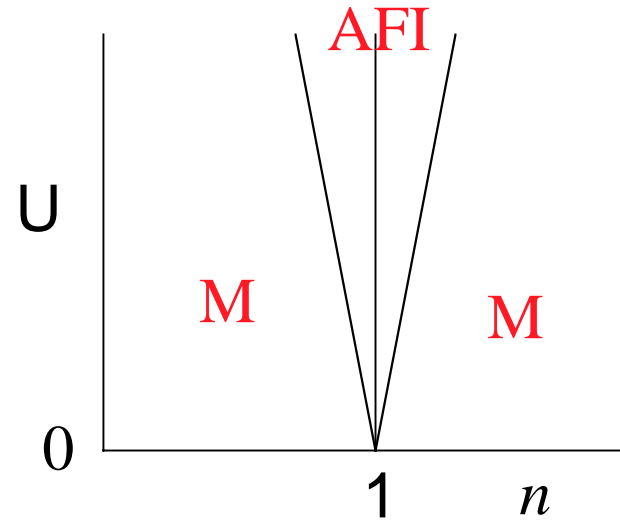
Coulomb interaction



# Hubbard Model II

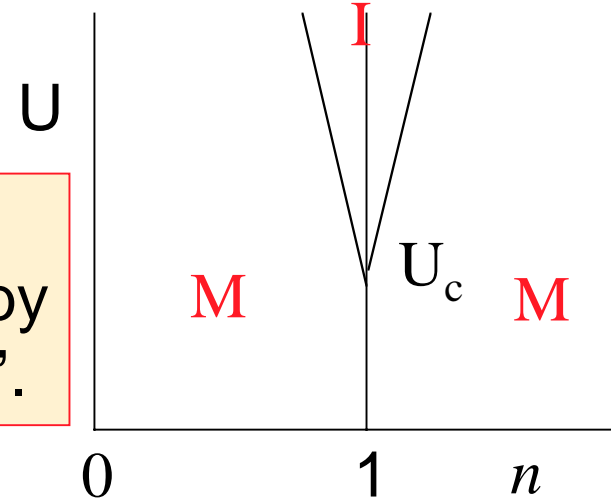


$$t' = 0$$



$$t' = 0$$

$$t' > 0$$



M-I transition  
Is controlled by  
n.n. transfer  $t'$ .

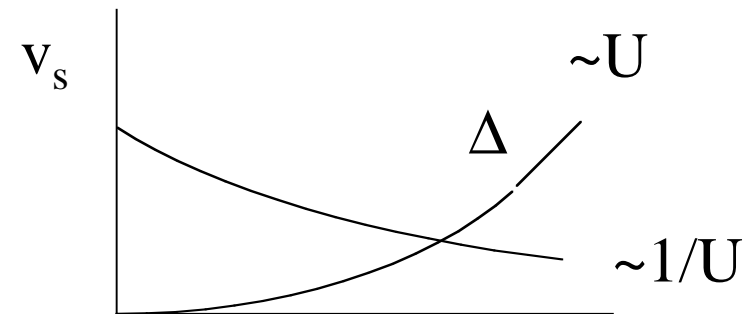
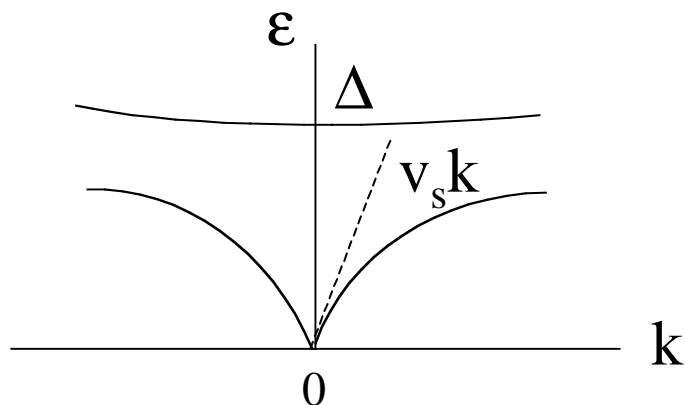
# Hubbard Model III

Hartree-Fock theory (Half-filled)

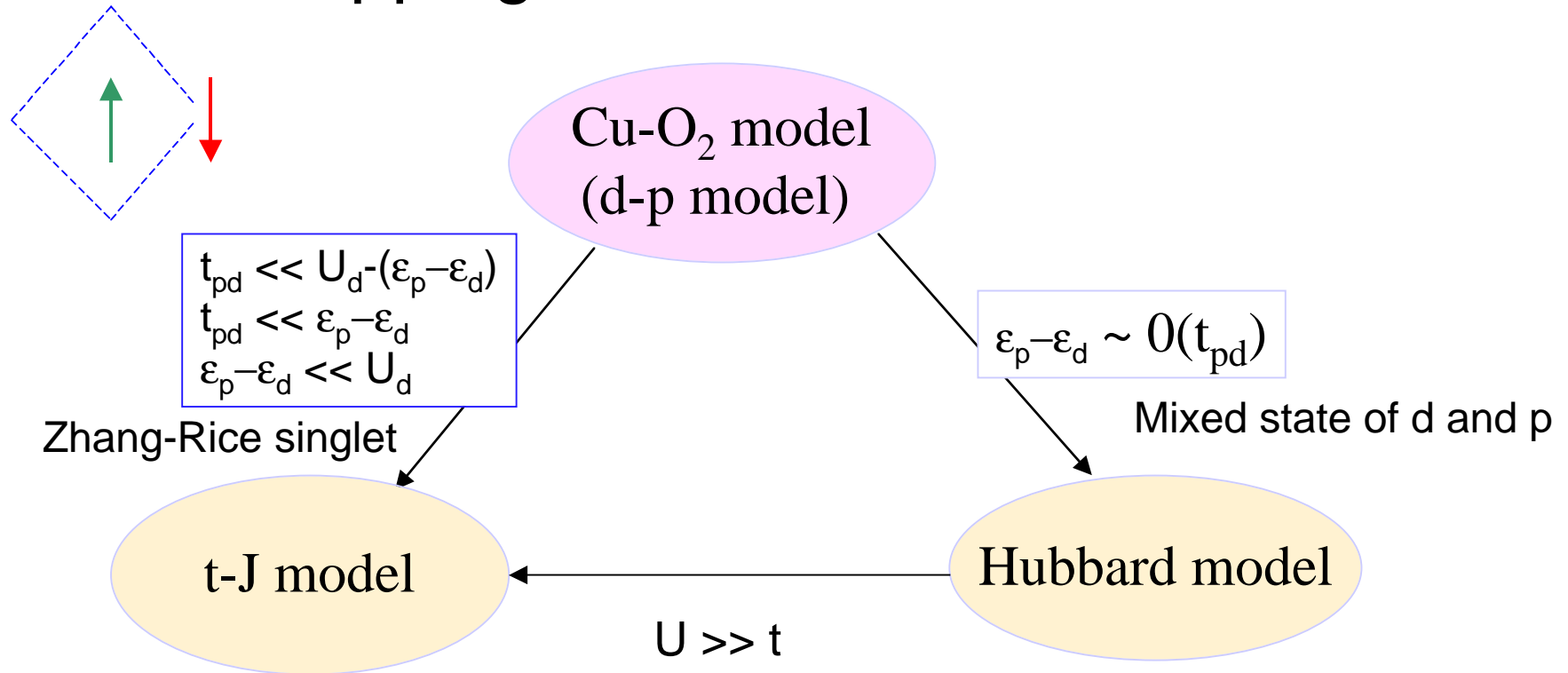
$$\begin{array}{lll} \text{AF Gap } \Delta = Um & D \sim t e^{-2\pi t/U} & d = 1, 3 \\ & \sim t e^{-2\pi(t/U)^{1/2}} & d = 2 \end{array}$$

1D Hubbard model

	$U \ll t$	$U \gg t$
Hubbard gap $\Delta$	$(16/\pi)\sqrt{tU}e^{-\pi/(2U)}$	$U$
Spin-wave velocity $2v_s/\pi = J$	$(4t/\pi)(1 - U/4\pi t)$	$4t^2/U$



# Mapping of the Hubbard Model



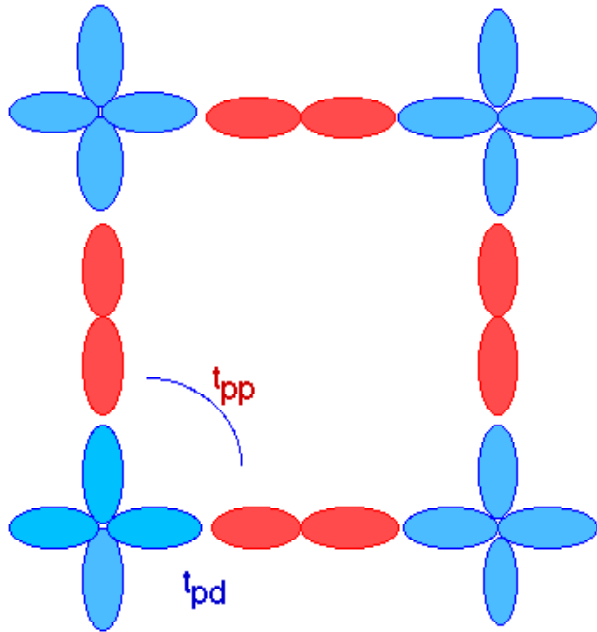
$$H = -t \sum_{\langle ij \rangle \sigma} (c_{i\sigma}^+ c_{j\sigma} + h.c.) + J \sum_{\langle ij \rangle} S_i \cdot S_j$$

- Non-dopingでは絶縁体
- 反強磁性絶縁体にドーブされたホールのモデル

$$H = -t \sum_{\langle ij \rangle \sigma} (c_{i\sigma}^+ c_{j\sigma} + h.c.) + U \sum_i n_{i\uparrow} n_{i\downarrow}$$

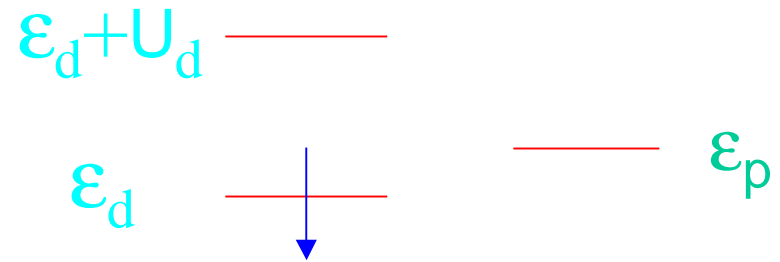
- 金属—絶縁体転移のモデル
- pホールはdと強く混成するモデル

# d-p Model



Model for CuO<sub>2</sub> plane

Non-doping (half-filling) case



**Antiferromagnetic Insulator**

Charge transfer insulator  
(Mott insulator) *if*

$$\epsilon_p - \epsilon_d \gg t_{pd}$$

# Superconductivity in the Hubbard model

Question

Superconductivity in the  
Hubbard model is possible ?

YES

NO

Perturbation

FLEX

VMC some QMC

QMC, CPMC

## 4. Variational Monte Carlo method

適当な波動関数の期待値をモンテカルロ法により計算する

Gutzwillerただ

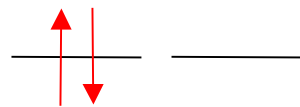
$$\psi_G = P_G \psi_0$$

$\psi_0$  : 試行関数 フェルミ球、反強磁性、超伝導

$$P_G = \prod_j \left( 1 - (1 - g) n_{j\uparrow} n_{j\downarrow} \right) \quad \text{Gutzwiller演算子}$$

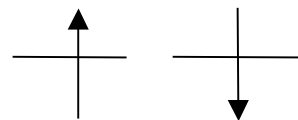
$$0 \leq g \leq 1$$

パラメータgによりオンサイトの相関を制御する



weight  $g$

Coulomb  $+U$



weight 1

# VMC -計算方法-

Normal state  $\psi_0$  Slater 行列式

$$\psi_0 = \sum_I a_I \psi_I \quad \psi_I : \text{実空間での粒子の配置}$$

波数  $k_1, k_2, \dots, k_n$  座標  $j_1, j_2, \dots, j_n$  を  $\uparrow$  粒子が占めている時

$$\det D_{\uparrow} = \begin{vmatrix} e^{ik_1 j_1} & e^{ik_1 j_2} & \dots & e^{ik_1 j_n} \\ \vdots & \vdots & \ddots & \vdots \\ e^{ik_n j_1} & e^{ik_n j_2} & \dots & e^{ik_n j_n} \end{vmatrix} \quad \text{Slater 行列式}$$

ウェイト  $a_I = \det D_{\uparrow} \det D_{\downarrow}$

粒子の配置の総数は大きな数  $\rightarrow$  モンテカルロ法



# モンテカルロ法

期待値

$$\langle \psi Q \psi \rangle = \sum_{mn} a_m a_n \langle \psi_m Q \psi_n \rangle = \sum_m \frac{a_m^2}{\sum_n a_n^2} \sum_n \frac{a_n}{a_m} \langle \psi_m Q \psi_n \rangle$$

$\psi_m$  の出現確率が  $P_m = \frac{a_m^2}{\sum_n a_n^2}$  に比例するようにサンプルを生成すると

$$\langle \psi Q \psi \rangle = \frac{1}{M} \sum_m \left( \sum_n \frac{a_n}{a_m} \langle \psi_m Q \psi_n \rangle \right) \quad m = 1, L, M$$

## Metropolis法

$\psi_j$  の次に  $\psi_n$  を生成した時 (例えば、どれかの電子を動かす)

$$R = \frac{|a_n|^2}{|a_j|^2} \geq \xi \quad \text{なら} \quad \psi_n \text{ を採用} \quad \xi: \text{一様乱数} \quad 0 \leq \xi < 1$$
$$< \xi \quad \psi_j \text{ のまま}$$

$\langle \psi_m Q \psi_n \rangle$  の計算には余因子展開を使うとcpu時間を稼げる

# 超伝導状態のVMC

$$\Psi_s = P_N P_G \Psi_{BCS}$$

$$\Psi_{BCS} = \prod_k (u_k + v_k c_{k\uparrow}^+ c_{-k\downarrow}^+) |0\rangle$$

$P_N$ : 電子数をN個に固定

$$\Psi_s = P_G P_N \exp\left(\sum_k \frac{v_k}{u_k} c_{k\uparrow}^+ c_{-k\downarrow}^+\right) |0\rangle$$

$$= P_G \left(\sum_k \frac{v_k}{u_k} c_{k\uparrow}^+ c_{-k\downarrow}^+\right)^{N/2} |0\rangle$$

$$= P_G \left(\sum_k a_{ij} c_{i\uparrow}^+ c_{j\downarrow}^+\right)^{N/2} |0\rangle$$

$$a_{ij} = \frac{1}{V} \sum_k \frac{v_k}{u_k} e^{ik \cdot (R_i - R_j)}$$

$N_\uparrow = N_\downarrow$  の時

↑電子が  $i_1, i_2, \dots, i_{N/2}$ ; ↓電子が  $j_1, j_2, \dots, j_{N/2}$  にいる時、ウェイトは行列式

$$\begin{vmatrix} a_{i_1 j_1} & \dots & a_{i_1 j_{N/2}} \\ \vdots & \ddots & \vdots \\ a_{i_{N/2} j_1} & \dots & a_{i_{N/2} j_{N/2}} \end{vmatrix}$$

▲ 一般のペアー状態は、近藤さんのレクチャーにあるように、一つのSlater行列式では書けないが、BCS状態は一体近似をしているので、行列式で書くことができる。

で与えられ、normal stateと同様に計算できる。

# ニュートン法

エネルギー  $E(x_1, \dots, x_n)$  の極小 パラメータ  $x = (x_1, \dots, x_n)$

微分  $f_j(x) = \frac{\partial E}{\partial x_j}(x)$  がゼロとなる点を探す

初期値  $x_0$  に対しニュートン法により

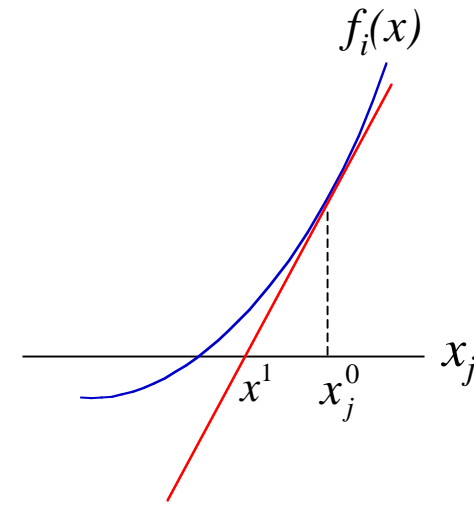
$$f_i(x^0) + \sum_j \frac{\partial f_i}{\partial x_j}(x^0)(x_j - x_j^0) = 0$$

を満たす  $x^1$  が次の候補。

$$H_{ij} = \frac{\partial f_i}{\partial x_j}(x^0) = \frac{\partial^2 E}{\partial x_j \partial x_i}(x^0)$$

とおくと

$$\begin{pmatrix} x_1^1 \\ x_2^1 \\ \cdot \\ \cdot \end{pmatrix} = \begin{pmatrix} x_1^0 \\ x_2^0 \\ \cdot \\ \cdot \end{pmatrix} - H^{-1} \begin{pmatrix} f_1(x^0) \\ f_2(x^0) \\ \cdot \\ \cdot \end{pmatrix}$$



$H_{ij}$  : ヘッセ行列 数值的に計算する

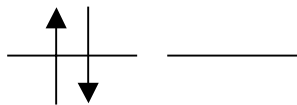
# Superconducting state

SC state in the correlated electron system: finite U

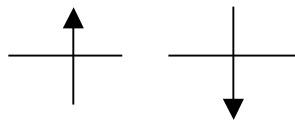
$$\Psi_{cdS} = P_G \prod_k (u_k + v_k c_{k\uparrow}^+ c_{-k\downarrow}^+) |0\rangle$$

Gutzwiller Projection  $P_G$

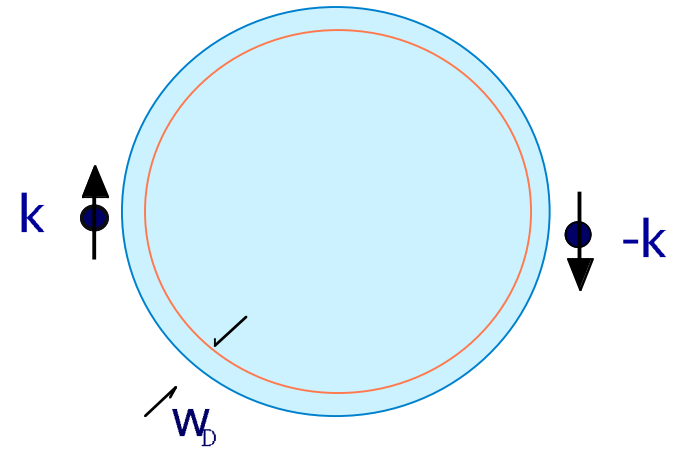
To control the on-site strong correlation



Weight g  
Coulomb +U



Weight 1  
Parameter  $0 < g < 1$



Essentially Equivalent to RVB state (Anderson)

# Relation to Gossamer superconductivity

Bogoliubov op.  $b_{k\sigma} \Psi_{BCS} = 0$

Projected op.  $\tilde{b}_{k\sigma} = P_G b_{k\sigma} P_G^{-1}$

$$\tilde{H} = \sum_{k\sigma} E_k \tilde{b}_{k\sigma}^\dagger \tilde{b}_{k\sigma} \quad \tilde{b}_{k\sigma} \tilde{\Psi}_{SC} = 0$$

