

Green's functions of Nambu-Goldstone modes and Higgs modes in superconductors

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We examine fundamental properties of Green's functions of Nambu-Goldstone and Higgs modes in superconductors with multiple order parameters. Nambu-Goldstone and Higgs modes are determined once the symmetry of the system and that of the order parameters are specified. Multiple Nambu-Goldstone modes and Higgs modes exist when we have multiple order parameters. The Nambu-Goldstone Green function $D(\omega, \mathbf{q})$ has the form $1/(gN(0))^2 \cdot (2\Delta)^2/(\omega^2 - c_s^2 \mathbf{q}^2)$ with the coupling constant g and $c_s = v_F/\sqrt{3}$ for small ω and \mathbf{q} , with a pole at $\omega = 0$ and $\mathbf{q} = 0$ indicating the existence of a massless mode. It is shown, based on the Ward-Takahashi identity, that the massless mode remains massless in the presence of intraband scattering due to nonmagnetic and magnetic impurities. The pole of $D(\omega, \mathbf{q})$, however, disappears as ω increases as large as 2Δ : $\omega \sim 2\Delta$. The Green function $H(\omega, \mathbf{q})$ of the Higgs mode is given by $H(\omega, \mathbf{q}) \propto (2\Delta)^2/((2\Delta)^2 - \frac{1}{3}\omega^2 + \frac{1}{3}c_s^2 \mathbf{q}^2)$ for small ω and \mathbf{q} . $H(\omega, \mathbf{q})$ is proportional to $1/(gN(0))^2 \cdot \Delta/\sqrt{(2\Delta)^2 + c_s^2 \mathbf{q}^2 - \omega^2}$ for $\omega \sim 2\Delta$ and $\omega < \omega(\mathbf{q})$ where $\omega(\mathbf{q}) = \sqrt{(2\Delta)^2 + c_s^2 \mathbf{q}^2}$. This behavior is similar to that of the σ -particle Green function in the Gross-Neveu model. That is, the Higgs Green function $H(\omega, \mathbf{q})$ has the same singularity as the Green function of the σ boson of the Gross-Neveu model. The constant part of the action for the Higgs modes is important since it determines the coherence length of a superconductor. There is the case that it has a large eigenvalue, indicating that the large upper critical field H_{c2} may be realized in a superconductor with multiple order parameters.

I. INTRODUCTION

When global and continuous symmetries are spontaneously broken, gapless excitation modes, called the Nambu-Goldstone (NG) bosons, exist and govern the long-distance behaviors of the system. The spontaneous symmetry breaking indicates that the state is not invariant under a symmetry transformation although the Lagrangian is invariant under this transformation. The spontaneous symmetry breaking occurs when an asymmetric state is realized in a symmetric system. When a continuous symmetry is spontaneously broken, a massless boson appears, called the Nambu-Goldstone boson (NG boson)[1–4]. The spontaneous symmetry breaking has been studied intensively in condensed matter physics[5–10] and in field theory[11–21].

A superconducting transition is a typical example of the spontaneous symmetry breaking. The Nambu-Goldstone boson and also the Higgs boson appear associated with this transition. The second-order phase transition that occurs as a spontaneous symmetry breaking is characterized by the order parameter. A multi-component (multi-band) superconductor has been studied as a generalization of the Bardeen-Cooper-Schrieffer (BCS) theory[22]. The study of multi-band superconductivity started from works by Moskalenko[23], Suhl et al.[24], Peretti[25] and Kondo[26]. There appear many interesting properties in multi-band superconductors such as time-reversal symmetry breaking[27–40], the existence of massless modes[41–46], unusual isotope effect[47–49] and the existence of fractionally quantized-flux vortices[50–55]. We have multiple order parameters, and thus there appear multiple Nambu-Goldstone bosons and Higgs bosons[41, 56–64]. This will result in significant excitation modes that are unique in multi-band

superconductors. The phase-difference mode between two order parameters is sometimes called the Leggett mode[65]. An effective model for the dynamics of the phase-difference mode, that is, the sine-Gordon model has also been examined[21, 31, 41, 66].

The purpose of this paper is to investigate properties of Green's functions of Nambu-Goldstone bosons (modes) and Higgs bosons in superconductors. The Nambu-Goldstone mode is a phase mode of the order parameter and the Higgs mode is a fluctuation mode of the amplitude of the order parameter. We have interband couplings g_{mn} as well as intraband attractive couplings g_{nn} in a multi-band superconductor, where m and n stand for band indices. The matrix $g \equiv (g_{mn})$ determines the property of superconductors. The Green functions also show dependence on the matrix g . We investigate the dispersion relation of excitation gaps.

In an N -band superconductor, there are N Nambu-Goldstone modes. We have one gapless mode (Nambu-Goldstone mode) and the other $N - 1$ modes are massive (called the Nambu-Goldstone-Leggett or Leggett modes) in general when there are non-zero interband couplings g_{nm} . The Nambu-Goldstone mode is a mode described by the quasiparticle excitation mode, namely the Green function $D(\omega, \mathbf{q})$ is proportional to $D(\omega, \mathbf{q}) \propto (2\Delta)^2/(\omega^2 - c_s^2 \mathbf{q}^2)$ with finite residue for small (ω, \mathbf{q}) (where $c_s = v_F/\sqrt{3}$ for the Fermi velocity v_F). $D(\omega, \mathbf{q})$ has, however, no singularity when $\omega \sim 2\Delta$ (where Δ is the gap function).

When the time reversal symmetry is broken, which depends on the matrix g , some of Leggett modes become gapless when N is greater than 2. We can incorporate the effects of interaction in Green's function, using the Ward-Takahashi identity. The Nambu-Goldstone mode remains gapless even with electron scattering due to im-

purities.

We also examine the property of Green's function of the Higgs mode. The kinetic term of the action of Higgs modes is dependent upon temperature. The Higgs action reduces to the time-dependent Ginzburg-Landau model (TDGL) with dissipation when the temperature is close to the critical temperature T_c . At low temperatures, instead, the action is given by the quadratic form without dissipation. We have $H(\omega, \mathbf{q}) \propto (\omega^2 - (2\sqrt{3}\Delta)^2 - c_s^2 \mathbf{q}^2)^{-1}$ for small ω and \mathbf{q} , based on the BCS theory. This has no pole when ω is small as far as $0 \leq \omega \leq 2\Delta$. When $\omega \sim 2\Delta$, $H(\omega, \mathbf{q})$ is given by $H(\omega, \mathbf{q}) \propto \Delta / \sqrt{(2\Delta)^2 + c_s^2 \mathbf{q}^2 - \omega^2}$.

We also mention that the constant term of the action of Higgs modes is important since it is related with the upper critical field H_{c2} . The eigenvalue y_H^2 of the constant term of the action of Higgs bosons is enhanced extremely or is softened, depending on the coupling constant matrix g . Because y_H is proportional to the inverse of the coherence length ξ , the upper critical field H_{c2} scales linearly with the square of y_H : $H_{c2} \propto y_H^2$. The large eigenvalue y_H indicates a possibility of the large critical field H_{c2} .

The paper is organized as follows. In Section II, we briefly show formulas for spontaneous symmetry breaking that are necessary in later Sections. In Section III, we examine the properties of Green's functions of Nambu-Goldstone modes in superconductors. In Section IV, the plasma mode is investigated in the presence of electromagnetic scalar potential. We discuss Green's functions of the Higgs modes in Section V. We give a summary in last Section.

II. FORMULAS FOR SPONTANEOUS SYMMETRY BREAKING

In this section, we give a brief formal theory on spontaneous symmetry breaking. This can be applied to multi-band superconductivity. Let us assume that the system is invariant under the continuous transformation given by a compact Lie group G . \mathfrak{g} denotes the Lie algebra of G . The elements of the basis set of \mathfrak{g} are denoted as T_a ($a = 1, \dots, N_G$) where N_G is the dimension of G . The transformation of the fermion field ψ is represented as

$$\psi \rightarrow e^{-i\theta T_a} \psi = \psi - i\theta T_a \psi + O(\theta^2), \quad (1)$$

where θ is an infinitesimal real parameter. We set $\delta\psi_a = -i\theta T_a \psi$ for this transformation. When the Lagrangian \mathcal{L} or the Hamiltonian is invariant under the transformation $\psi \rightarrow \psi + \delta\psi_a$, there is a conserved current.

$$j_a^\mu = \frac{\delta \mathcal{L}}{\delta(\partial_\mu \psi)} \delta\psi_a. \quad (2)$$

We have $\partial_\mu j_a^\mu = 0$. The conserved quantities are given by

$$Q_a = \int d\mathbf{r} J_a^0(\mathbf{r}), \quad (3)$$

where we defined

$$J_a^\mu = j_a^\mu / \theta. \quad (4)$$

In spontaneous symmetry breaking, the ground state loses a part of symmetry that the Lagrangian possesses. We introduce an infinitesimal term in the Lagrangian to consider a spontaneous symmetry breaking:

$$\mathcal{L}_{SB} = \lambda \psi^\dagger M \psi, \quad (5)$$

where λ is a real infinitesimal parameter and M is a hermitian matrix in the basis set of the Lie algebra \mathfrak{g} , that is, $M \in \{T_a\}$. M indicates a broken symmetry and can be a linear combination of T_a . The second-order phase transition is characterized by the order parameter, where the order parameter is given by the expectation value of the symmetry breaking term:

$$\Delta = \langle \psi^\dagger M \psi \rangle. \quad (6)$$

The spontaneous symmetry breaking occurs when Δ does not vanish, $\Delta \neq 0$, in the limit $\lambda \rightarrow 0$. Under the transformation $\psi \rightarrow \psi - i\theta T_a \psi$, \mathcal{L}_{SB} is transformed to $\mathcal{L}_{SB} + \delta\mathcal{L}_{SB}$ where

$$\delta\mathcal{L}_{SB} = i\theta \lambda \psi^\dagger [T_a, M] \psi. \quad (7)$$

Then, the corresponding current is not conserved:

$$\partial_\mu J_a^\mu = \delta\mathcal{L}_{SB} = i\lambda \psi^\dagger [T_a, M] \psi. \quad (8)$$

The Nambu-Goldstone boson is given by

$$\pi_a = i\psi^\dagger [T_a, M] \psi. \quad (9)$$

π_a indeed indicates a massless boson, which is shown by evaluating the Green's function,

$$D_{aa}(x-y) = -i \langle T \pi_a(x) \pi_b(y) \rangle. \quad (10)$$

The Fourier transform of $D_{aa}(x-y)$ has a pole at $q = (\omega, \mathbf{q}) = 0$ in the limit $\lambda \rightarrow 0$. We set $M = T_m \in \{T_a\}$ and assume that $\Delta_m \equiv \langle \psi^\dagger T_m \psi \rangle \neq 0$ in the limit $\lambda \rightarrow 0$. Then, we can show

$$\Delta_m = \frac{1}{\sum_c f_{amc}^2} \lambda D_{aa}(\omega = 0, \mathbf{q} = 0), \quad (11)$$

where we assumed that $[T_a, T_m] \neq 0$ and f_{amc} are the structure constants defined by

$$[T_a, T_b] = \sum_c i f_{abc} T_c. \quad (12)$$

This indicates that

$$D_{aa}(\omega = 0, \mathbf{q} = 0) \propto 1/\lambda. \quad (13)$$

Hence, we have a gapless mode in the limit $\lambda \rightarrow 0$.

TABLE I. Examples of spontaneous symmetry breaking. BEC indicates the Bose-Einstein condensation. NJL denotes the Nambu-Jona-Lasinio model. For superconductivity ψ indicates the Nambu representation $\psi(x) = {}^t(\psi_\uparrow(x), \psi_\downarrow^\dagger)$. For ferromagnetism ψ is a doublet of fermions given by $\psi(x) = {}^t(\psi_\uparrow(x), \psi_\downarrow(x))$. For BEC, ϕ is a complex scalar field. In NJL ψ is a Dirac spinor where $\bar{\psi} = \psi^\dagger \gamma_0$.

Phenomena	Symmetry	Higgs boson	NG boson
Superconductivity	$\psi \rightarrow \exp(i\theta\sigma_3)\psi$	$\psi^\dagger\sigma_1\psi$	$\psi^\dagger\sigma_2\psi$
Ferromagnetism	$\psi \rightarrow \exp(i\theta\sigma_1)\psi$	$\psi^\dagger\sigma_3\psi$	$\psi^\dagger\sigma_2\psi$
BEC	$\phi \rightarrow \exp(i\theta)\phi$	$\phi + \phi^\dagger$	$i(\phi - \phi^\dagger)$
NJL	$\psi \rightarrow \exp(i\theta\gamma_5)\psi$	$\bar{\psi}\psi$	$i\bar{\psi}\gamma_5\psi$

The Higgs boson means the fluctuation mode of the amplitude of the order parameter. We define the Higgs boson as

$$h = \psi^\dagger T_m \psi. \quad (14)$$

We show examples of spontaneous symmetry breaking in Table I. Our formulation can be applied to second-order phase transitions that occur as a spontaneous symmetry breaking. An application to superconductivity is shown in the next section. In the ferromagnetic transition, $SU(2)$ symmetry is broken to $U(1)$ symmetry, where the bases of Lie algebra $\{T_a\}$ are given by Pauli matrices σ_a . For the Hubbard model[70–74], the Lagrangian including the interaction term $V = U\psi_\uparrow^\dagger\psi_\uparrow\psi_\downarrow^\dagger\psi_\downarrow$ is invariant under the transformation $\psi \rightarrow e^{-i\theta\sigma_a}\psi$ for $\psi = {}^t(\psi_\uparrow, \psi_\downarrow)$ and $a = 1, 2$ and 3 . The symmetry breaking term is given as the magnetization of electrons: $\mathcal{L}_{SB} = \lambda\psi^\dagger\sigma_3\psi$.