Gossamer SC state  $\tilde{\Psi}_{SC}$

Laughlin cond-mat/0209269

In fact

$$\tilde{\Psi}_{SC} = P_G \Psi_{BCS} \quad \tilde{b}_{k\sigma} P_G \Psi_{SC} = P_G b_{k\sigma} \Psi_{BSC} = 0$$

Gossamer superconductivity  
= Projected BCS state

# Superconducting condensation energy

SC Condensation energy

$$\begin{aligned} \Delta E_{SC} &= \Omega_n - \Omega_s = \int_0^{T_c} (S_n - S_s) dT \\ &= \int_0^{T_c} (C_s - C_n) dT \end{aligned}$$

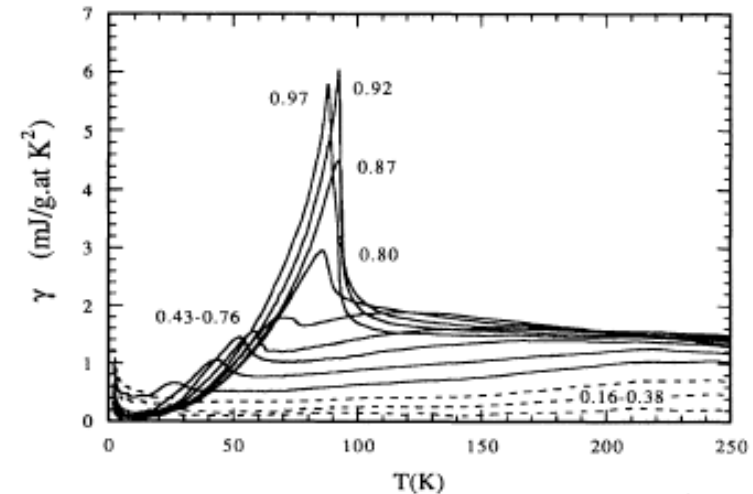
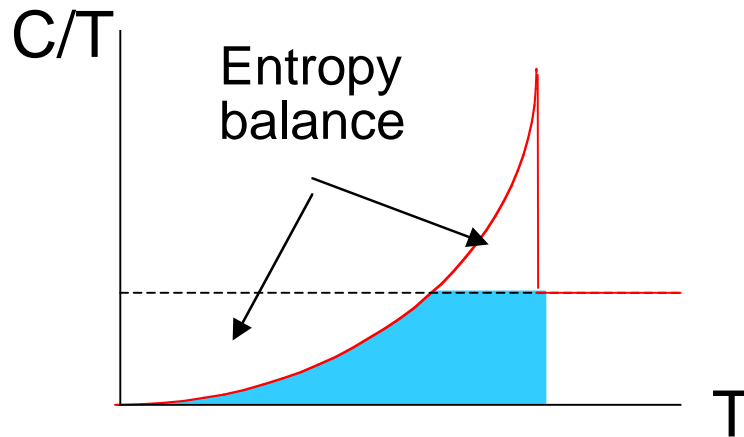
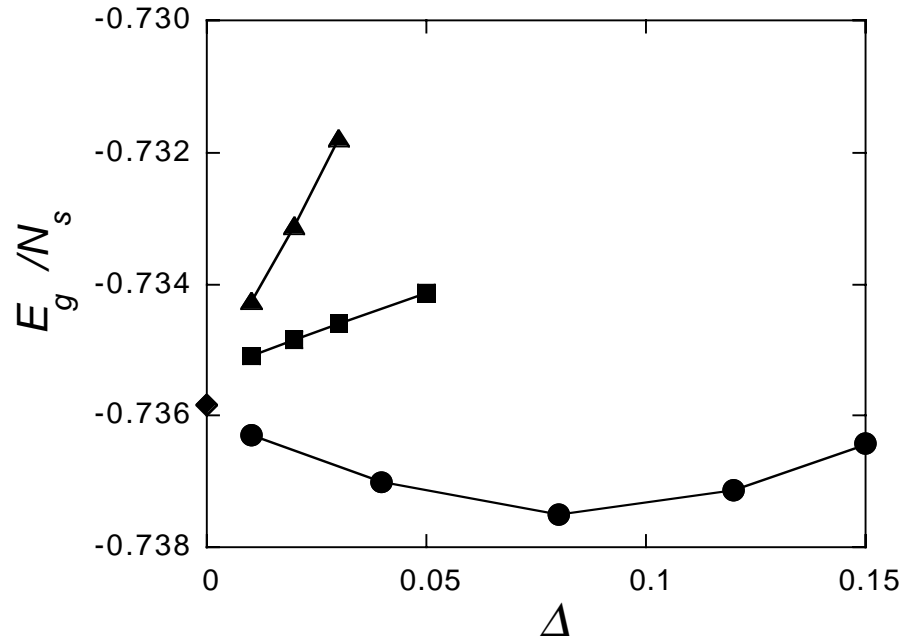


FIG. 4. Electronic specific heat coefficient  $\gamma(x, T)$  vs  $T$  for  $\text{YBa}_2\text{Cu}_3\text{O}_{6+x}$  relative to  $\text{YBa}_2\text{Cu}_3\text{O}_6$ . Values of  $x$  are 0.16, 0.29, 0.38, 0.43, 0.48, 0.57, 0.67, 0.76, 0.80, 0.87, 0.92, and 0.97.

Loram et al. PRL 71, 1740 ('93)  
optimally doped YBCO

SC Condensation energy  
~ 0.2 meV

# Evaluations in the superconducting state



K. Yamaji et al.,  
Physica C304, 225 (1998)

T. Yanagisawa et al.,  
Phys. Rev. B67, 132408  
(2003)

YBCO →

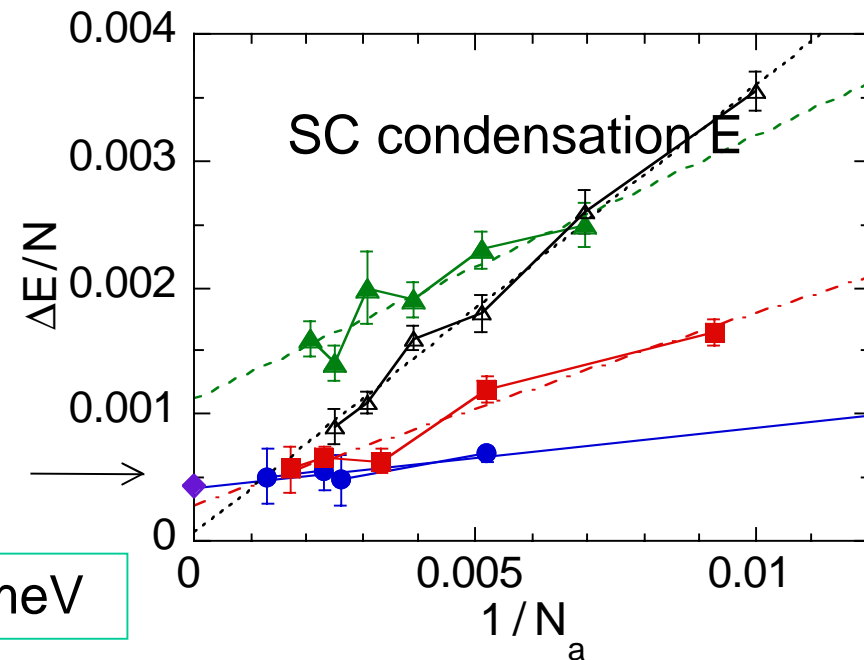
$E_{\text{cond}} \sim 0.2\text{meV}$

Variational Monte Carlo

10x10  $U=8$

T. Nakanishi et al.

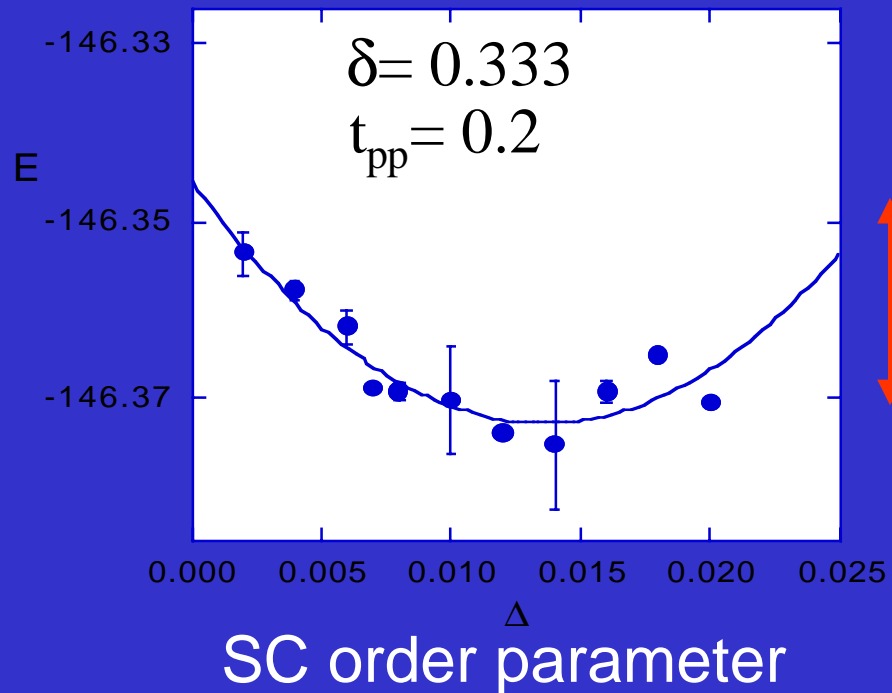
JPSJ 66, 294 (1997)



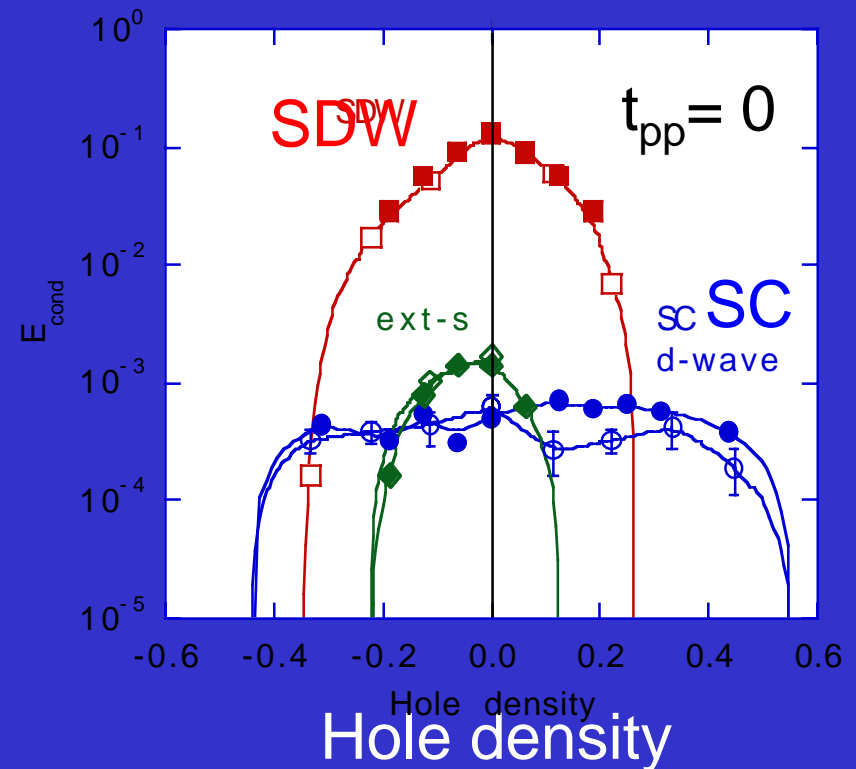
# SC Condensation Energy for d-p model

## Condensation energy

$$E_{\text{cond}} \sim 0.00038 t_{\text{dp}} \\ = 0.56 \text{ meV/site}$$



## 2D 3-band model 6x6 and 8x8



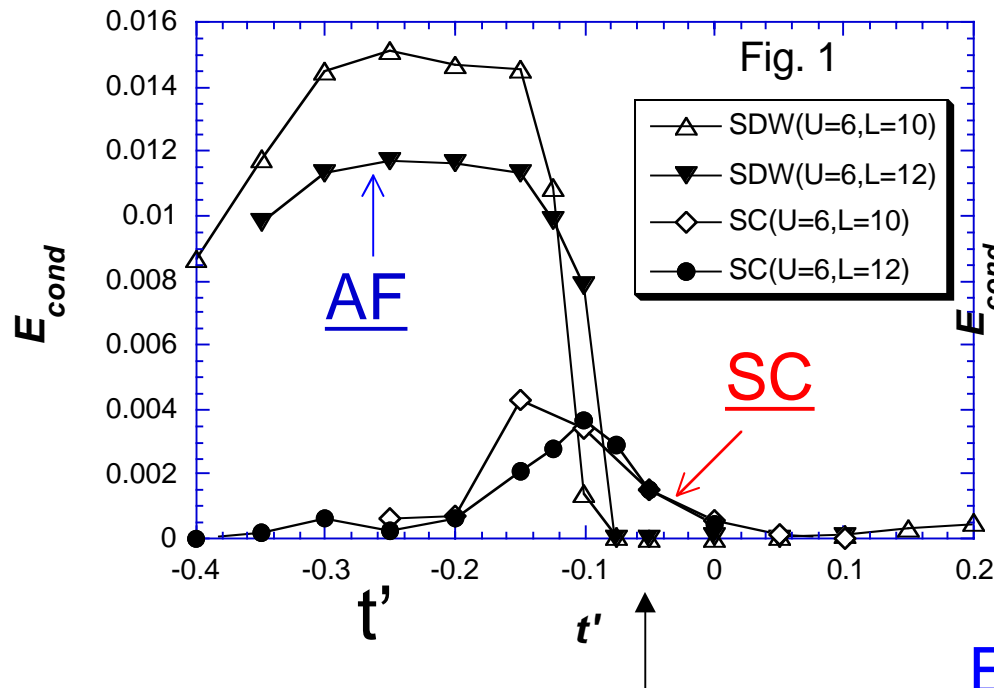
T.Y. et al., PRB64, 184509 ('01)



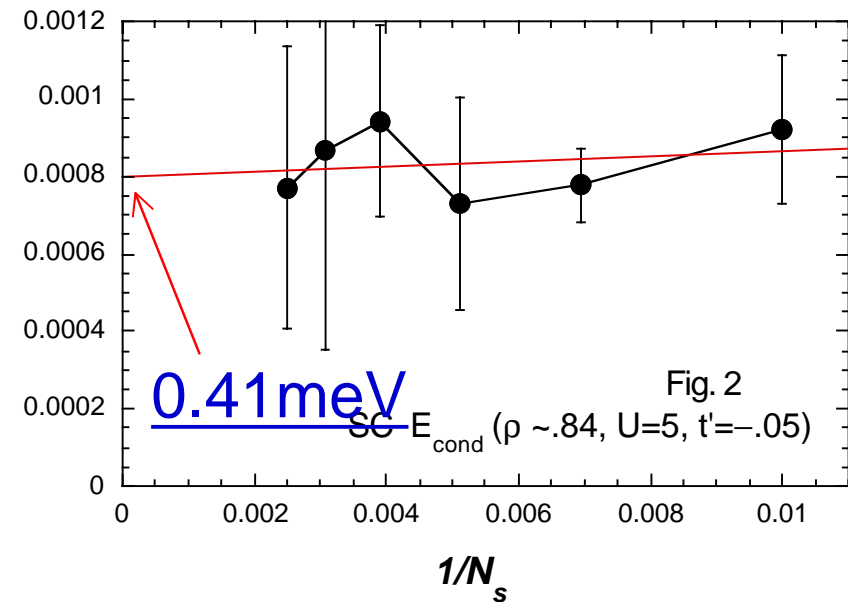
# 5. Superconductivity and Antiferromagnetism

Competition

Size dependence of SC condensation energy



Pure d-wave SC



Experiments

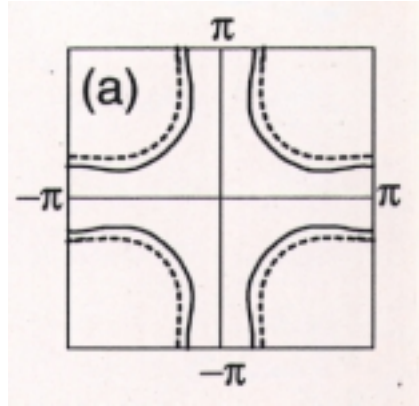
0.26 meV/site

0.17~0.26

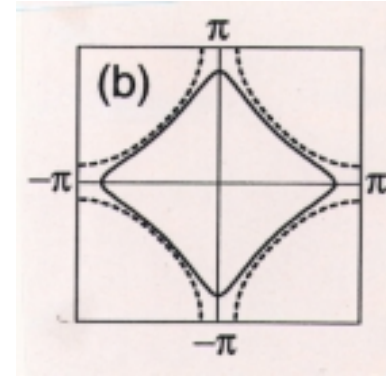
(critical field  $H_c$ )

(C/T)

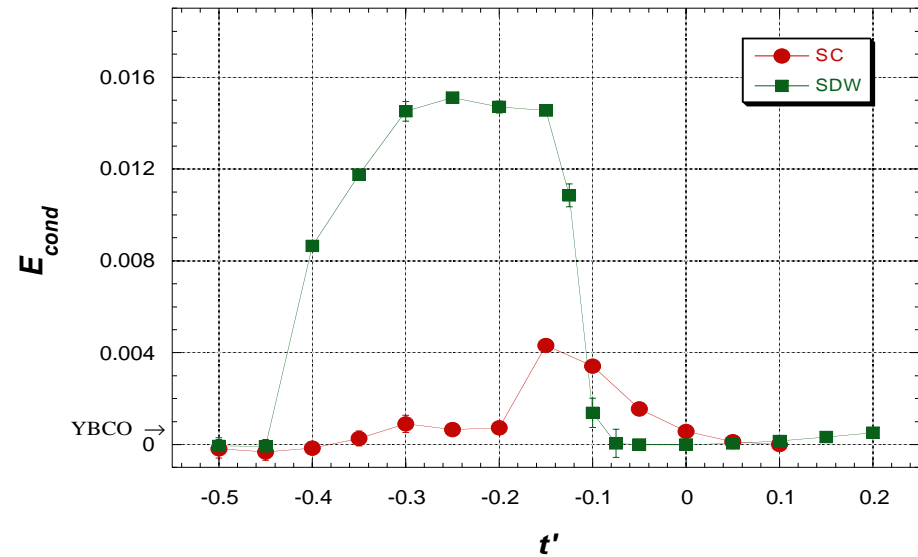
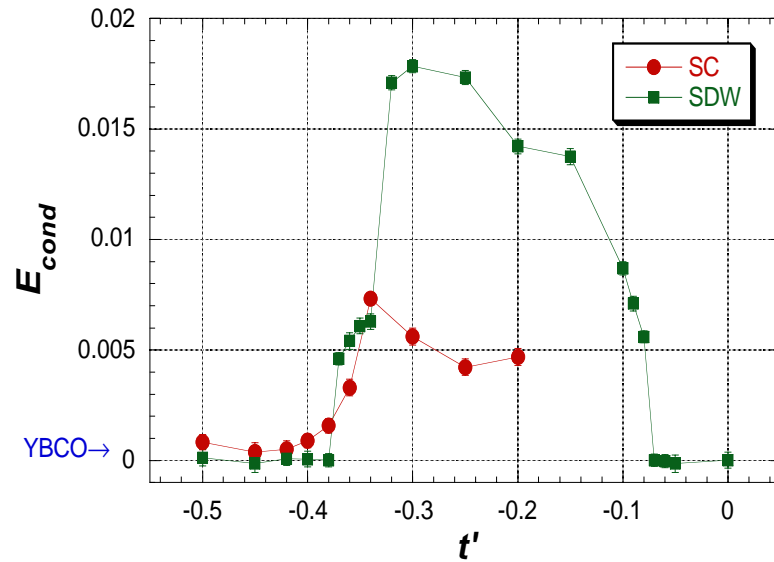
# Bi2212とLSCO