III. NAMBU-GOLDSTONE GREEN'S FUNCTION IN SUPERCONDUCTORS

A. Hamiltonian and excitation modes

Let us investigate Nambu-Goldstone modes in single-band as well as multi-band superconductors. This subject has been studied intensively[24–38, 41–46, 65]. The Hamiltonian is

$$H = \sum_{i\sigma} \int d\mathbf{r} \psi_{n\sigma}^\dagger(\mathbf{r}) K_n(\mathbf{r}) \psi_{n\sigma}(\mathbf{r}) - \sum_{ij} g_{nm} \int d\mathbf{r} \psi_{n\uparrow}^\dagger(\mathbf{r}) \psi_{n\downarrow}^\dagger(\mathbf{r}) \psi_{m\downarrow}(\mathbf{r}) \psi_{m\uparrow}(\mathbf{r}), \quad (15)$$

where n and m ($=1, 2, \dots, N$) are band indices. $K_n(\mathbf{r})$ stands for the kinetic operator given by $K_n(\mathbf{r}) = p^2/(2m_n) - \mu \equiv \xi_n(\mathbf{p})$ where μ is the chemical potential. We assume that $g_{nm} = g_{mn}^*$. The second term indicates the pairing interaction with the coupling constants g_{nm} . This model is a simplified version of multi-band model

and the coupling constants g_{nm} are assumed to be real constants.

We use the Nambu representation

$$\psi_n = \begin{pmatrix} \psi_{n\uparrow} \\ \psi_{n\downarrow}^\dagger \end{pmatrix}. \quad (16)$$

In the single-band case, the Hamiltonian is invariant under the gauge transformation

$$\psi \rightarrow e^{-i\theta\sigma_3}\psi, \quad (17)$$

for $\psi = \psi_1$. This means the model has $U(1)$ phase invariance for $\psi_\sigma \rightarrow e^{-i\theta}\psi_\sigma$. In the multi-band case, however, the inter-band interactions for $n \neq m$ break the gauge invariance. Thus we have only one gauge invariance. This indicates the existence of one massless NG mode and other NG fields become massive modes.

In the N -band model, we have N order parameters Δ_n ($n = 1, \dots, N$). The symmetry breaking terms are given by

$$H_{SB} = \sum_n \lambda_n \psi_n^\dagger \sigma_1 \psi_n, \quad (18)$$

where ψ_n is the Nambu representation for the n -th band fermions: $\psi_n = {}^t(\psi_{n\uparrow}, \psi_{n\downarrow}^\dagger)$. λ_n is an infinitesimal parameter for the n -th band. According to the discussion in the previous section, the Nambu-Goldstone fields are

$$\pi_n = \psi_n^\dagger \sigma_2 \psi_n. \quad (19)$$

The Higgs modes are represented by

$$h_n = \psi_n^\dagger \sigma_1 \psi_n, \quad (20)$$

for $n = 1, \dots, N$. h_n indicates the fluctuation mode of the amplitude of the order parameter.

B. Green's functions of Nambu-Goldstone modes

The NG boson Green's functions are given as a matrix $D = (D_{nm})$ where

$$D_{nm}(x-y) = -i\langle T\pi_n(x)\pi_m(y) \rangle. \quad (21)$$

The Fourier transform of $D_{nm}(x-y)$ is denoted as $D_{nm}(\omega, \mathbf{q})$. We show diagrams that contribute to NG boson Green's function in Fig.1. The equation for NG boson Green's functions is written as shown in Fig.2[61, 62]:

$$D_{nm}(q) = -i \int \frac{dk_0}{2\pi} \frac{d^d k}{(2\pi)^d} \text{tr} \left[4\delta_{nm} \sigma_2 G_n(k) \sigma_2 G_n(k+q) - 2 \sum_\ell \sigma_2 G_n(k) \Lambda_{n\ell}(k, k+q) G_n(k+q) D_{\ell m}(q) \right], \quad (22)$$

for $q = (\omega, \mathbf{q})$ where d is the space dimension, tr means taking the trace of a matrix and $\Lambda_{n\ell}$ indicates the vertex function. The electron Green's function is defined as

$$G_n(\omega, \mathbf{k})^{-1} = \begin{pmatrix} \omega - \xi(\mathbf{k}) & -\bar{\Delta}_n \\ -\bar{\Delta}_n & \omega + \xi(\mathbf{k}) \end{pmatrix} - \Sigma_n(k), \quad (23)$$

where the gap function $\bar{\Delta}_n$ is adopted to be real. We use the four momentum notation $k = (k_0, \mathbf{k}) = (\omega, \mathbf{k})$. $\Sigma_n(k)$ indicates the self-energy part in the n -th band, given by a 2×2 matrix, that represents an interaction effect. In the finite-temperature formulation using the Matsubara Green's functions, the integral with respect to k_0 is replaced by the Matsubara frequency summation.

There is a relation between the vertex function $\Lambda_{n\ell}$ and the self-energy Σ_n based on the Ward-Takahashi identity[61, 62]. We put the vertex function in the form:

$$\Lambda_{n\ell} = \frac{1}{4} \tilde{\Lambda}_n g_{n\ell} \sigma_2, \quad (24)$$

where $\tilde{\Lambda}_n$ shows a modification due to the self-energy correction. For the BCS model without electron interaction, we have $\tilde{\Lambda}_n = 1$. We define

$$\chi_{NG,n}(q) = -\frac{1}{2} i \int \frac{dk_0}{2\pi} \frac{d^d k}{(2\pi)^d} \text{tr} \sigma_2 G_n(k) \sigma_2 G_n(k+q), \quad (25)$$

$$\begin{aligned} \tilde{\chi}_{NG,n}(q) &= -\frac{1}{2} i \int \frac{dk_0}{2\pi} \frac{d^d k}{(2\pi)^d} \text{tr} \tilde{\Lambda}_n(k, p) \sigma_2 G_n(k) \sigma_2 \\ &\times G_n(k+q). \end{aligned} \quad (26)$$

We use the matrix notation $D = (D_{nm})$ for the NG boson Green's function, and those for $\chi_{NG,n}$ and $\tilde{\chi}_{NG,n}$:

$$\chi = \text{diag}(\chi_{NG,1}, \chi_{NG,2}, \dots), \quad (27)$$

$$\tilde{\chi} = \text{diag}(\tilde{\chi}_{NG,1}, \tilde{\chi}_{NG,2}, \dots). \quad (28)$$

The NG boson Green function matrix is represented as

$$D(q) = 8g^{-1}(g^{-1} + \tilde{\chi}(q))^{-1}\chi(q), \quad (29)$$

where g is the matrix of coupling constants given by $g = (g_{n\ell})$. For a single-band superconductor, g itself denotes the coupling constant. The singularity of D is determined by the zero of $g^{-1} + \tilde{\chi}$.