Bi2212型  
 $t'/t = -0.34$   
 $t''/t = 0.23$



LSCO型  
 $t'/t = -0.12$   
 $t''/t = 0.08$



●と■: それぞれ絶対零度における超伝導と反強磁性凝縮エネルギー。  
 適切なパラメータ領域で超伝導●が反強磁性■に勝つ。

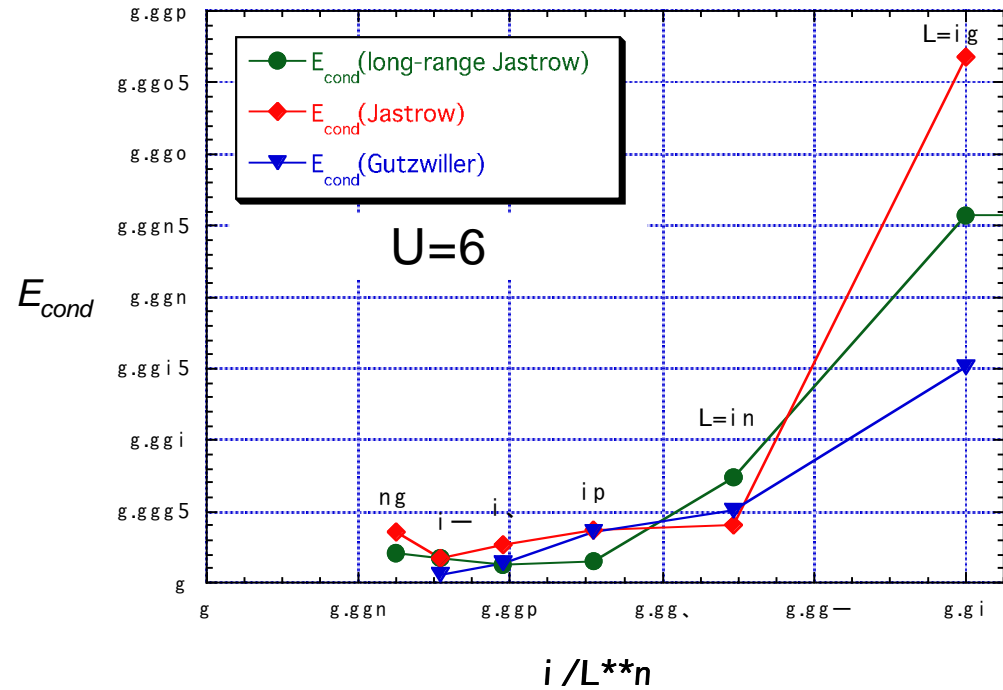
# Gutzwiller-Jastrow function

## Gutzwiller関数の改良

$$\psi_J = \prod_{\langle ij \rangle} \exp(-\alpha n_i n_j) P_G \psi_0$$

近接サイト間の相関

- エネルギーがかなり下がる
- 超伝導凝縮エネルギーが増大する傾向あり

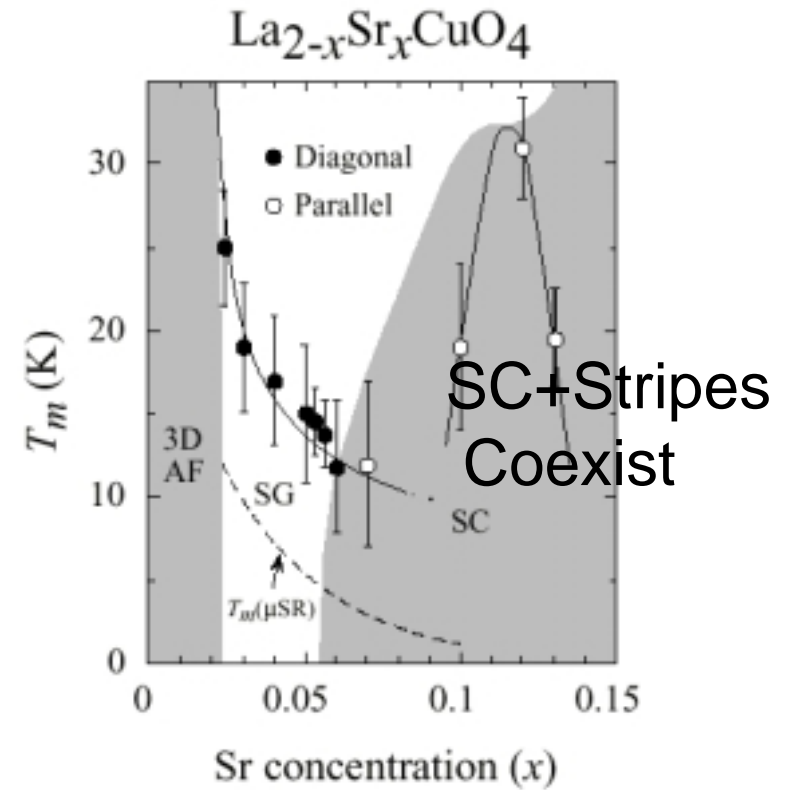
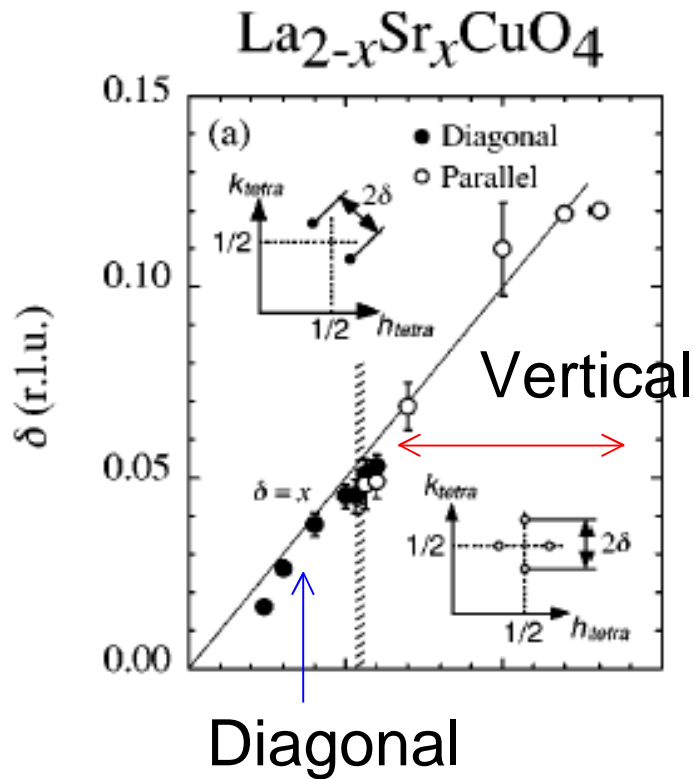


# 6. Stripes in high-Tc cuprates

- Vertical stripes for  $x > 0.05$
- Diagonal stripes for  $x < 0.05$

AF coexists with SC?

Neutron scattering

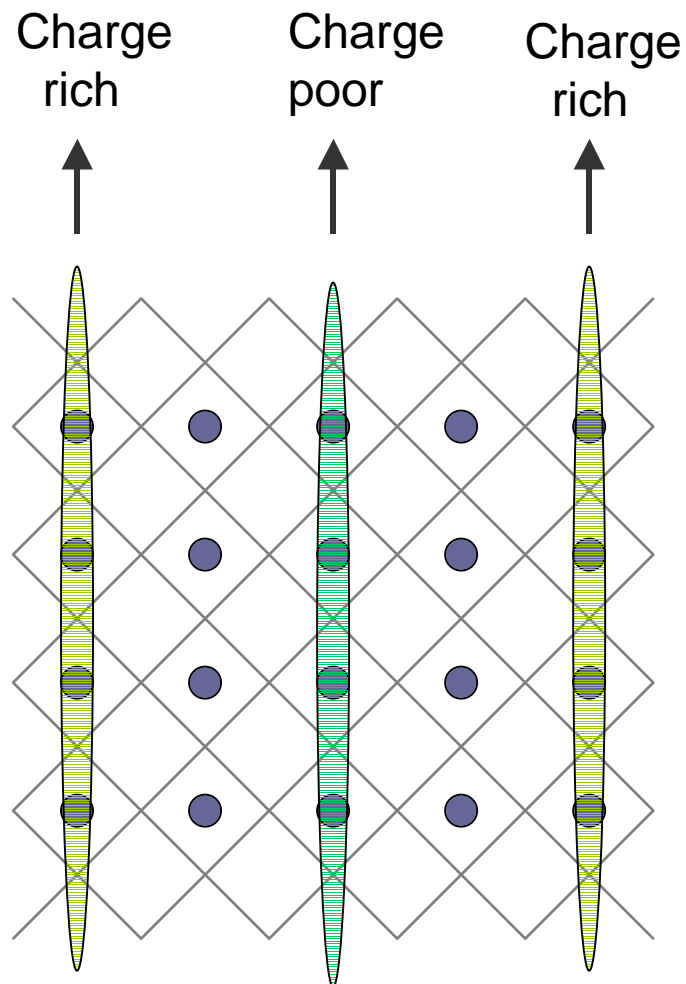


M.Fujita et al. Phys. Rev.B65,064505('02)

S.Wakimoto et al. PRB61, 3699('00)

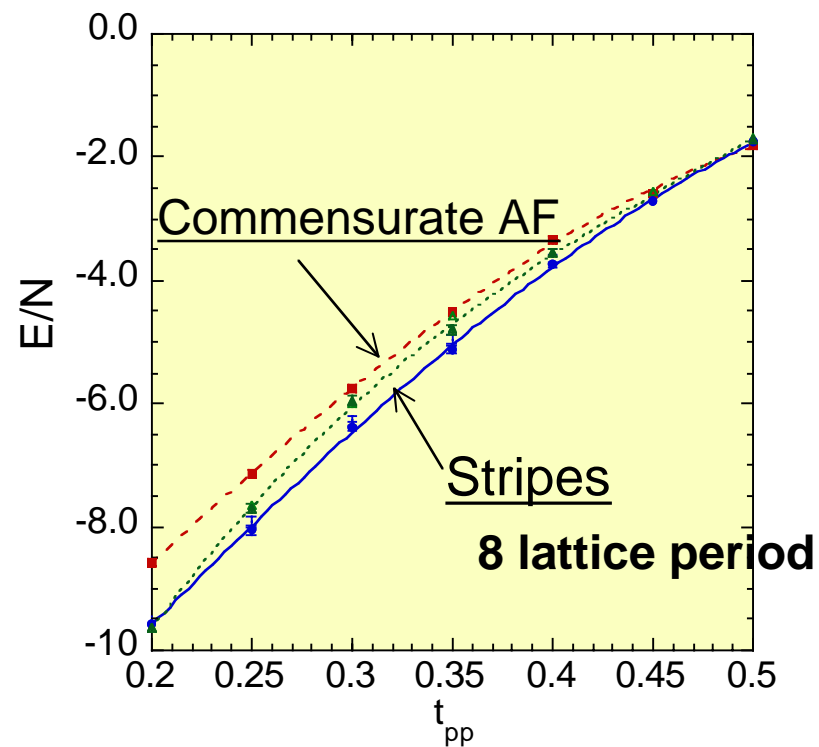
# Vertical Stripes in the under-doped region

Vertical stripes: 8 lattice periodicity (Tranquada)



VMC

$x = 1/8$  3-band Hubbard

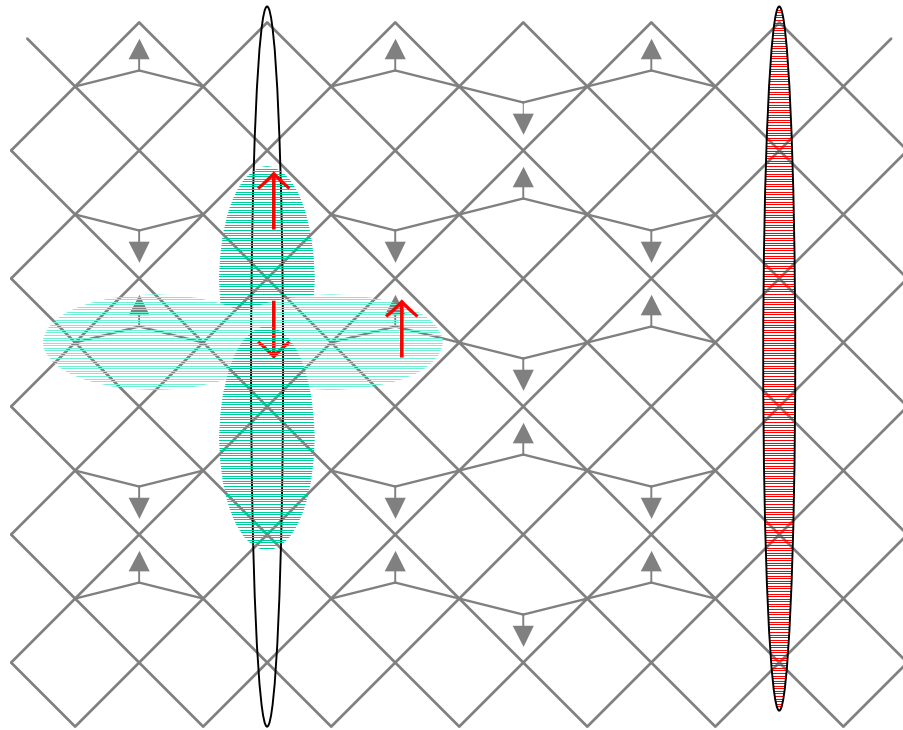


T.Y. et al., J.Phys.C14,21('02)

# Stripes and Superconductivity: nano SC

Compete and Collaborate

SC coexists with stripes (AF).



Nano-scale SC

Bogoliubov-de Gennes eq.

$$\begin{pmatrix} H_{ij\uparrow} + F_{ij} \\ F_{ji}^* - H_{ji\downarrow} \end{pmatrix} \begin{pmatrix} u_j^\lambda \\ v_j^\lambda \end{pmatrix} = E^\lambda \begin{pmatrix} u_i^\lambda \\ v_i^\lambda \end{pmatrix}$$

$$\alpha_\lambda = u_i^\lambda a_{i\uparrow} + v_i^\lambda a_{i\downarrow}^+$$

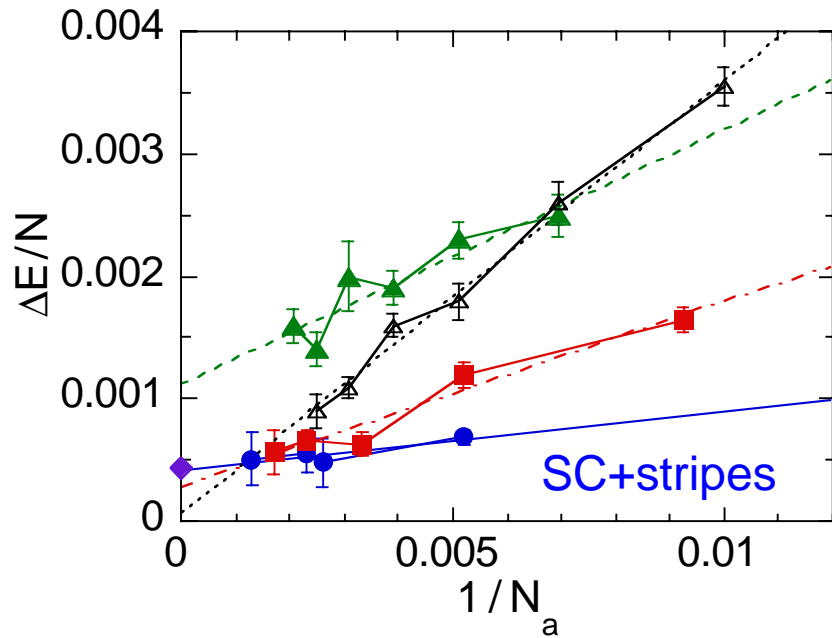
$$\bar{\alpha}_\lambda = \bar{u}_i^\lambda a_{i\uparrow} + \bar{v}_i^\lambda a_{i\downarrow}^+$$

Wave function

$$V_{\lambda j} = v_j^\lambda \quad (\bar{U})_{\lambda j} = \bar{u}_j^\lambda$$

$$\psi_{SC} = P_G P_{N_e} \prod_\lambda \alpha_\lambda \bar{\alpha}_\lambda^+ |0\rangle \propto P_G \left( \sum_{ij} (U^{-1}V)_{ij} a_{i\uparrow}^+ a_{j\downarrow}^+ \right)^{N_e/2} |0\rangle$$

# SC coexists with Stripes



SC coexists with Antiferromagnetism and stripes.

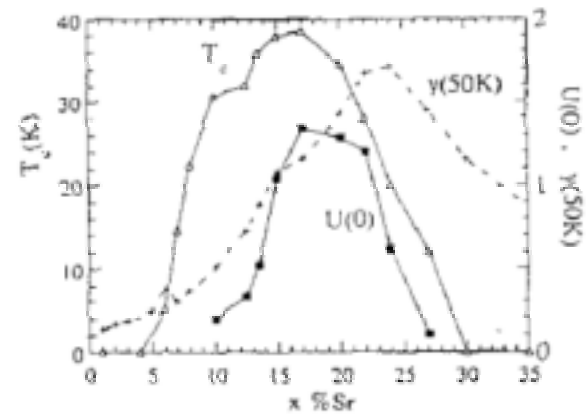
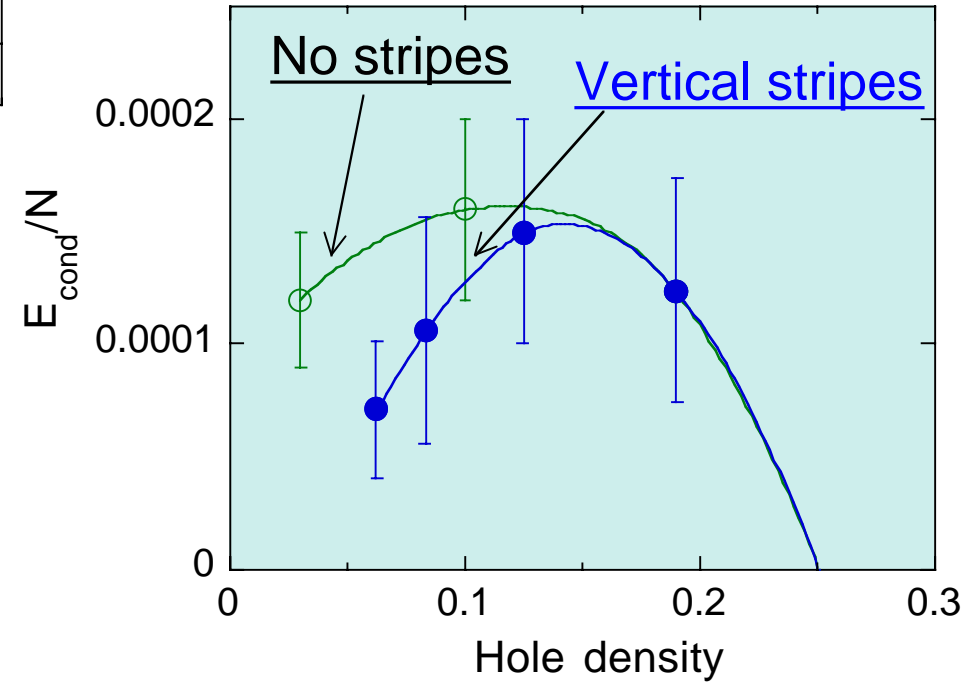


Figure 18  $T_c$ ,  $U(0)$  (J/g-at) and  $\gamma(50K)$  (mJ/g-at.K<sup>2</sup>) for  $La_{2-x}Sr_xCuO_4$

Loram et al.