We can show that a massless mode indeed exists, namely, the dispersion $\omega(\mathbf{q})$ approaches zero as $|\mathbf{q}| \rightarrow 0$. We consider the case where the self-energy part Σ_n vanishes. $\chi(q)$ can be calculated exactly in the limit $\mathbf{q} = 0$. The inverse of $D(q)$ for $q = (\omega, \mathbf{q})$ is proportional to

$$\begin{aligned} &(D^{-1}(\omega, \mathbf{q}))_{nm} \\ &\propto (g^{-1})_{nm} - \frac{\delta_{nm}}{2} i \text{Tr} \sigma_2 G_n^{(0)}(k) \sigma_2 G_n^{(0)}(k+q) \\ &= (g^{-1})_{nm} - \delta_{nm} \left[f_n + \frac{1}{2} N_n(0) \frac{\omega}{\bar{\Delta}_n} \frac{1}{\sqrt{1 - (\omega/2\bar{\Delta}_n)^2}} \right. \\ &\quad \left. \times \tan^{-1} \sqrt{\frac{(\omega/2\bar{\Delta}_n)^2}{1 - (\omega/2\bar{\Delta}_n)^2}} + O(|\mathbf{q}|^2) + \dots \right], \end{aligned} \quad (30)$$

for $|\mathbf{q}| \rightarrow 0$ where $\bar{\Delta}_n$ is the mean-field value of the order parameter and δ_{nm} is the Kronecker delta. v_{Fn} is the Fermi velocity of the n -th band, and $N_n(0)$ is the density of states at the Fermi surface in the n -th band. We used the notation

$$f_n = N_n(0) \int d\xi_k \frac{1}{2E_n} \tanh\left(\frac{E_n}{2k_B T}\right), \quad (31)$$

for $E_n = \sqrt{\xi_k^2 + \bar{\Delta}_n^2}$. Because the gap equation is given as

$$\det(g^{-1} - F) = 0, \quad (32)$$

where $F = \text{diag}(f_1, \dots, f_N)$ is the diagonal matrix with elements f_n ($n = 1, \dots, N$), $\det D^{-1}(\omega, \mathbf{q})$ has a zero as $\omega \rightarrow 0$ and $\mathbf{q} \rightarrow 0$, indicating that $\omega(\mathbf{q}) \rightarrow 0$ as $\mathbf{q} \rightarrow 0$. Thus the NG mode exists with vanishing gap. The other $N - 1$ modes become massive due to the interband couplings g_{nm} . These modes are called the Leggett modes[65]. When all the couplings g_{nm} vanish, we have N massless modes.

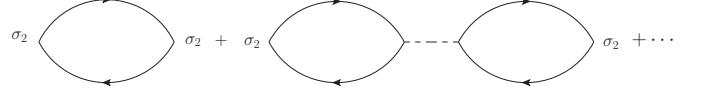


FIG. 1. Diagrams for Nambu-Goldstone boson Green's function. The solid line shows the electron propagator and the dashed line denotes the BCS pairing interaction.

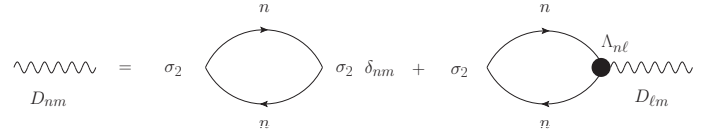


FIG. 2. Diagrams for the equation of Nambu-Goldstone boson Green's function. The solid line shows the electron propagator and the wavy line denotes the NG Green function. We introduced the vertex function indicated by the dot.

C. Poles of the NG boson Green's function D

The energy dispersion of the NG mode is determined by the poles of the NG Green function. In general, we have one massless mode and $N - 1$ gapped modes for an N -band superconductor. The poles depend on the intraband and interband couplings g_{nm} . We consider the case $\Sigma_n = 0$ in this subsection. In this case we have $\tilde{\chi} = \chi$.

We assume that $v_{Fn}|\mathbf{q}|/q_0$ is small for $q = (q_0, \mathbf{q})$. At absolute zero, we obtain

$$\begin{aligned} \chi_{NG,n}(q) &= -N_n(0) \int d\xi_k \frac{1}{2E_n(\xi_k)} \\ &\quad - N_n(0) \frac{\tilde{q}_n}{2\bar{\Delta}_n} \frac{1}{\sqrt{1 - (\tilde{q}_n/2\bar{\Delta}_n)^2}} \\ &\quad \times \tan^{-1} \left(\frac{\tilde{q}_n}{2\bar{\Delta}_n} \frac{1}{\sqrt{1 - (\tilde{q}_n/2\bar{\Delta}_n)^2}} \right), \end{aligned} \quad (33)$$

for

$$\tilde{q}_n = \sqrt{q_0^2 - \frac{1}{3} v_{Fn}^2 \mathbf{q}^2}, \quad (34)$$

where we used an approximation $(\mathbf{v}_F \cdot \mathbf{q})^2 \approx (1/3)v_F^2|\mathbf{q}|^2$. q_0 and \mathbf{q} appear as a linear combination $q_0^2 - (1/3)v_{Fn}^2\mathbf{q}^2$ for $v_{Fn}|\mathbf{q}|/q_0 \ll 1$. The equation $\det(g^{-1} + \tilde{\chi})(q) = 0$ determines the dispersion relation of the NG modes. We have one massless mode since $\det D^{-1}(q)$ vanishes as $q = (q_0, \mathbf{q}) \rightarrow 0$. $D(q)$ has a pole with a finite residue. Using $\tan^{-1} x = x - (1/3)x^3 + \dots$, for a single-band superconductor, the NG Green function for small q_0 and \mathbf{q} is written as

$$D(q) \simeq 8N(0) \frac{1}{(gN(0))^2} \frac{(2\Delta)^2}{q_0^2 - c_s^2 \mathbf{q}^2}, \quad (35)$$