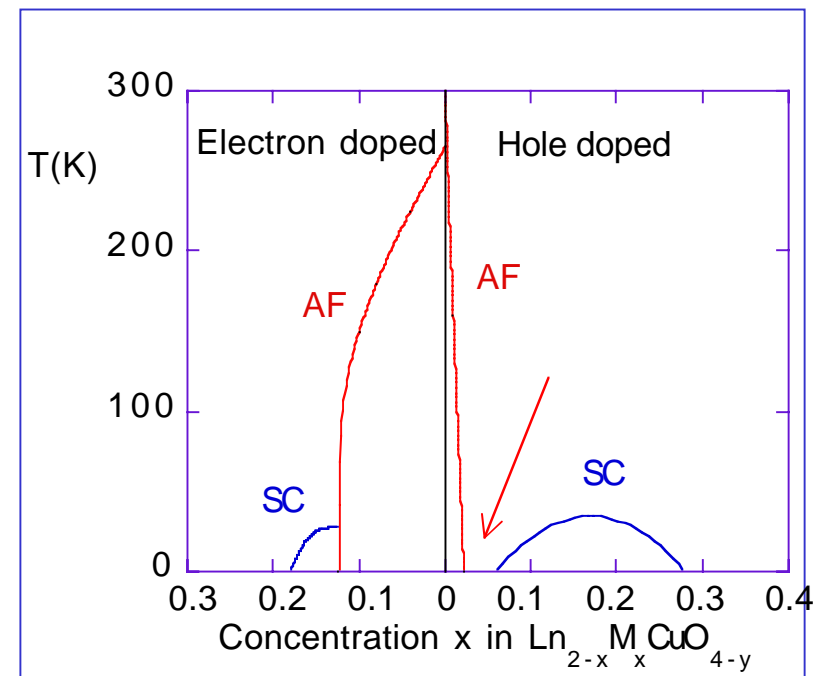
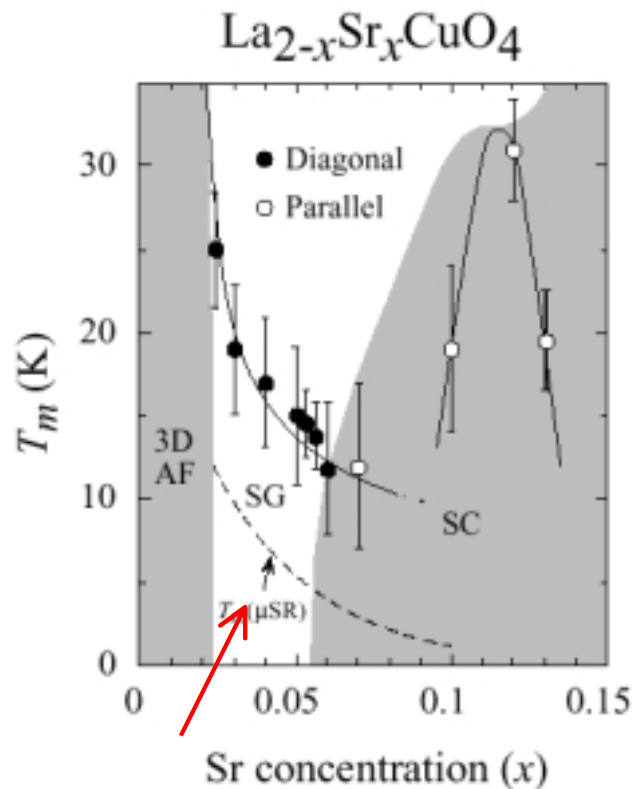
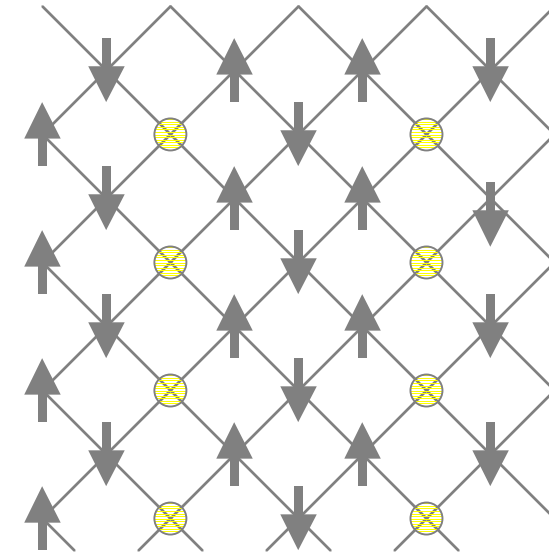
T. Y. et al., Phys. Rev. B67 (2003) 132408.

# 7. Diagonal stripes in lightly doped region

Diagonal stripes are observed for



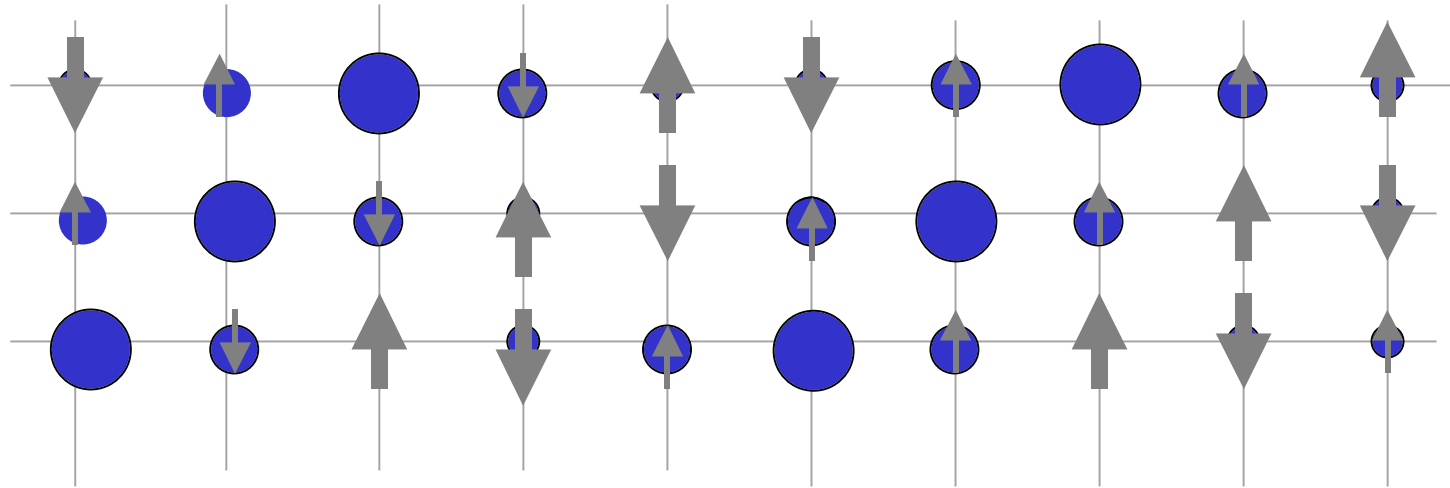
in the lightly doped region.



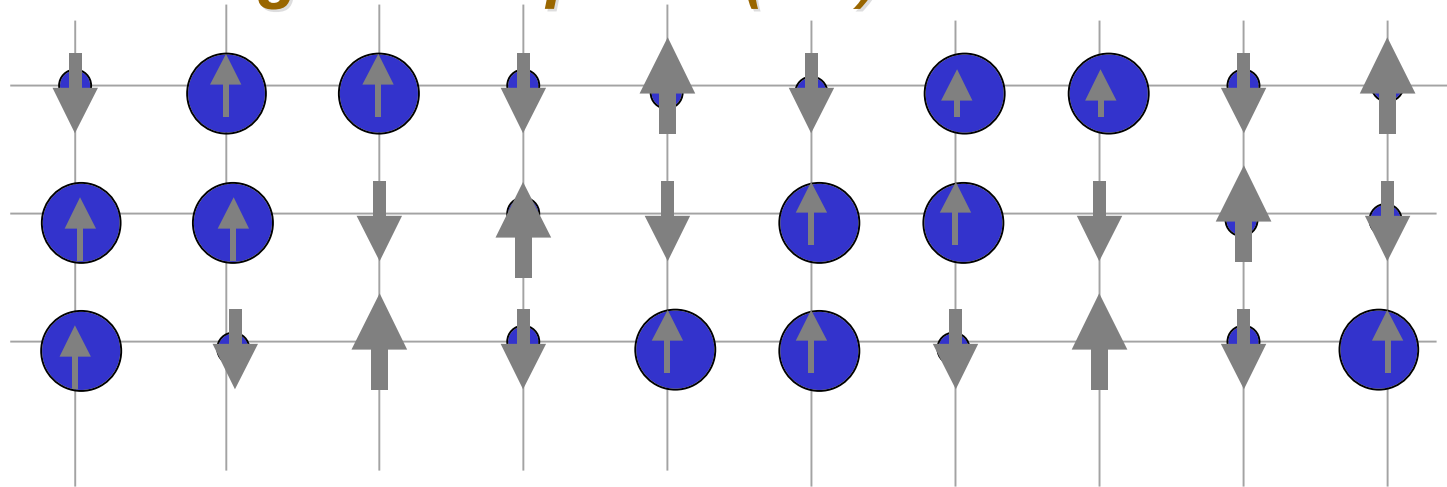


# Types of stripes

*Site-center Diagonal stripes (DS)*

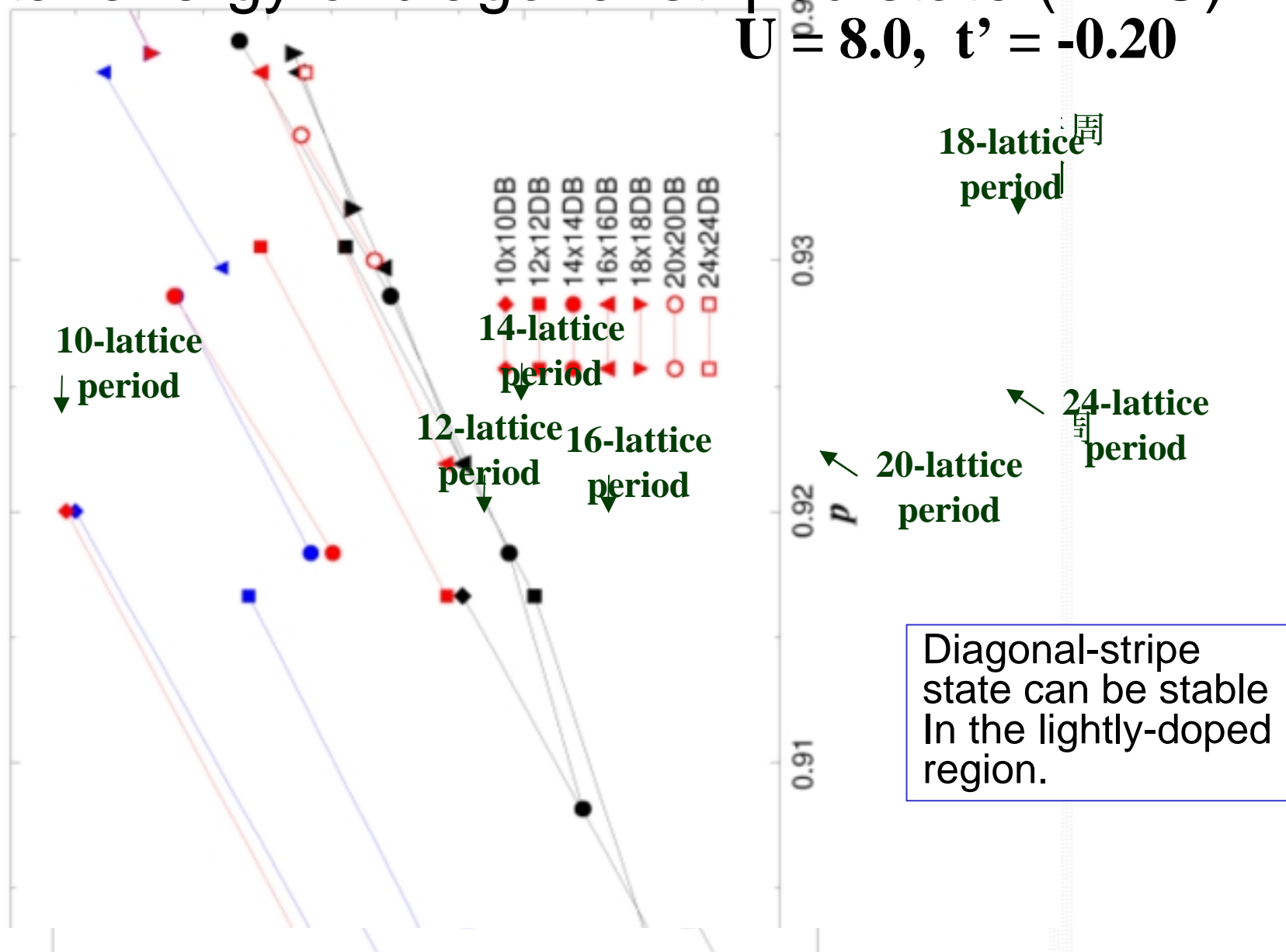


*Bond-center Diagonal stripes (DB)*



# Total energy of diagonal striped state (VMC)

$$U = 8.0, t' = -0.20$$

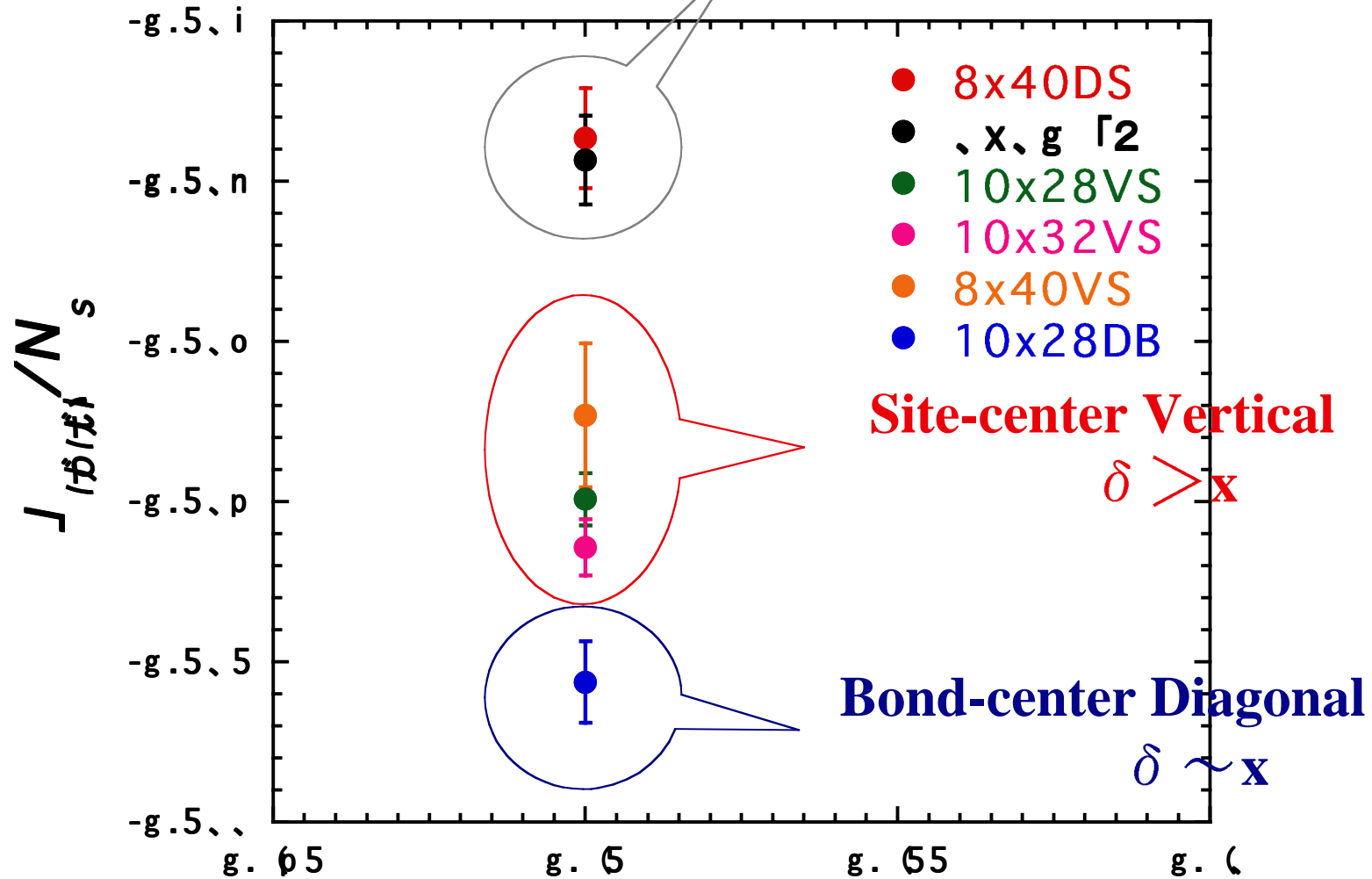


Diagonal-stripe state can be stable in the lightly-doped region.

# Total energy of striped states

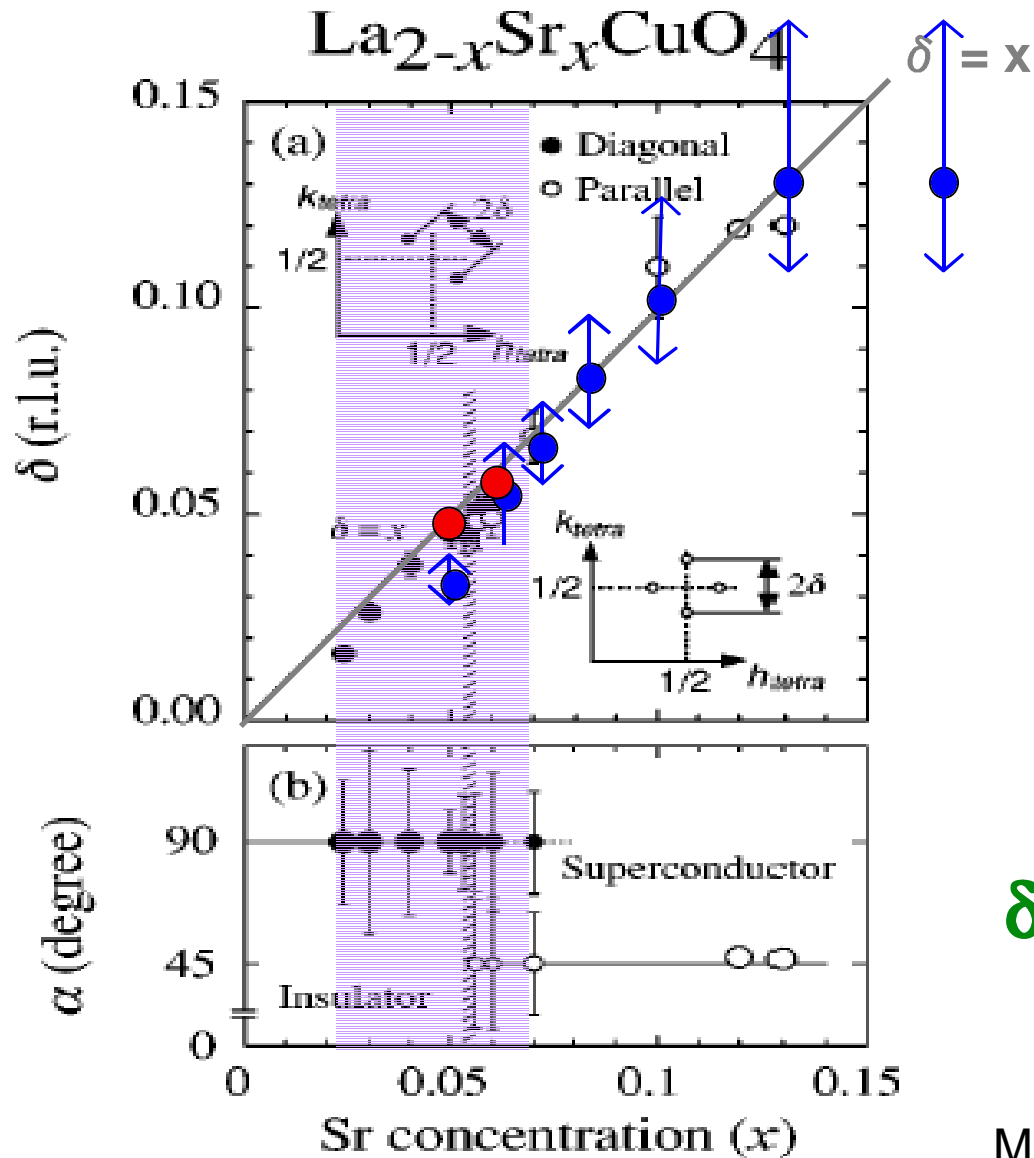
Site-center Diagonal

$C : x - B$



<

# Incommensurability: Comparison with Experiments



● Vertical stripes

● Diagonal stripes

$U=8.0 \quad t'=-0.2$

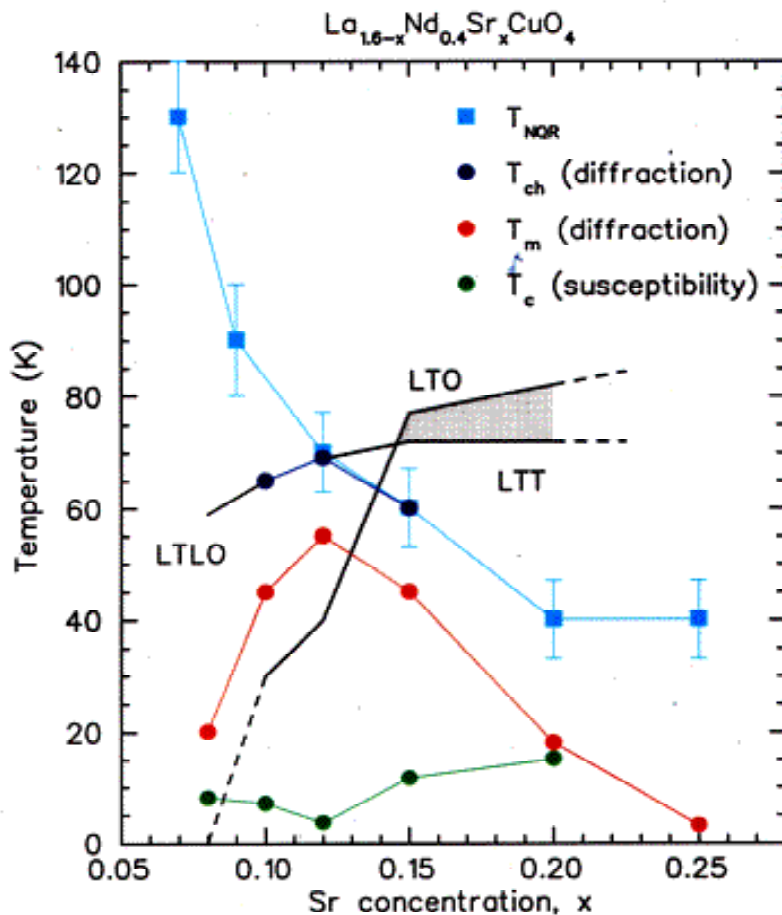
**$\delta$  can be explained by 2D Hubbard model.**

# 8. Stripes and Structural transition

Structural transitions: Lattice distortions

LTT, LTO, LTLO, HTT

Stripes: suggested by Incommensurability



N. Ichikawa et al.  
PRL 85, 1738 ('00)

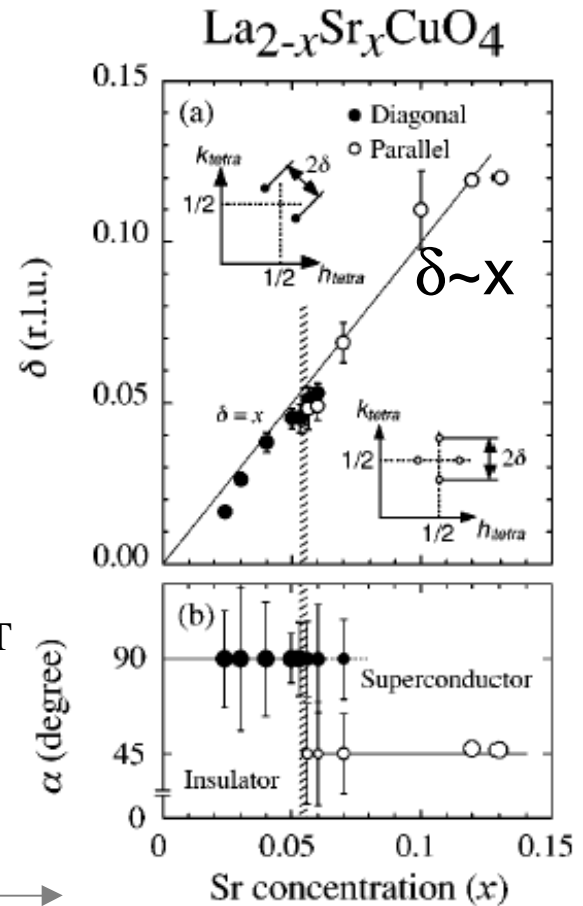
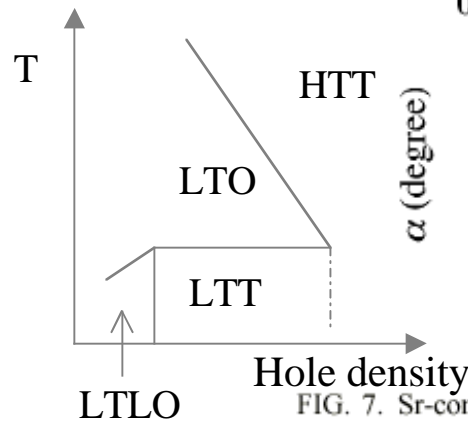
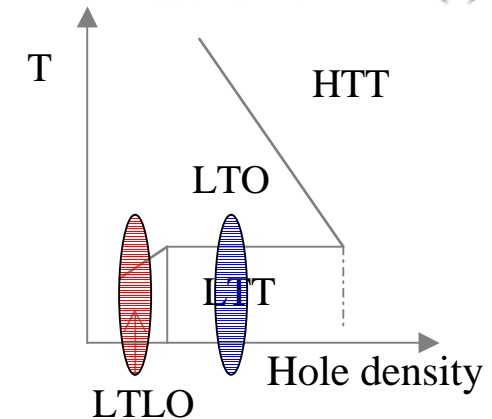
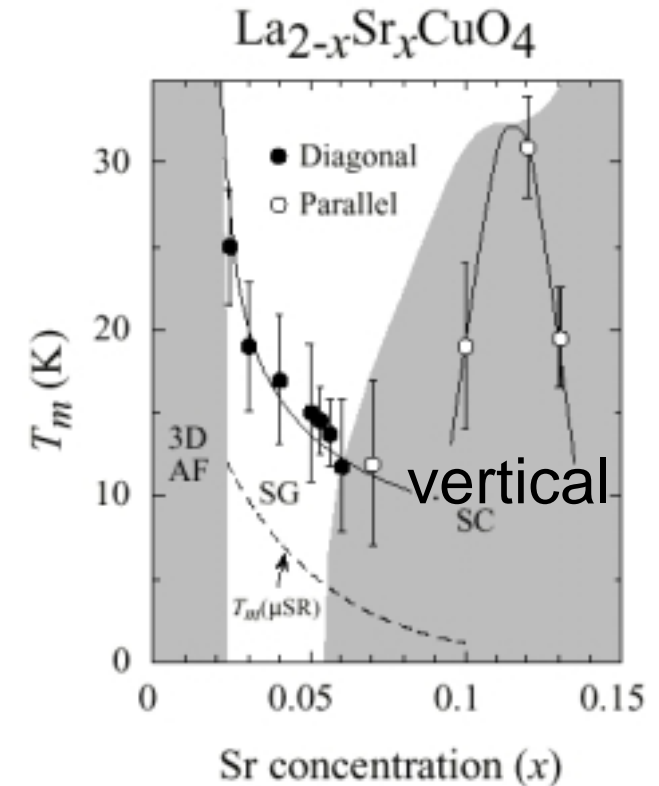


FIG. 7. Sr-concentration dependence of (a) the incommensurability  $\delta$  and (b) the angle  $\alpha$  defined in Fig. 3. Previous results for  $x=0.024$  (Ref. 11),  $0.04$  (Ref. 10),  $0.05$  (Ref. 10),  $0.12$  (Ref. 5),  $0.1$  (Ref. 15), and  $0.13$  (Ref. 15) are included. In both figures, the solid and open symbols represent the results for the diagonal and parallel components, respectively.

M. Fujita et al. Phys. Rev. B 65, 064505 ('02)

# What happens under lattice distortions?

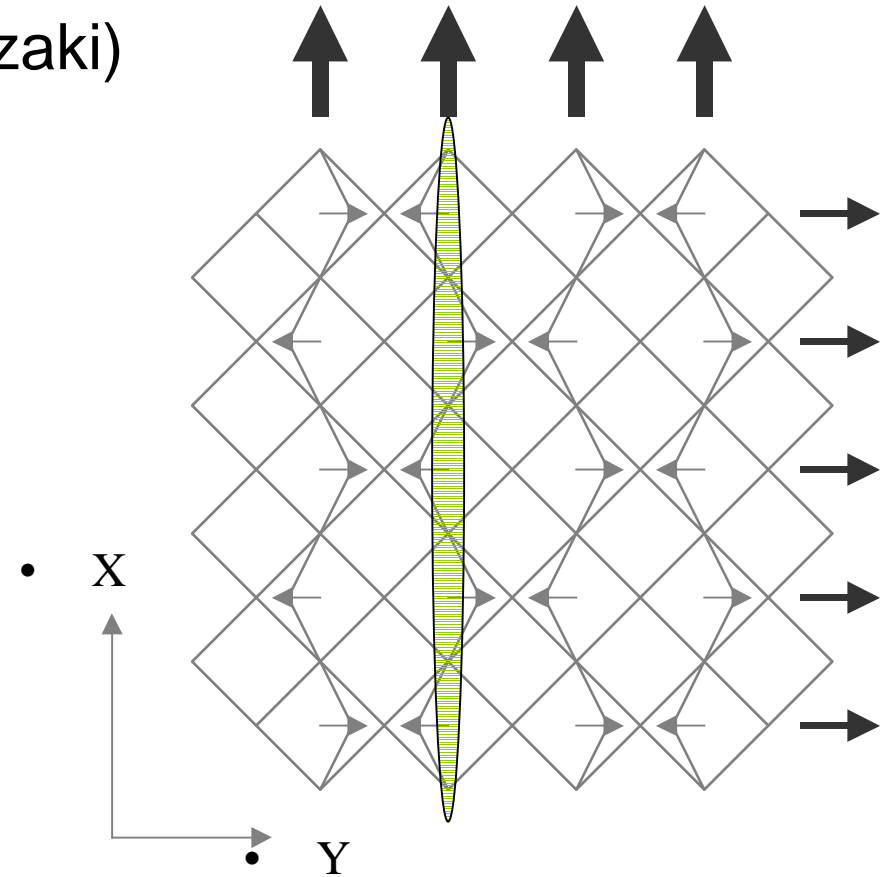
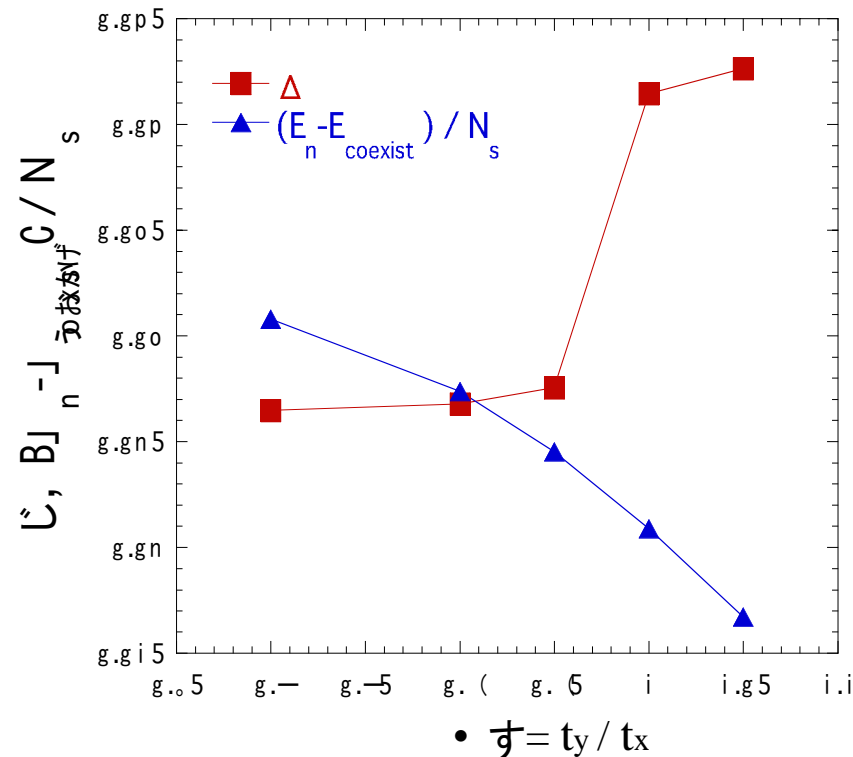
1. Anisotropy of the transfer integrals  
Anisotropic electronic state  
vertical stripes  
Diagonal stripes  $x < 0.05$
2. Spin-Orbit Coupling induced from  
lattice distortions
3. Electron-phonon interaction



# Anisotropy of the transfer integrals in LTT phase

Cf. A. P. Kampf et. al. PRB 64 (2001) 052509

## One-band Hubbard model (Miyazaki)



*LTT structural transitions stabilize stripes.*

# Possible Stripe Structure 1

E. S. Bozin et. al. PRB 59 (1999) 4445  
 Lanzara et. al. J. Phys. Cond. Mat 11 (1999) 541

Mixed phase of  
**LTT and LTLO**

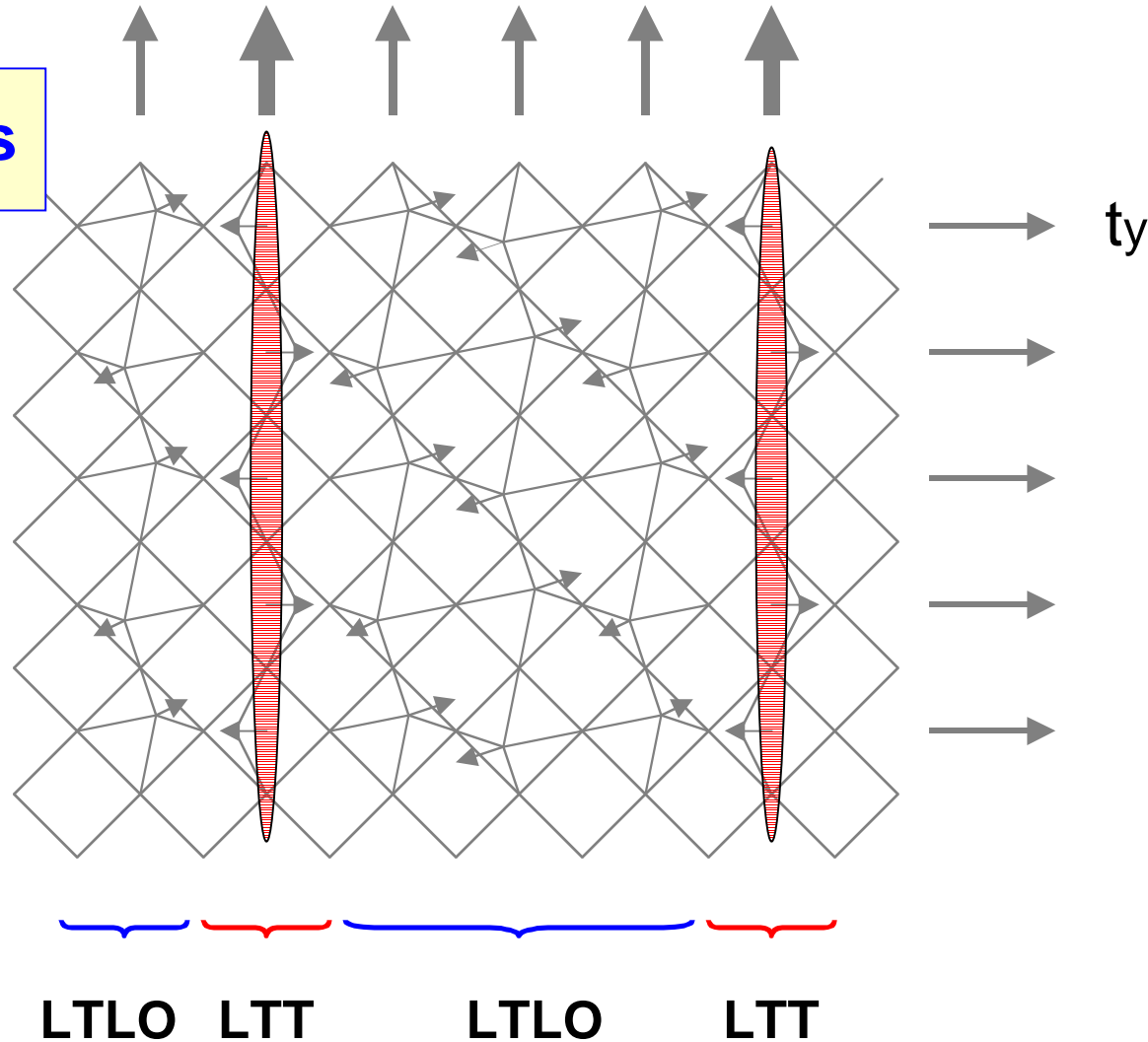
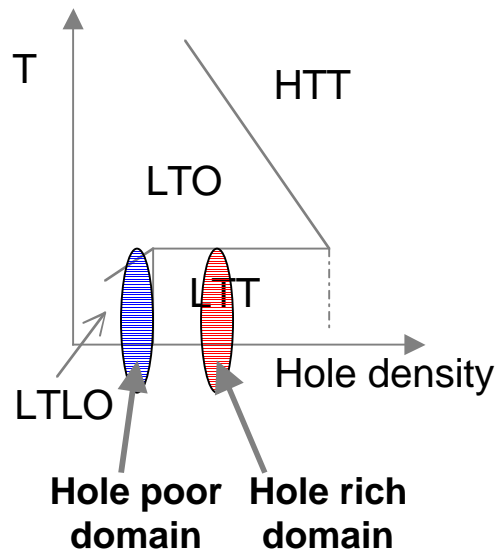
**tx**