where $c_s = v_F/\sqrt{3}$. In the two-band case, we obtain

$$D(q) = \tilde{D}(q) \left[\frac{\gamma_{12}}{4\bar{\Delta}_1\bar{\Delta}_2} (N_1 + N_2)(q_0^2 - v_{NG}^2 \mathbf{q}^2) + \frac{N_1 N_2}{16\bar{\Delta}_1^2 \bar{\Delta}_2^2} \left(q_0^4 - \frac{1}{3}(v_{F1}^2 + v_{F2}^2) q_0^2 \mathbf{q}^2 \right) \right]^{-1}, \quad (36)$$

where

$$v_{NG}^2 = \frac{1}{3} \frac{N_1 v_{F1}^2 + N_2 v_{F2}^2}{N_1 + N_2}, \quad (37)$$

and we write $N_m = N_m(0)$. γ_{nm} is the strength of Josephson coupling defined as the inverse of g :

$$\gamma_{nm} = (g^{-1})_{nm}. \quad (38)$$

There are corrections to the coefficient of q_0^4 and $q_0^2 \mathbf{q}^2$, which are of the order of γ_{12} . We neglected them in eq.(36). $\tilde{D}(q)$ is a matrix given as

$$\tilde{D}(q) = g^{-1} \begin{pmatrix} \gamma_{22} - f_2 - N_2 I(\frac{\bar{q}_2}{2\bar{\Delta}_2}) & -\gamma_{12} \\ -\gamma_{21} & \gamma_{11} - f_1 - N_1 I(\frac{\bar{q}_1}{2\bar{\Delta}_1}) \end{pmatrix} \times \begin{pmatrix} \chi_1(q) & 0 \\ 0 & \chi_2(q) \end{pmatrix},$$

where we put

$$I(x) = \frac{x}{\sqrt{1-x^2}} \tan^{-1} \left(\frac{x}{\sqrt{1-x^2}} \right). \quad (40)$$

In deriving $D(q)$ in eq.(36), we used the gap equation written as

$$(\gamma_{11} - f_1)\bar{\Delta}_1 + \gamma_{12}\bar{\Delta}_2 = 0, \quad (41)$$

$$\gamma_{21}\bar{\Delta}_1 + (\gamma_{22} - f_2)\bar{\Delta}_2 = 0. \quad (42)$$

From zeros of the denominator of eq.(36), the dispersions of excitation modes (Nambu-Goldstone-Leggett modes) are determined. The Nambu-Goldstone mode has the dispersion $\omega_{NG}(\mathbf{q}) = v_{NG}|\mathbf{q}|$, and the massive mode has[67]

$$\omega_{NGL}(\mathbf{q})^2 = \omega_L^2 + v_L^2 \mathbf{q}^2, \quad (43)$$

where

$$\omega_L = \sqrt{\frac{4|\gamma_{12}\bar{\Delta}_1\bar{\Delta}_2| \frac{N_1+N_2}{N_1N_2}}{1 + \frac{2}{3}\gamma_{12} \left(\frac{1}{N_1} \frac{\bar{\Delta}_1}{\bar{\Delta}_2} + \frac{1}{N_2} \frac{\bar{\Delta}_2}{\bar{\Delta}_1} \right)}}, \quad (44)$$

$$v_L^2 = \frac{1}{3} \frac{N_1 v_{F2}^2 + N_2 v_{F1}^2}{N_1 + N_2} + \frac{2}{9} v_{F1}^2 \left(\frac{\gamma_{12} \bar{\Delta}_2}{N_2 \bar{\Delta}_1} - \frac{\gamma_{12} \bar{\Delta}_1}{N_1 \bar{\Delta}_2} \right) \times \left(1 + \frac{2}{3} \gamma_{12} \left(\frac{1}{N_1} \frac{\bar{\Delta}_1}{\bar{\Delta}_2} + \frac{1}{N_2} \frac{\bar{\Delta}_2}{\bar{\Delta}_1} \right) \right)^{-1} + \frac{2}{9} v_{F2}^2 \left(\frac{\gamma_{12} \bar{\Delta}_1}{N_1 \bar{\Delta}_2} - \frac{\gamma_{12} \bar{\Delta}_2}{N_2 \bar{\Delta}_1} \right) \times \left(1 + \frac{2}{3} \gamma_{12} \left(\frac{1}{N_1} \frac{\bar{\Delta}_1}{\bar{\Delta}_2} + \frac{1}{N_2} \frac{\bar{\Delta}_2}{\bar{\Delta}_1} \right) \right)^{-1}. \quad (45)$$

We included a correction of the order of γ_{12} . Here please note that when $\gamma_{12} < 0$, we have $\bar{\Delta}_1 \bar{\Delta}_2 > 0$, and when $\gamma_{12} > 0$, $\bar{\Delta}_1 \bar{\Delta}_2 < 0$ from the gap equation. Thus, $\gamma_{12} \bar{\Delta}_1 \bar{\Delta}_2 < 0$.

We show the excitation energy as a function of g_{12} for two-band superconductors in Fig. 3. There are two massless modes when $g_{12} = 0$, and the one mode becomes massive for non-zero g_{12} . The excitation energy ω_L of the Leggett mode is dependent upon coupling constant $\{g_{nm}\}$. We show ω_L as a function of g_{22} in Fig. 4 for a two-band superconductor. The excitation gap energy is determined as a zero of $\det D^{-1}(\omega, \mathbf{q} = 0)$ which is shown in Fig. 5.

For a three-band superconductor, $\det(g^{-1} + \chi)$ is expanded as follows for small q_0 and \mathbf{q} :