**tx**

**Stripes // tilt axis**

**Stabilize stripes**

M. K. Crawford et. al.





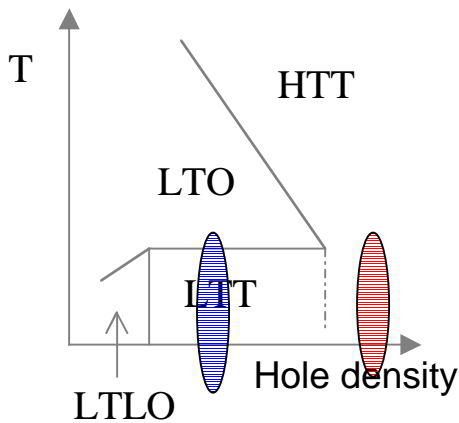
# Possible Stripe Structure 2

## Mixed phase of LTT and HTT

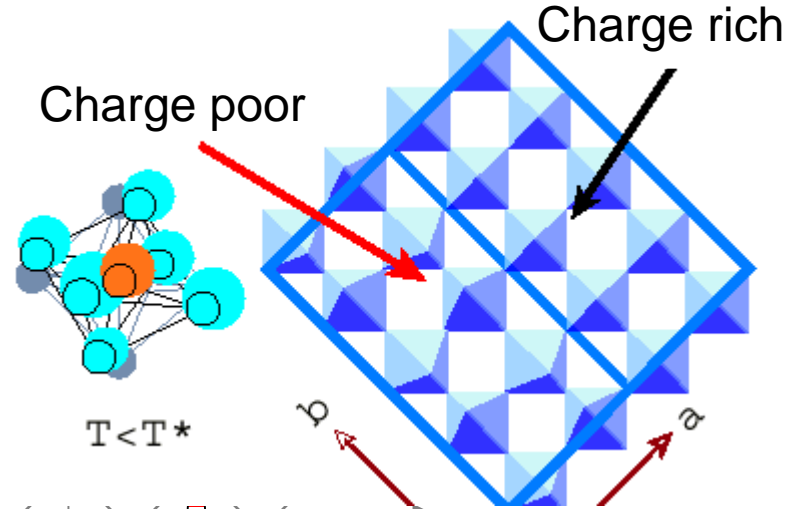
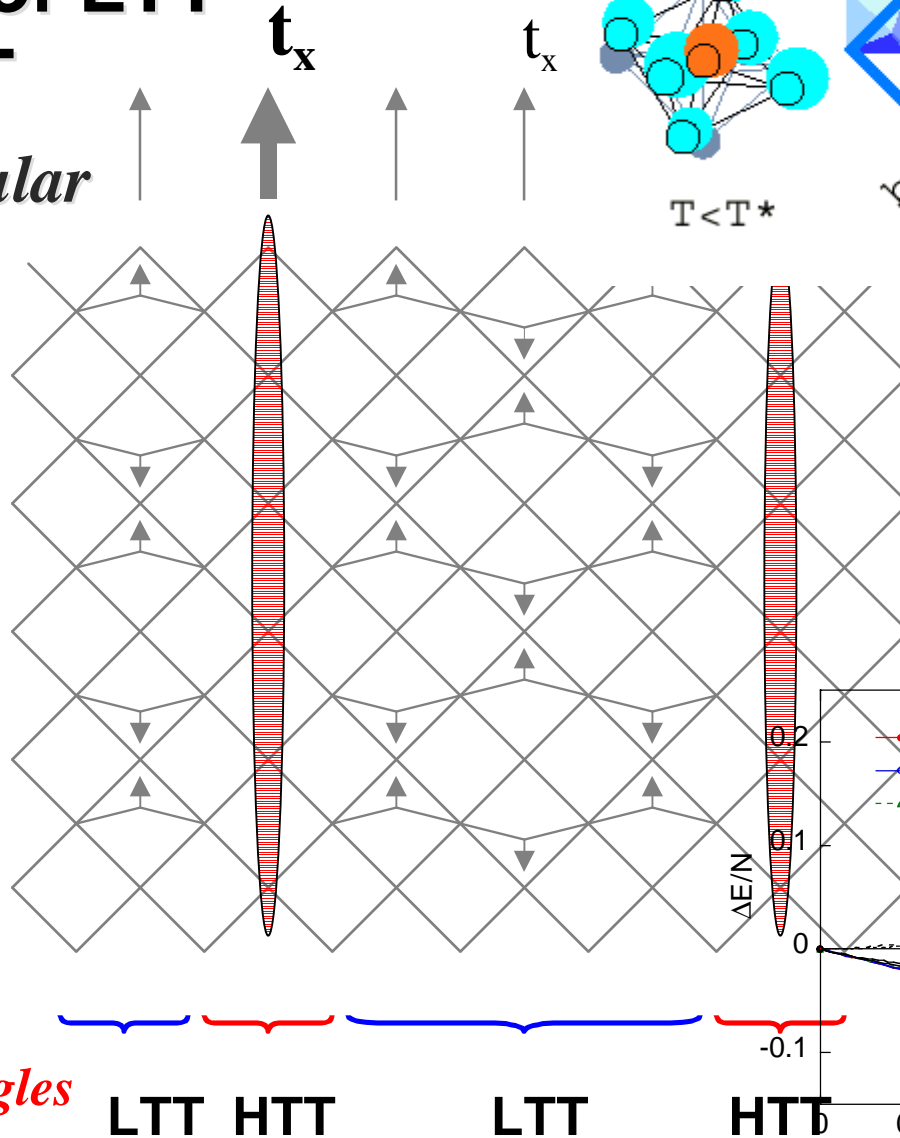
*Stripes perpendicular to tilt axis*

**Stable**

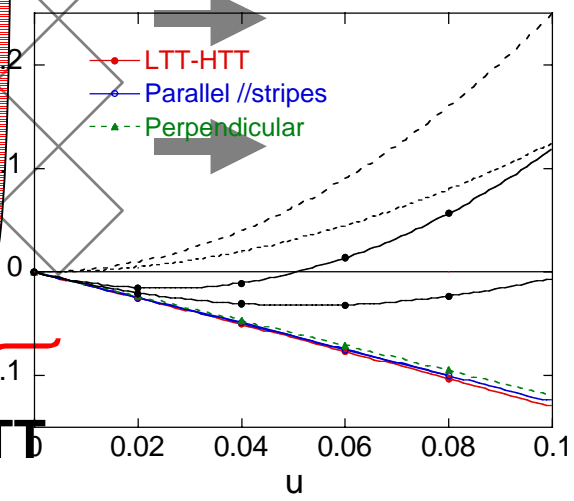
M. K. Crawford et. al.



*Oscillation of tilt angles*



H. Oyanagi  
A. Bianconi



# 9. Spin-orbit coupling and Lattice distortion

## Spin-Orbit Coupling induced by the Lattice distortion

Friedel et al., J.Phys.Chem.Solids 25, 781 (1964)

K. Yamaji, J. Phys. Soc. Jpn. 57, 2745 (1988)

### Tilting

$$\langle p_x(x - a/2, y) \uparrow | H_{dp} | d_{xz}(r) \uparrow \rangle = -t_{xz} e^{-ik_x/2 \cdot a}$$

$$\langle p_y(x, y - a/2) \uparrow | H_{dp} | d_{yz}(r) \uparrow \rangle = -t_{yz} e^{-iky/2 \cdot a}$$

$$H_{SO} = \xi(r) L \cdot S$$

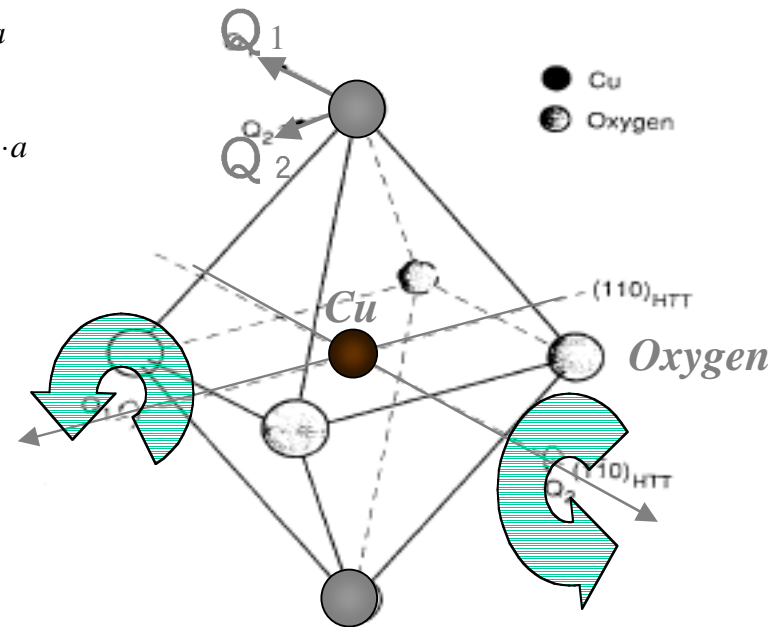
$$\langle d_{xz}(r) \uparrow | H_{SO} | d_{yz}(r) \uparrow \rangle = -\frac{i}{2} \xi$$

$$\langle d_{yz}(r) \uparrow | H_{SO} | d_{xz}(r) \uparrow \rangle = \frac{i}{2} \xi$$

$$\langle d_{x^2-y^2}(r) \uparrow | H_{SO} | d_{yz}(r) \downarrow \rangle = \frac{i}{2} \xi$$

$$\langle d_{x^2-y^2}(r) \uparrow | H_{SO} | d_{xz}(r) \downarrow \rangle = \frac{1}{2} \xi$$

Effective  $i\xi$  term for p-p transfer



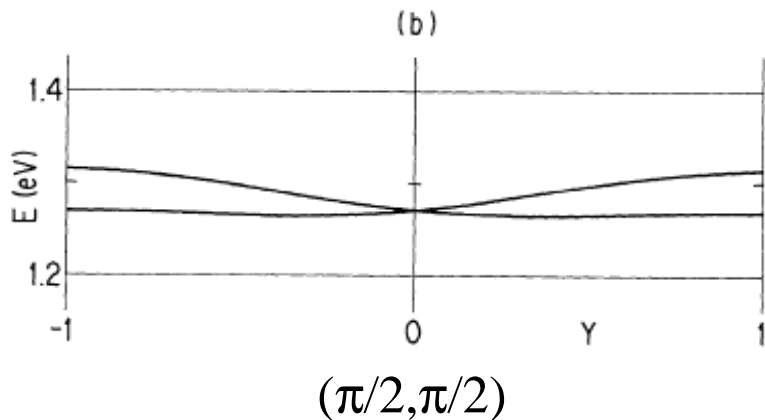
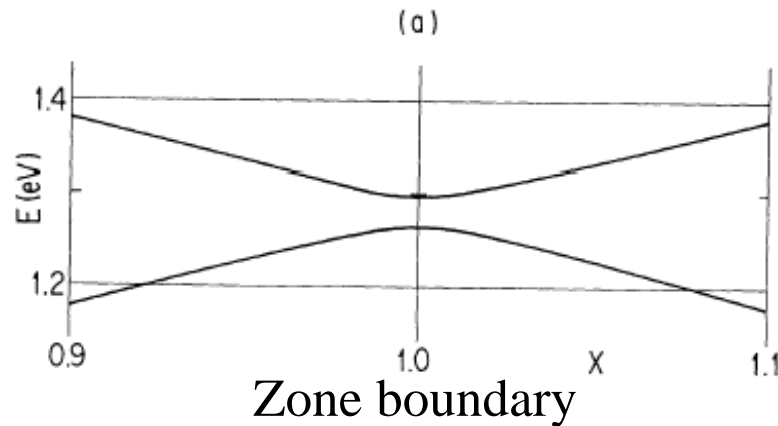
$$t_{xz}, t_{yz} \sim \text{tilt angle}$$

Five orbitals  $\times (\uparrow\downarrow)$ :

$(d_{x^2-y^2}, d_{xz}, d_{yz}, p_x, p_y)$

# Dispersion in the presence of spin-orbit coupling

d-p model

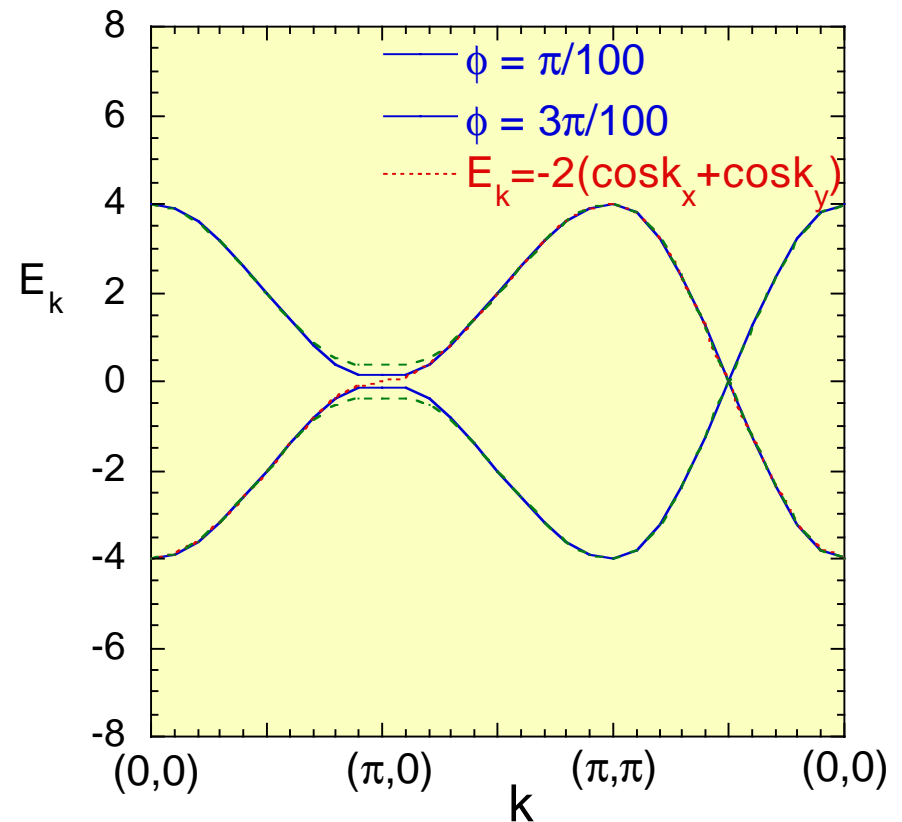


$\xi = 0.4$  (K.Yamaji, JPSJ(1988))

One-band effective model

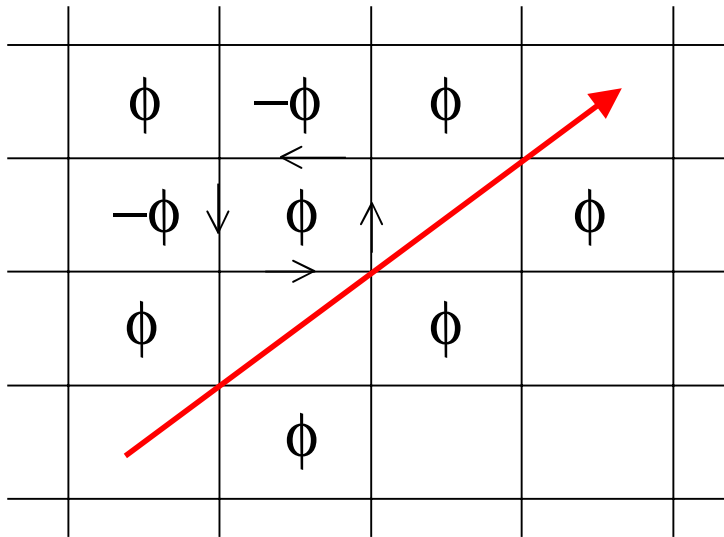
$$H_{kin} = - \sum_{ij\sigma} (t_{ij} + ic\sigma\theta_{ij}) d_{i\sigma}^+ d_{j\sigma}$$

(Bonesteal et al., PRL68,2684('92))

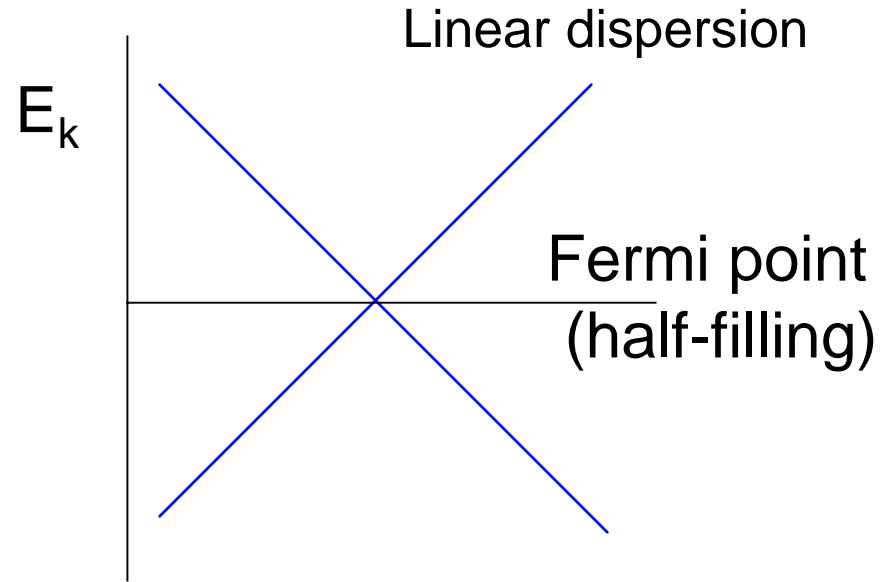


# Flux state

$$E(k_x, k_y) = \pm \left| e^{i\phi/4} e^{ik_x} + e^{-i\phi/4} e^{ik_y} + e^{i\phi/4} e^{-ik_x} + e^{-i\phi/4} e^{-ik_y} \right|$$



Excitation: Dirac fermion



Small Fermi surface  
Insulating or  
Bad metal state

Stripe-density wave (inhomogeneous d-density wave)

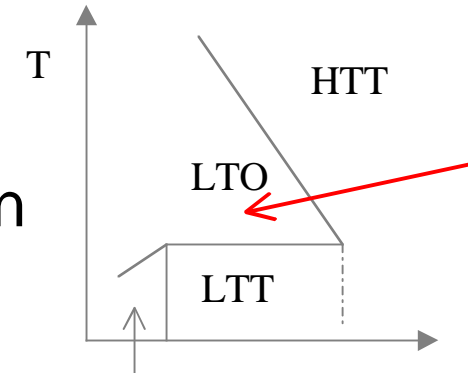
# Phenomena originating from spin-orbit coupling

Density of states

**Pseudogap**  $d_{x^2-y^2}$  symmetry

Doping dependence of Spectral function

ARPES Fermi Arc



**Nodal metal**

Modification of the dispersion relation gap structure

**Flux state** d-density wave

Time reversal symmetry breaking

Stripes and spin-orbit

Stabilized diagonal stripes in the lightly-doped region

Generalization of d-density wave

# Pseudo-gap in the density of states

Flux state  
 → Pseudo-gap

An origin of pseudo-gap

Density of states

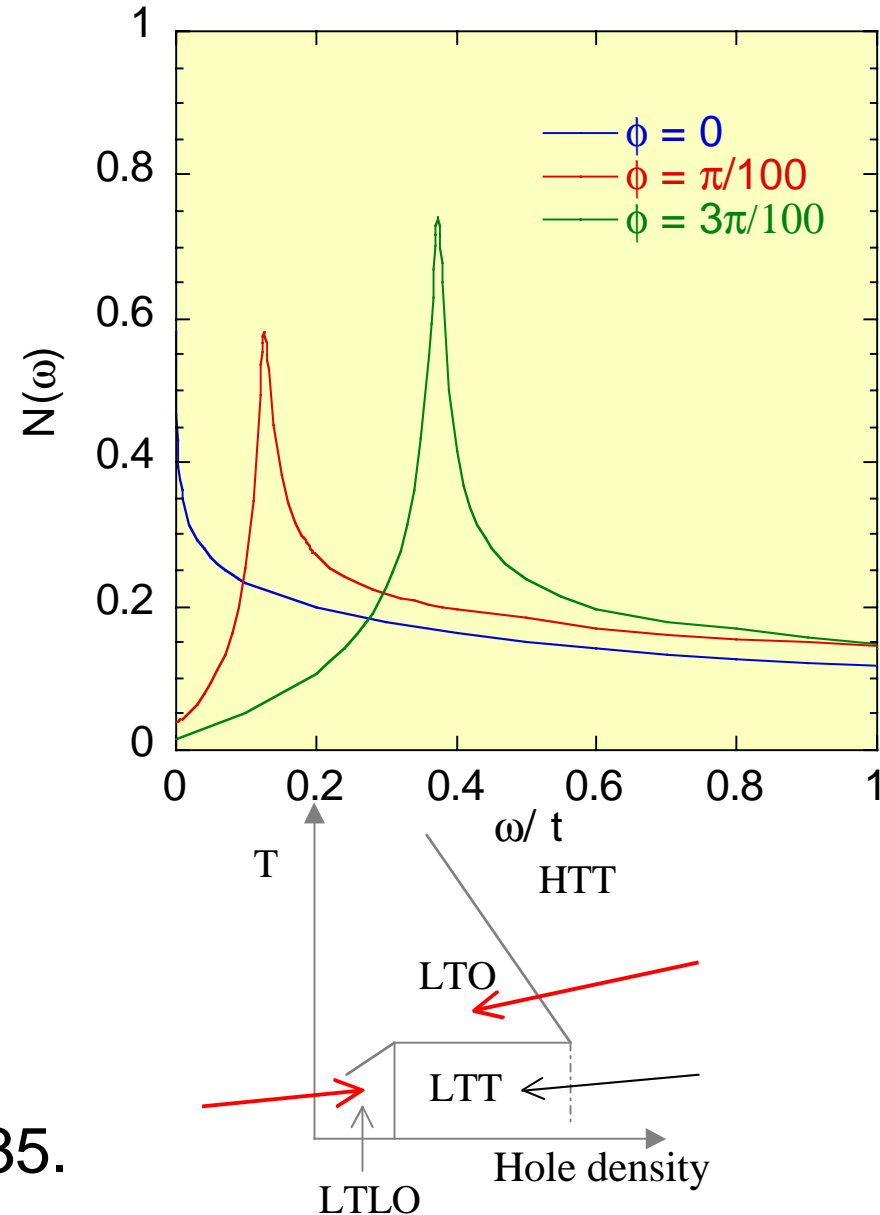
$$N_{\sigma}(k, \varepsilon) = -\frac{1}{\pi} \text{Im} G_{\sigma}(k, \varepsilon + i\delta)$$

Eigenfunction  $\varphi_{\sigma m}(r)$

$$H\varphi_{\sigma m}(r) = E_{\sigma m}\varphi_{\sigma m}(r)$$

$$G_{\sigma}(r, r', i\omega) = \sum_m \frac{\varphi_{\sigma m}(r)\varphi_{\sigma m}^*(r')}{i\omega - E_{\sigma m}}$$

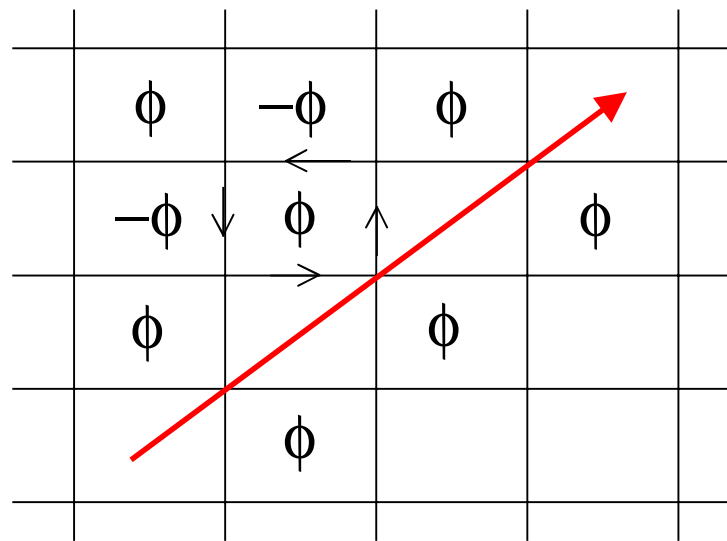
T. Y. et al., JPSJ 74(2005)835.



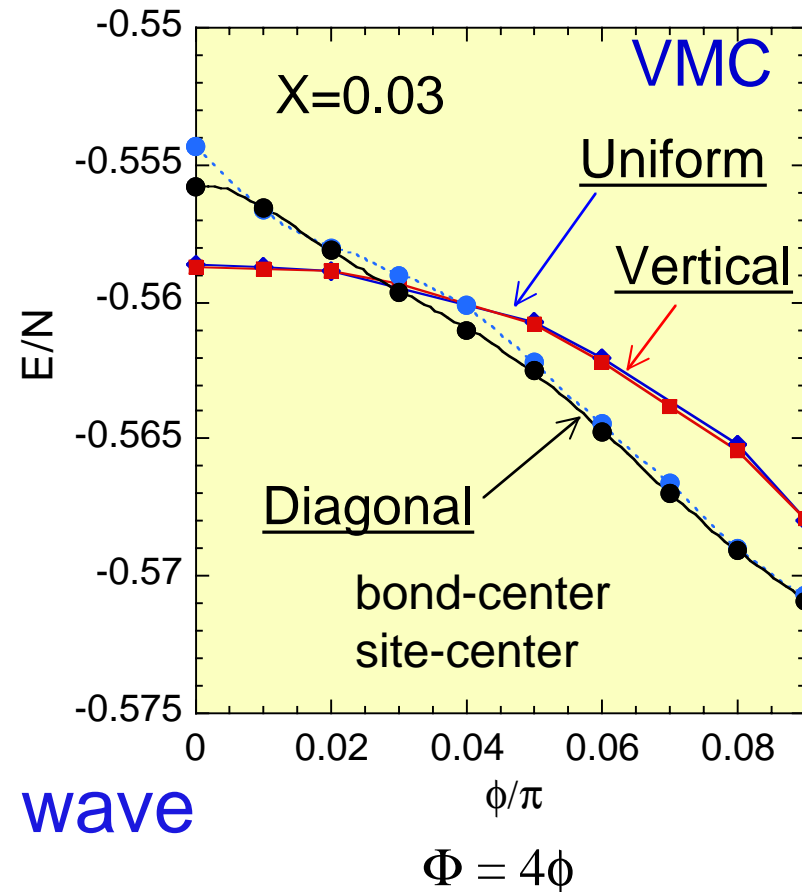
# Diagonal stripes with Spin-orbit coupling

Diagonal stripes in Lightly doped region with Spin-orbit  
Spin-orbit induces flux.

Spin-orbit coupling stabilizes  
the diagonal stripes.



Diagonal Stripe & d-density wave



# d-density wave, string-density wave

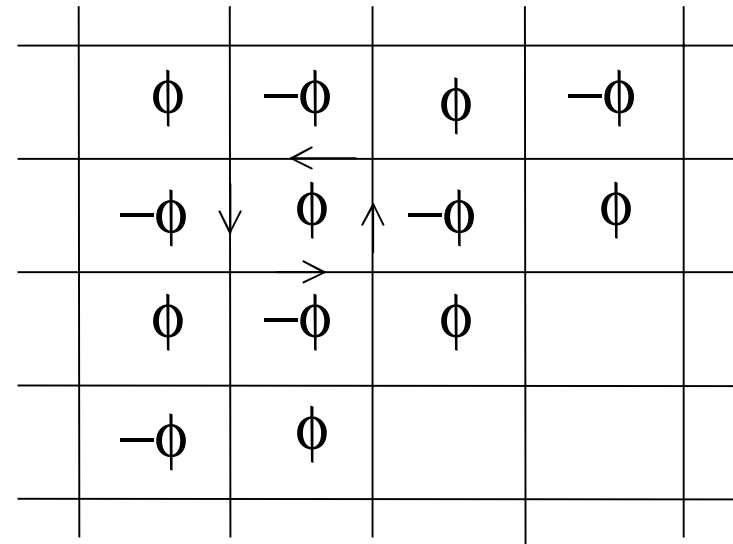
## d-density wave

$$i\Delta_Q Y(k) = \langle c_{k+Q\sigma}^+ c_{k\sigma} \rangle \quad Q = (\pi, \pi)$$

$$Y(k) = \cos(k_x) - \cos(k_y)$$

Nayak, Phys. Rev. B62, 4880 ('00)

Chakravarty et al., PRB63, 094503 ('01)



## Incomm. density wave

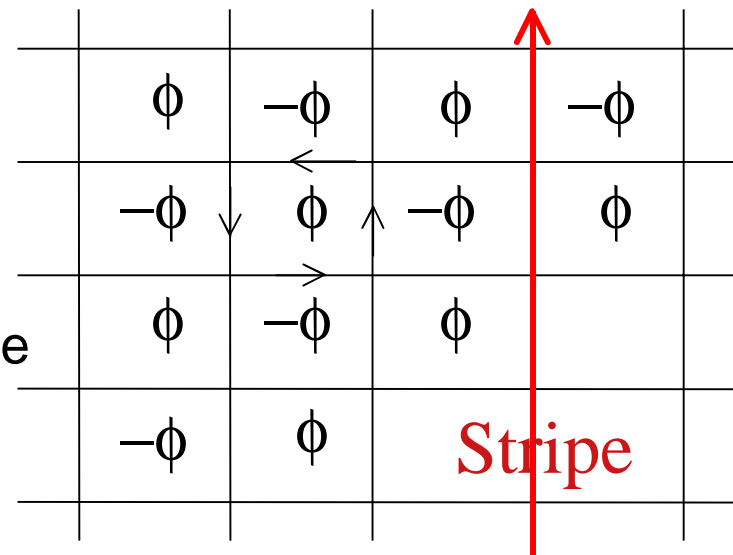
### Inhomogeneous density wave

$$i\Delta_Q Y(k) = \langle c_{k+Q\sigma}^+ c_{k\sigma} \rangle \quad \text{d-symmetry}$$

$$\Delta_{lQ_s\sigma} = \sum_k \langle c_{k+lQ_s\sigma}^+ c_{k\sigma} \rangle \quad \text{incommensurate}$$

$$Q_S^k = (\pi + 2\pi\delta, \pi) \quad \text{vertical}$$

$$Q_S = (\pi + 2\pi\delta, \pi + 2\pi\delta) \quad \text{diagonal}$$





# 10. Spectra in the hole-doped cuprates

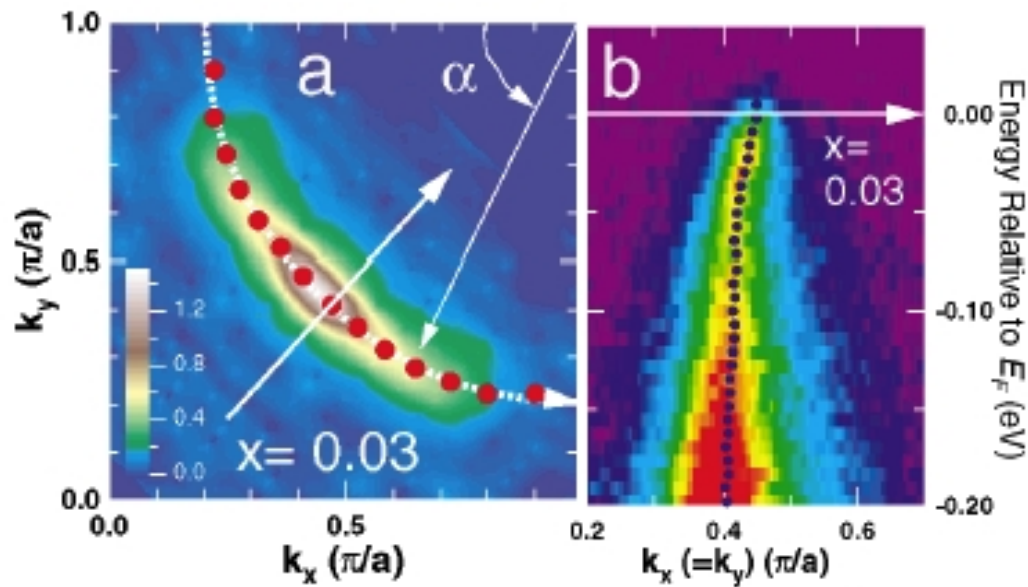
## Fermi arc

Lightly doped region  $x \sim 0.03$

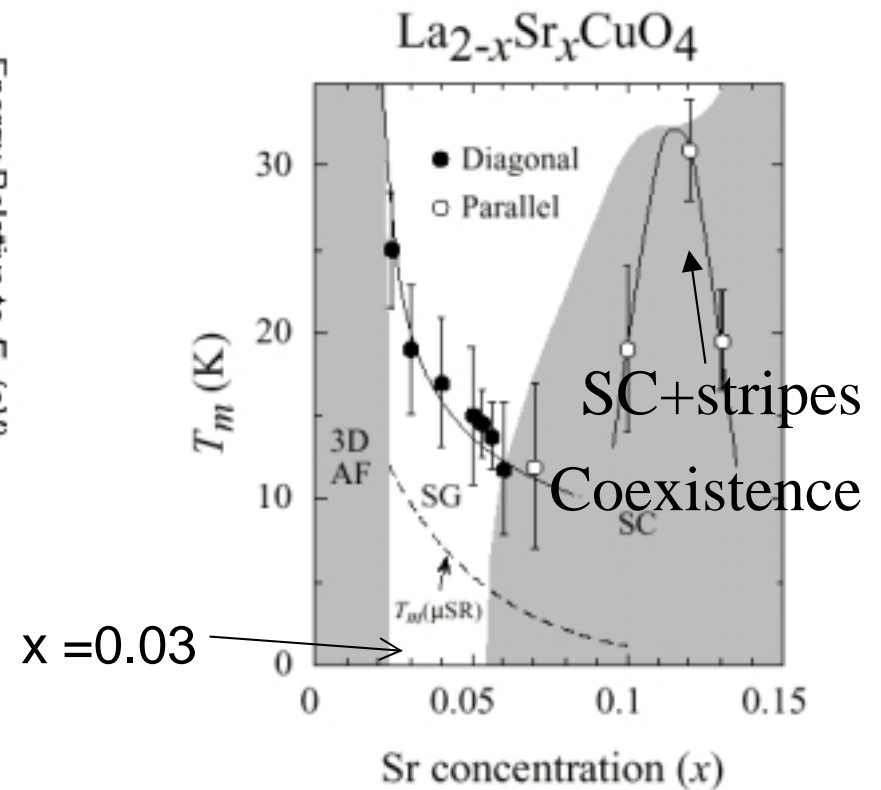
ARPES

**Peak around  $(\pi/2, \pi/2)$**

(Cold spot in normal state)



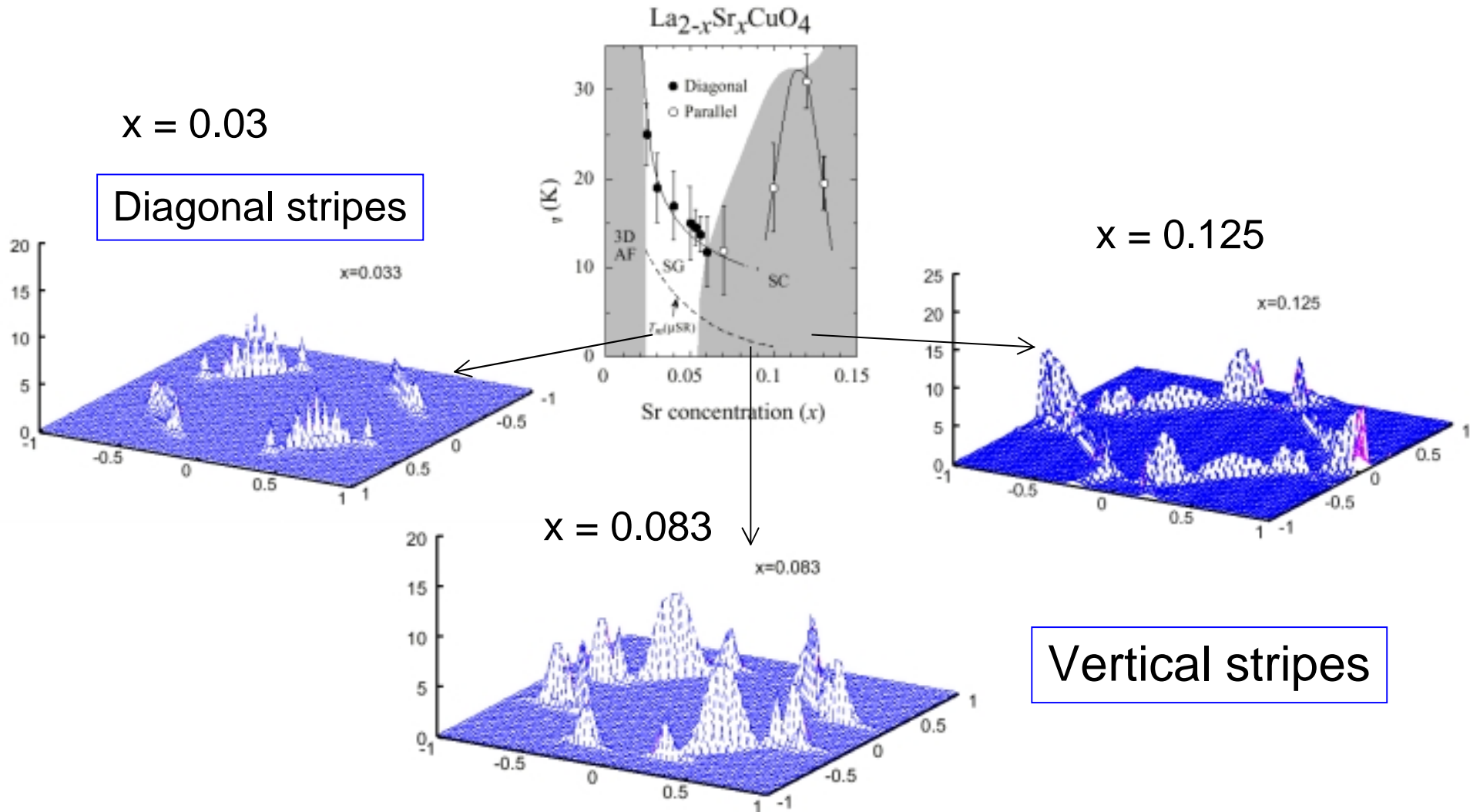
T.Yoshida et al. Phys. Rev. Lett. 91, 027001 (2003)



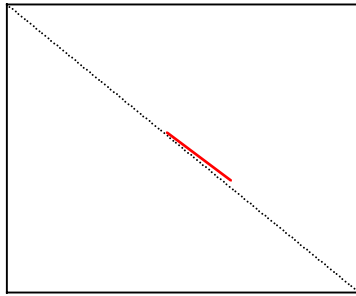
S.Wakimoto et al. PRB61, 3699('00)