$$\begin{aligned} & \det(g^{-1} + \chi) \\ (39) \quad & = -\frac{N_1 + N_2 + N_3}{4(\bar{\Delta}_1 \bar{\Delta}_2 \bar{\Delta}_3)^2} (\gamma_{12} \gamma_{13} \bar{\Delta}_1^2 \bar{\Delta}_2 \bar{\Delta}_3 + \gamma_{12} \gamma_{23} \bar{\Delta}_1 \bar{\Delta}_2^2 \bar{\Delta}_3 \\ & + \gamma_{13} \gamma_{23} \bar{\Delta}_1 \bar{\Delta}_2 \bar{\Delta}_3^2) (q_0^2 - v_{NG}^2 \mathbf{q}^2) \\ & - \frac{1}{16(\bar{\Delta}_1 \bar{\Delta}_2 \bar{\Delta}_3)^2} [N_2(N_1 + N_3) \gamma_{13} \bar{\Delta}_1 \bar{\Delta}_3 \\ & + N_1(N_2 + N_3) \gamma_{23} \bar{\Delta}_2 \bar{\Delta}_3 + N_3(N_1 + N_2) \gamma_{12} \bar{\Delta}_1 \bar{\Delta}_2] q_0^4 \\ & - \frac{1}{64(\bar{\Delta}_1 \bar{\Delta}_2 \bar{\Delta}_3)^2} N_1 N_2 N_3 q_0^6 \\ & + \frac{1}{16(\bar{\Delta}_1 \bar{\Delta}_2 \bar{\Delta}_3)^2} [N_1 N_2 (\gamma_{13} \bar{\Delta}_1 \bar{\Delta}_3 + \gamma_{23} \bar{\Delta}_2 \bar{\Delta}_3) \\ & \times \frac{1}{3} (v_{F1}^2 + v_{F2}^2) + N_2 N_3 (\gamma_{12} \bar{\Delta}_1 \bar{\Delta}_2 + \gamma_{13} \bar{\Delta}_1 \bar{\Delta}_3) \\ & \times \frac{1}{3} (v_{F2}^2 + v_{F3}^2) + N_1 N_3 (\gamma_{12} \bar{\Delta}_1 \bar{\Delta}_2 + \gamma_{23} \bar{\Delta}_2 \bar{\Delta}_3) \\ & \times \frac{1}{3} (v_{F1}^2 + v_{F3}^2)] q_0^2 \mathbf{q}^2 + \dots, \quad (46) \end{aligned}$$

where we assume $\gamma_{nm} = \gamma_{mn}$ and used the gap equation

for three gaps:

$$\begin{pmatrix} \gamma_{11} - f_1 & \gamma_{12} & \gamma_{13} \\ \gamma_{21} & \gamma_{22} - f_2 & \gamma_{23} \\ \gamma_{31} & \gamma_{32} & \gamma_{33} - f_3 \end{pmatrix} \begin{pmatrix} \bar{\Delta}_1 \\ \bar{\Delta}_2 \\ \bar{\Delta}_3 \end{pmatrix} = 0. \quad (47)$$

The velocity for the NG mode in the three-band case is given by

$$v_{NG}^2 = \frac{1}{3} \frac{N_1 v_{F1}^2 + N_2 v_{F2}^2 + N_3 v_{F3}^2}{N_1 + N_2 + N_3}. \quad (48)$$

The velocity v_{NG} in the N -band case is straightforwardly obtained as

$$v_{NG}^2 = \frac{1}{3} \frac{N_1 v_{F1}^2 + \dots + N_N v_{FN}^2}{N_1 + \dots + N_N}. \quad (49)$$

The gap ω_L of the massive mode is obtained as a zero of $\det(g^{-1} + \chi)$ in the limit $\mathbf{q} \rightarrow 0$, that is, a solution of the cubic equation for q_0^2 . ω_L is given as

$$\omega_L^2 = -\frac{2A_1}{A_0} \pm \frac{2}{A_0} \sqrt{A_1^2 - 4A_0A_2}, \quad (50)$$

where

$$A_0 = N_1 N_2 N_3, \quad (51)$$

$$A_1 = N_1(N_2 + N_3)\gamma_{23}\bar{\Delta}_2\bar{\Delta}_3 + N_2(N_1 + N_3)\gamma_{13}\bar{\Delta}_1\bar{\Delta}_3 + N_3(N_1 + N_2)\gamma_{12}\bar{\Delta}_1\bar{\Delta}_2, \quad (52)$$

$$A_2 = (N_1 + N_2 + N_3)\bar{\Delta}_1\bar{\Delta}_2\bar{\Delta}_3(\gamma_{12}\gamma_{13}\bar{\Delta}_1 + \gamma_{12}\gamma_{23}\bar{\Delta}_2 + \gamma_{13}\gamma_{23}\bar{\Delta}_3). \quad (53)$$

Here we assumed that $A_1 < 0$ and $A_1^2 - 4A_0A_2 \geq 0$. The lower energy gap is given by $(2/A_0)(|A_1| - \sqrt{A_1^2 - 4A_0A_2})$. If $A_1^2 \gg 4A_0A_2$ is satisfied, this leads to $\omega_L^2 \approx 4A_2/|A_1|$. When the contribution of one band is small, say $N_3 \ll N_1, N_2$, this gap reduces to that of the Leggett mode in the two-band case.

In the three-band case, there are in general three zeros in the determinant $\det D^{-1}(\omega, \mathbf{q} = 0)$ as shown in Fig. 6. When $N \geq 3$, there is the case where several Leggett modes become massless. This is shown in Fig. 7 where the excitation energy ω_L is presented as a function of g_{12} . ω_L vanishes and one mode becomes massless when the time-reversal symmetry is broken.

Let us turn to examine $D(q)$ when $\tilde{q}_n \sim 2\bar{\Delta}_n$ ($\tilde{q}_n \leq 2\bar{\Delta}_n$). An analytic property of $\chi(q)$ will be changed as q_0 approaches the threshold energy $2\bar{\Delta}_n$. Using $\tan^{-1}(1/t) \simeq \frac{\pi}{2} - t + \frac{1}{3}t^3 + \dots$ for small $t > 0$, we have

$$\chi_n(q) \simeq -f_n - N_n(0) \frac{\pi}{2} \frac{2\bar{\Delta}_n}{\sqrt{(2\bar{\Delta}_n)^2 + \frac{1}{3}v_{Fn}^2 \mathbf{q}^2 - q_0^2}}, \quad (54)$$

for $\tilde{q}_n = \sqrt{q_0^2 - \frac{1}{3}v_{Fn}^2 \mathbf{q}^2} \simeq 2\bar{\Delta}_n$. In the single-band case, the Green function $D(q)$ is

$$D(q) \simeq \frac{8}{g} \left(1 + \frac{1}{gN(0)\pi\bar{\Delta}} \sqrt{(2\bar{\Delta})^2 + \frac{1}{3}v_F^2 \mathbf{q}^2 - q_0^2} + \dots \right). \quad (55)$$

At the threshold $\tilde{q} = 2\bar{\Delta}$, $D(q)$ becomes a constant: $D(q) \simeq 8/g$. $D(q)$ has no pole as a function of q_0 and loses a quasiparticle character in this region.

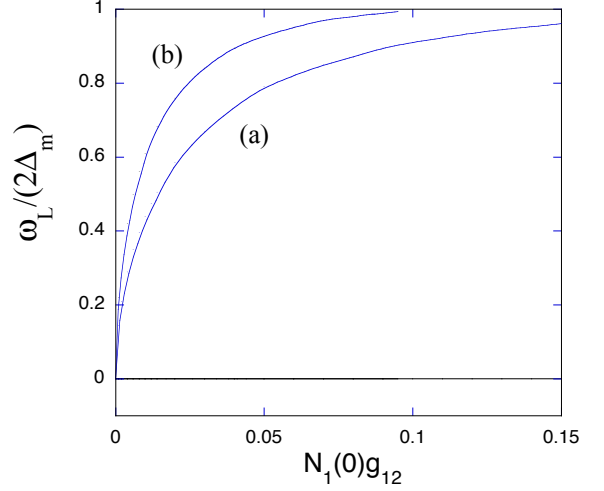


FIG. 3. Gap energy of the Nambu-Goldstone-Leggett mode as a function of $N_1(0)g_{12}$ for two-band superconductors. The parameters are (a) $N_1(0)g_{11} = N_2(0)g_{22} = 0.3$, and (b) $N_1(0)g_{11} = 0.3$ and $N_2(0)g_{22} = 0.25$. We set $\Delta_m = \min(\bar{\Delta}_1, \bar{\Delta}_2)$ and $N_1(0) = N_2(0)$.

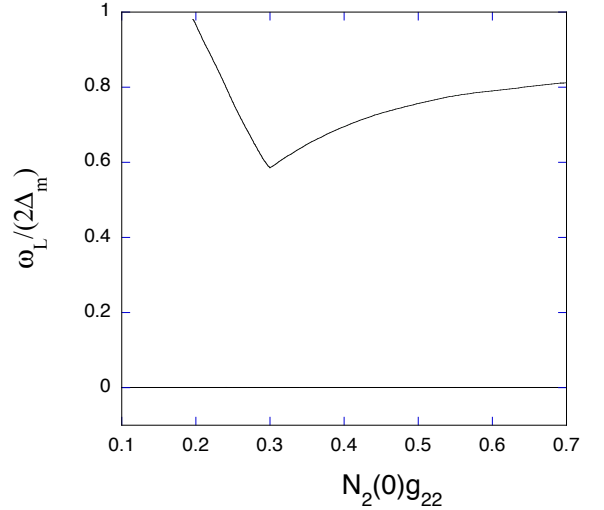


FIG. 4. Gap energy of the Nambu-Goldstone-Leggett mode as a function of N_2g_{22} for a two-band superconductor. We used $N_1g_{11} = 0.3$ and $N_1g_{12} = 0.02$. We set $\Delta_m = \min(\bar{\Delta}_1, \bar{\Delta}_2)$ and $N_1(0) = N_2(0)$.

D. Ward-Takahashi identity and impurity scattering

We investigate the effect of impurity scattering on the Nambu-Goldstone modes in superconductors. The

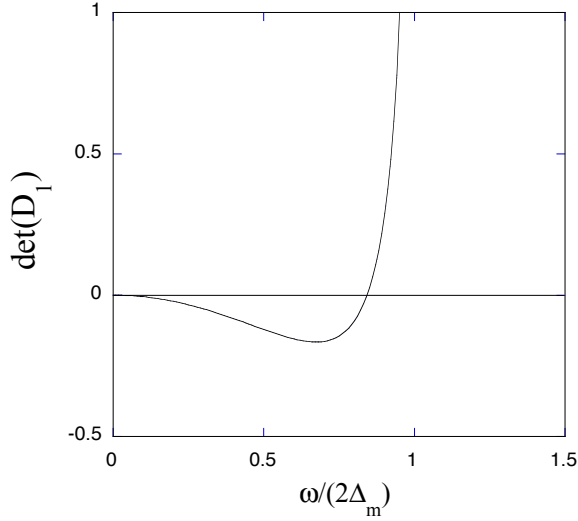


FIG. 5. The determinant $\det D_1(\omega, \mathbf{q} = 0)$ as a function of $\omega/(2\Delta_m)$ where $D_1 = g^{-1} + \chi$. The zero of $\det D_1$ indicates the excitation energy. We used $N_1g_{11} = 0.3$, $N_2g_{22} = 0.25$ and $N_1g_{12} = 0.03$. We set $\Delta_m = \min(\bar{\Delta}_1, \bar{\Delta}_2)$ and $N_1(0) = N_2(0)$.

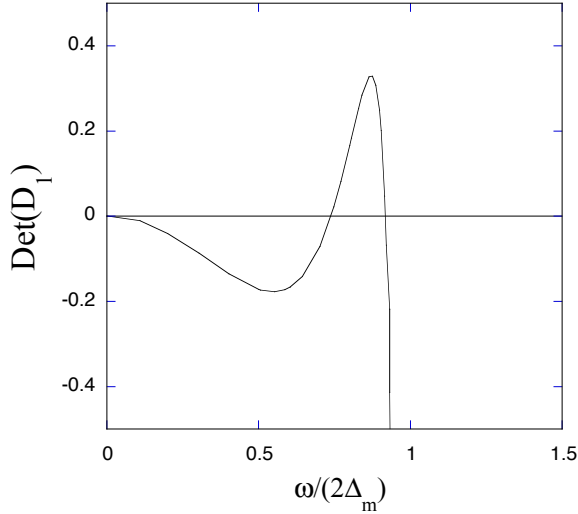


FIG. 6. The determinant $\det D_1(\omega, \mathbf{q} = 0)$ as a function of $\omega/(2\Delta_m)$ for $D_1 = g^{-1} + \chi$ in a three-gap case. The zero of $\det D_1$ indicates the excitation energy, There are three zeros in the three-gap case. We used $N_1g_{11} = N_2g_{22} = N_3g_{33} = 0.3$, $N_1g_{12} = 0.05$, $N_1g_{23} = 0.04$ and $N_1g_{13} = 0.02$. We set $\Delta_m = \min(\bar{\Delta}_1, \bar{\Delta}_2, \bar{\Delta}_3)$ and $N_1(0) = N_2(0) = N_3(0)$.