# A model for Arc-like Spectra

## - Striped state and Tilted octahedron -



$(0, \pi)$



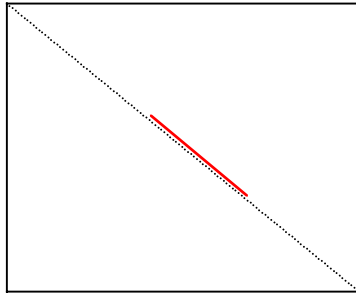
$(0, 0)$

$(\pi, 0)$

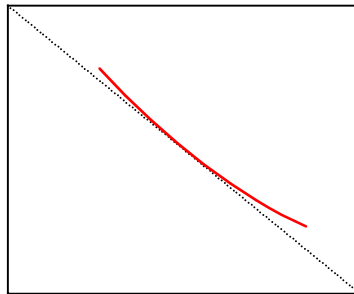
ARPES

$x = 0.028$

Fermi arc

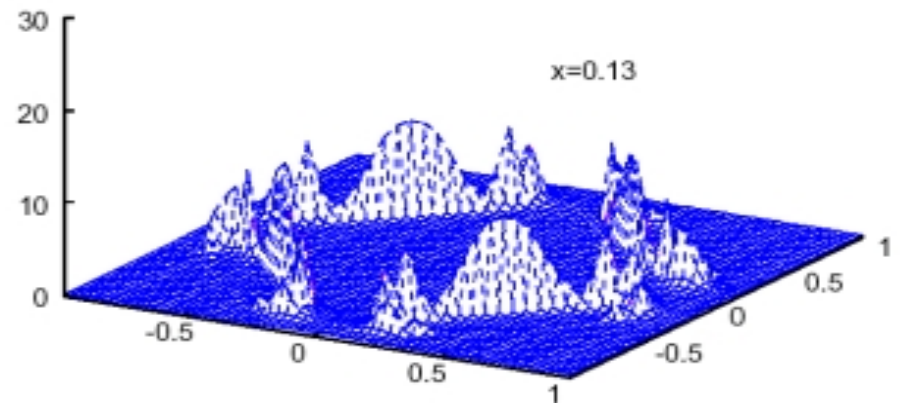
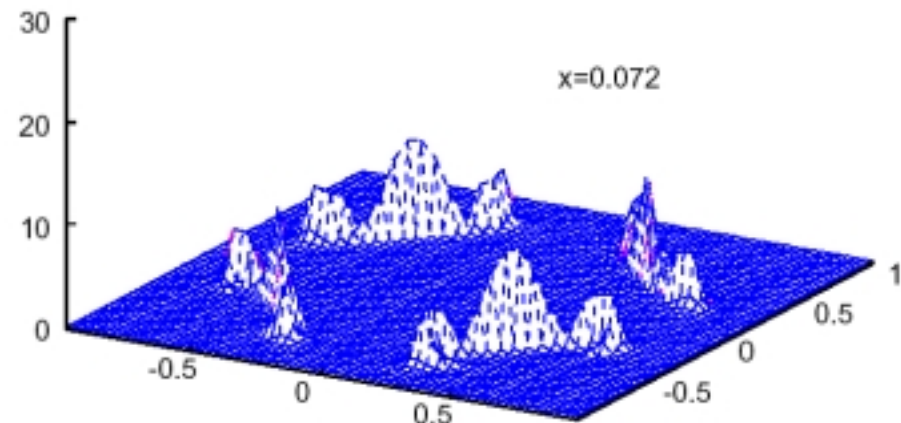
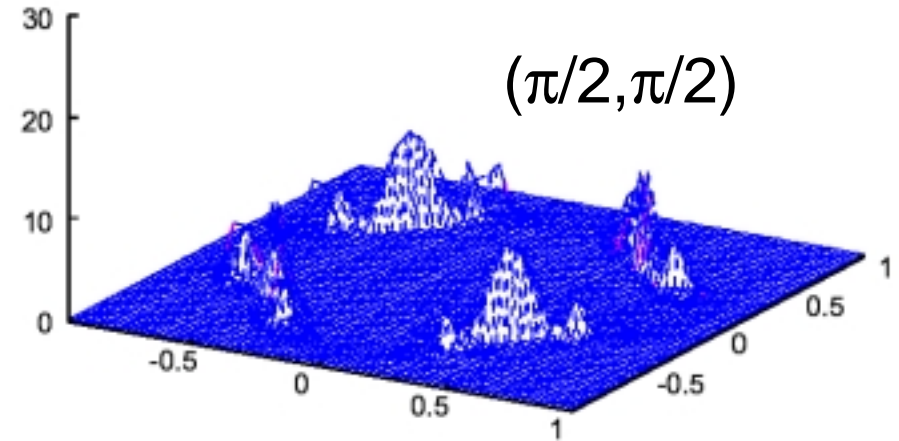


$x = 0.072$



$x = 0.13$

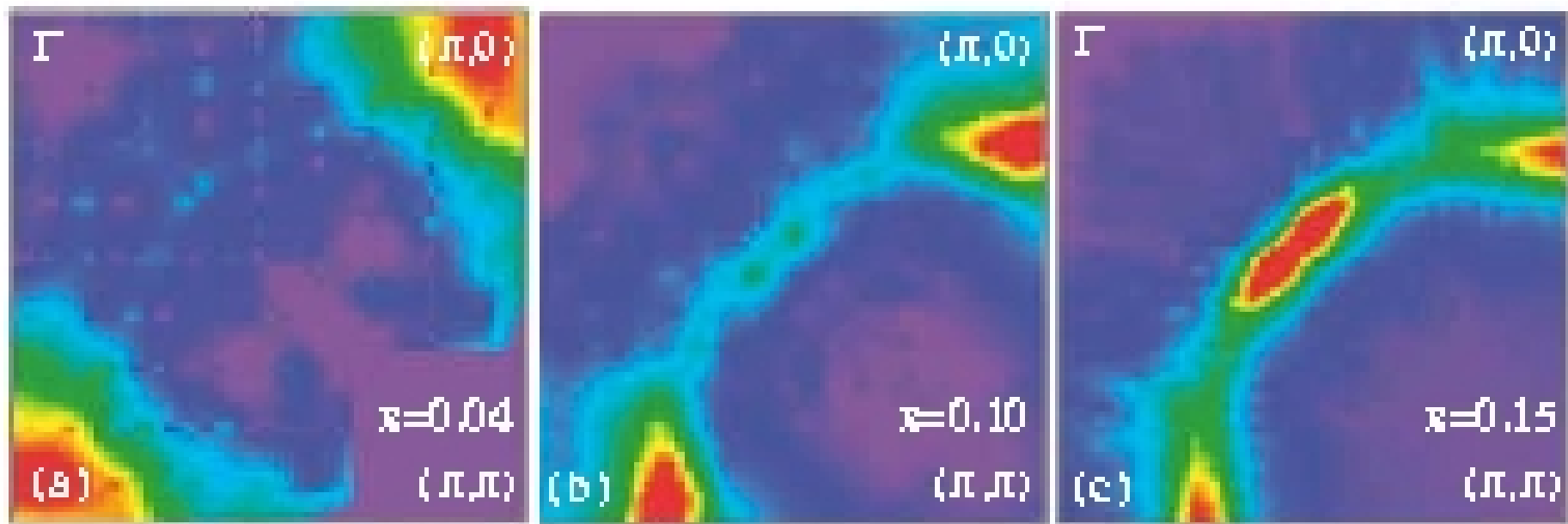
Flux state spectral function



T. Y. et al, JPSJ 74(2005)835.

# Spectra in the electron-doped region

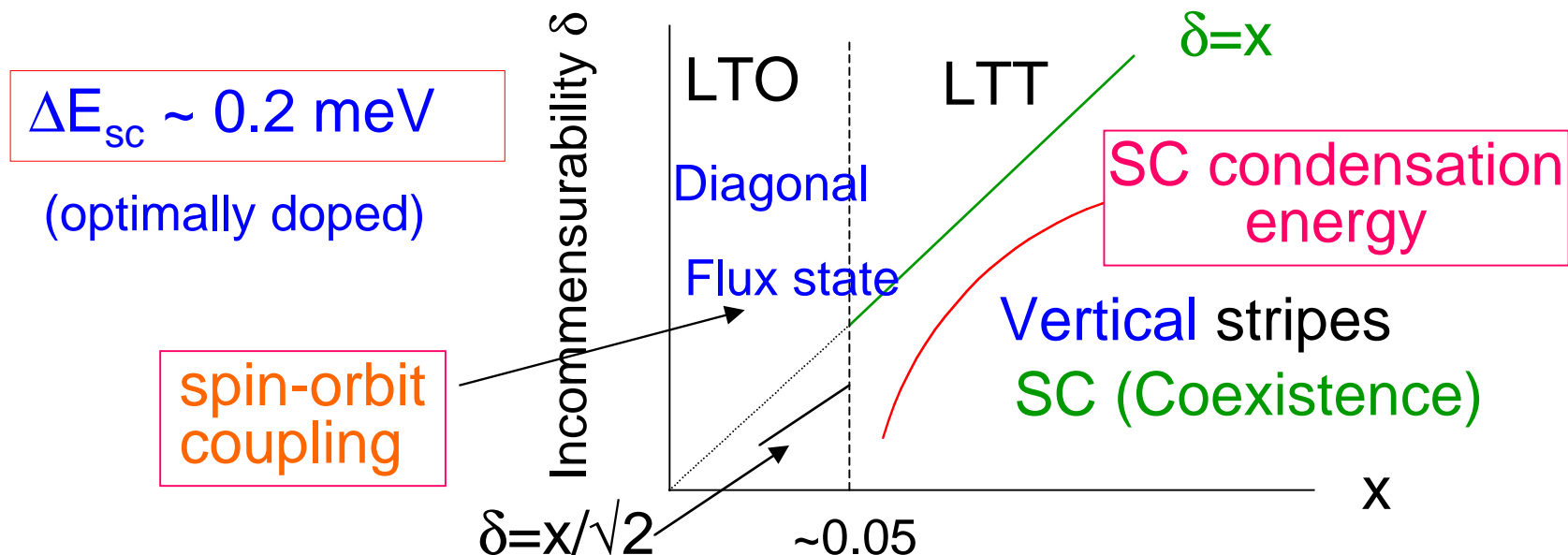
$\text{Nd}_{2-x}\text{Ce}_x\text{CuO}_{4\pm\delta}$  [ARPES]



N. P. Armitage *et al.*, *Phys. Rev. Lett.* **88**(2002)257001.

# 11. Summary

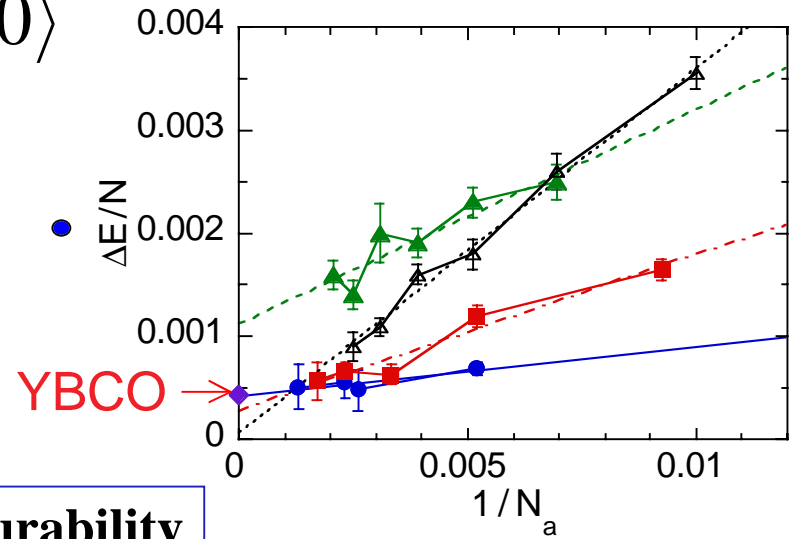
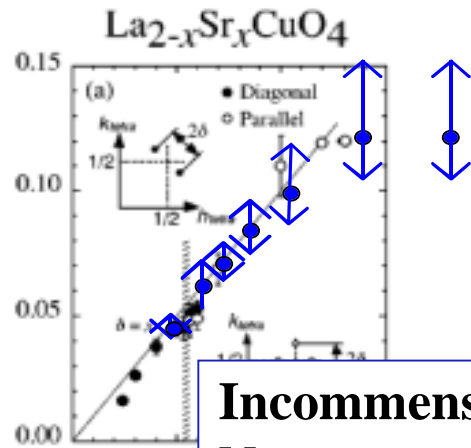
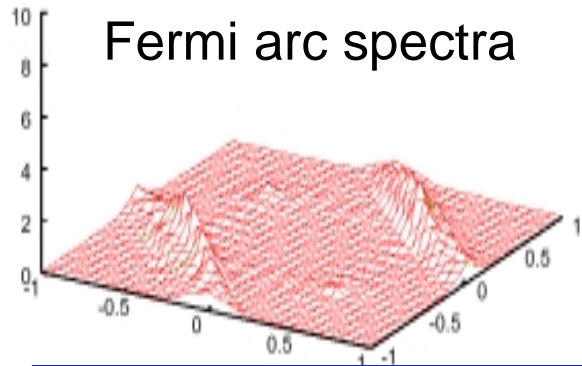
1. SC in correlated electron systems  
 = **Gutzwiller-projected BCS**  
 LSCO  $t'$  small Bulk limit of SC Condensation energy  
 Bi2212  $t', t''$  AF correlation is weak due to FS.  
 SC Cond. Energy is also small.
2. Vertical stripes : **Compete and Coexist with SC**
3. Diagonal stripes: Bond-center stripes for light doping
4. Spin-orbit and d-density wave



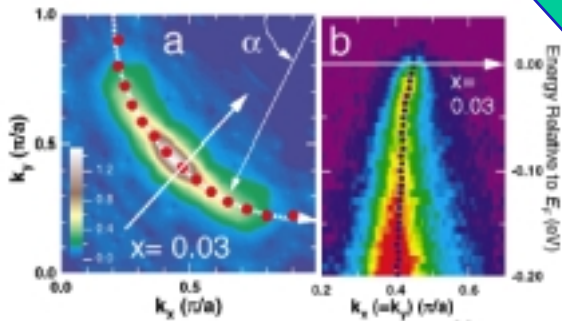
# Summary of Theoretical study

## Numerical calculations for the SC wave function

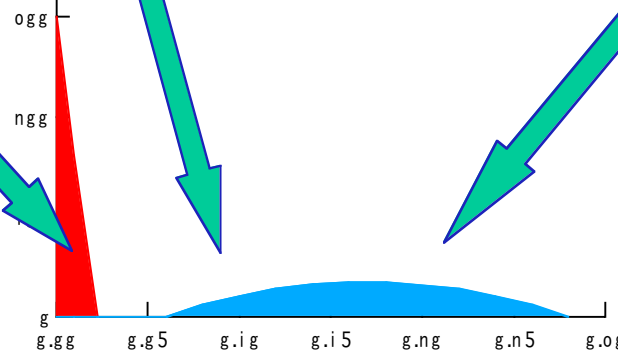
$$\Psi_{cdS} = P_G \prod_k (u_k + v_k c_{k\uparrow}^+ c_{-k\downarrow}^+) |0\rangle$$



Explanation of spectra



Incommensurability  
Neutron scatterings



underdope

overdope

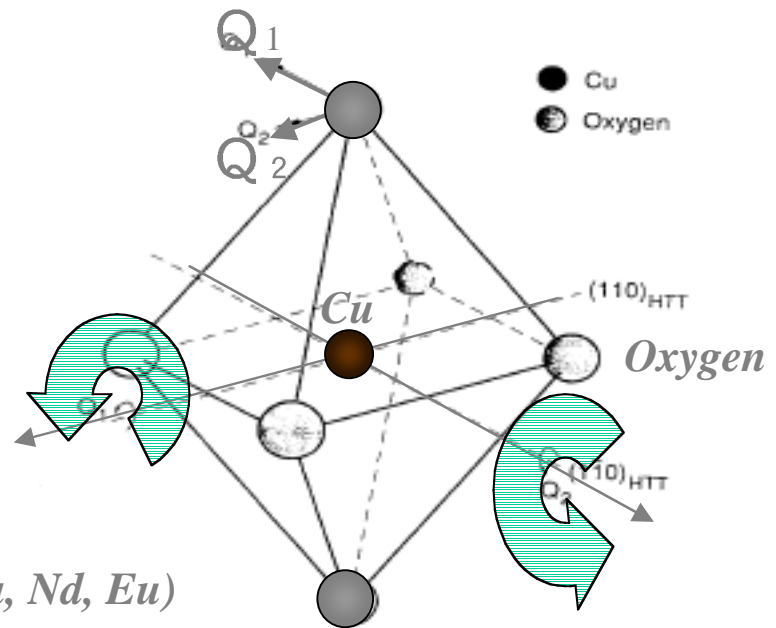
Theoretical estimate of  
SC condensation energy

Agreement with Exp.

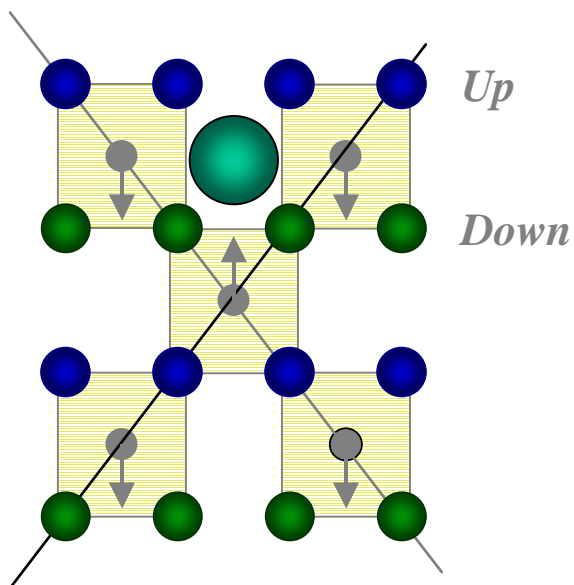
$E_{\text{cond}} \sim 0.2\text{meV}$

Spare

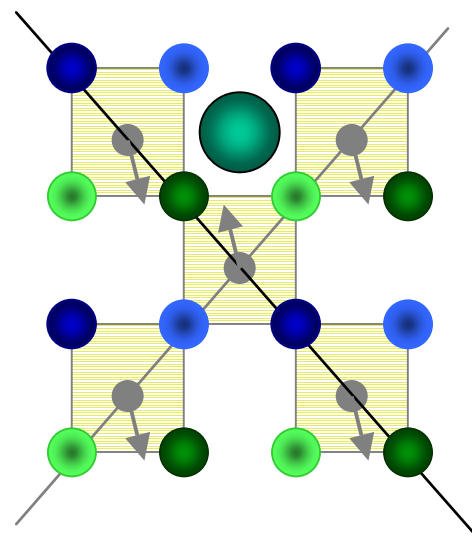
Lattice Distortion



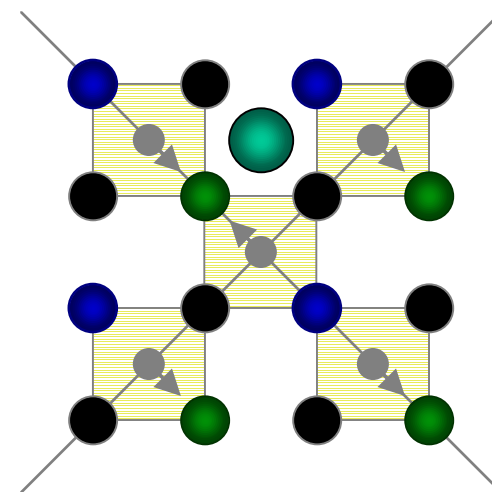
Lanthanide (La, Nd, Eu)  
Sr, Ba



LTO ( $Q_1=0, Q_2 \neq 0$ )  
Almost isotropic



LTLO ( $Q_1 \neq Q_2 \neq 0$ )



LTT ( $Q_1 = Q_2 \neq 0$ )  
Anisotropic