Hamiltonian for impurity scattering that we consider is

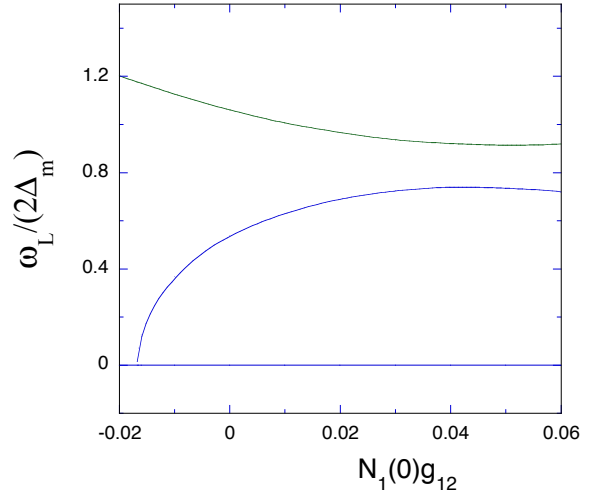


FIG. 7. Gap energy of the Nambu-Goldstone-Leggett mode as a function of N_1g_{12} in a three-gap case. The zero of $\det D_1$ indicates the excitation energy, There are three zeros in the three-gap case. We used $N_1g_{11} = N_2g_{22} = N_3g_{33} = 0.3$, $N_1g_{23} = 0.05$, and $N_1g_{13} = 0.02$. We set $\Delta_m = \min(\bar{\Delta}_1, \bar{\Delta}_2, \bar{\Delta}_3)$ and $N_1(0) = N_2(0) = N_3(0)$.

written as

$$\begin{aligned}
 H_{imp} &= \sum_i \sum_{m\sigma} u_{1mm}(\mathbf{r} - \mathbf{R}_i) \psi_{m\sigma}^\dagger(\mathbf{r}) \psi_{m\sigma}(\mathbf{r}) \\
 &+ \sum_i \sum_m u_{2m}(\mathbf{r} - \mathbf{R}_i) \mathbf{S}_i \cdot \mathbf{s}_{mi} \\
 &\equiv \sum_m \sum_{\sigma\sigma'} \psi_{m\sigma}^\dagger V_{m\sigma\sigma'} \psi_{m\sigma'}. \quad (56)
 \end{aligned}$$

where \mathbf{R}_i indicates the position of an impurity, \mathbf{S}_i indicates the spin operator of the impurity spin and \mathbf{s}_{mi} is the spin operator of the electron in the m -th band. Here we consider only the intra band scattering for simplicity because the interband scattering breaks the gauge invariance under $\psi_{m\sigma} \rightarrow e^{-i\theta_m} \psi_{m\sigma}$.

We introduce the matrix self-energy to take account of the electron scattering due to non-magnetic and magnetic impurities:

$$\Sigma_m = \begin{pmatrix} \Sigma_{1m} & \Sigma_{2m} \\ \Sigma_{2m}^* & \Sigma_{1m} \end{pmatrix}. \quad (57)$$

We write the Green function in the form,

$$G_m(k) = \begin{pmatrix} G_{m\uparrow\uparrow}(k) & F_m(k) \\ F_m^*(k) & G_{m\downarrow\downarrow}(k) \end{pmatrix}. \quad (58)$$

Here we use the finite-temperature formalism by putting $k_0 \rightarrow i\omega_n$ where $\omega_n = (2n+1)\pi/\beta$ is the Matsubara frequency[68]. In the impurity scattering, self-energies are given as[69]

$$\Sigma_{1m}(i\omega_n, \mathbf{k}) = \frac{1}{2\pi\tau_{1m}} \int d\xi_k G_{m\uparrow\uparrow}(i\omega_n, \mathbf{k}), \quad (59)$$

$$\Sigma_{2m}(i\omega_n, \mathbf{k}) = \frac{1}{2\pi\tau_{2m}} \int d\xi_k F_m(i\omega_n, \mathbf{k}), \quad (60)$$

where τ_{1m} and τ_{2m} indicate relaxation times in the m -th band due to impurity scattering. We have $\tau_{1m} = \tau_{2m}$ for scattering by non-magnetic impurities, and $\tau_{1m} \neq \tau_{2m}$ for magnetic impurities.

Σ_{1m} and Σ_{2m} are related as follows.

$$\Sigma_{1m}(i\omega_n) = -\frac{i}{2\tau_{1m}} \frac{\omega_n + i\Sigma_{1m}}{\sqrt{(\omega_n^2 + i\Sigma_{1m})^2 + (\Delta_m + \Sigma_{2m})^2}}, \quad (61)$$

$$\Sigma_{2m}(i\omega_n) = \frac{1}{2\tau_{2m}} \frac{\bar{\Delta}_m + \Sigma_{2m}}{\sqrt{(\omega_n^2 + i\Sigma_{1m})^2 + (\Delta_m + \Sigma_{2m})^2}}. \quad (62)$$

We define τ_{sm} by

$$\frac{1}{\tau_{sm}} = \frac{1}{\tau_{1m}} - \frac{1}{\tau_{2m}}. \quad (63)$$

When $1/\tau_{sm}$ is small, Σ_{1m} and Σ_{2m} are expanded as

$$-\Sigma_{1m}(i\omega_n) = \frac{1}{2\tau_{1m}} \frac{i\omega_n}{\sqrt{\omega_n^2 + \Delta_m^2}} + \frac{1}{4\tau_{1m}\tau_{sm}} \frac{i\omega_n \bar{\Delta}_m^2}{(\omega_n^2 + \bar{\Delta}_m^2)^2}, \quad (64)$$

$$\Sigma_{2m}(i\omega_n) = \frac{1}{2\tau_{2m}} \frac{\Delta_n}{\sqrt{\omega_n^2 + \Delta_n^2}} - \frac{1}{4\tau_{2m}\tau_{sm}} \frac{i\omega_n^2 \bar{\Delta}_m}{(\omega_n^2 + \bar{\Delta}_m^2)^2}, \quad (65)$$

The inverse Green function is expressed as

$$G_n^{-1}(i\omega, \mathbf{k}) = \begin{pmatrix} i\omega - \xi(\mathbf{K}) - \Sigma_{1m} & -\bar{\Delta}_n - \Sigma_{2n} \\ -\bar{\Delta}_n^* - \Sigma_{2n}^* & i\omega + \xi(\mathbf{k}) - \Sigma_{1n} \end{pmatrix}. \quad (66)$$

The Ward-Takahashi identity is used to obtain a relation between the self-energy and the vertex function. The Ward-Takahashi identity is given as[61, 62]

$$(q-k)_\mu G_n(k) \Gamma_{nm}^\mu(k, q) G_n(q) = i\delta_{nm} \sigma_3 G_n(q) - i\delta_{nm}(k) \sigma_3 - \lambda_m G_n(k) \Gamma_{nm}(k, q) G_n(q), \quad (67)$$

where

$$\Gamma_{nm}(k, q) = -2\delta_{nm} \sigma_2 + \sum_\ell \Lambda_{n\ell} D_{\ell m}(q-k). \quad (68)$$

When $q \rightarrow k$, we have

$$iG_n^{-1}(k) \sigma_3 - i\sigma_3 G_n^{-1}(k) - \sum_m \lambda_m \Gamma_{nm}(k, k) = 0. \quad (69)$$

The relation in eq.(11) is generalized to the multiband case as:

$$\sum_m \lambda_m D_{nm}(q \rightarrow 0) = -8\bar{\Delta}_n, \quad (70)$$

as $\lambda_m \rightarrow 0$. Then we obtain

$$\sum_m \lambda_m \Gamma_{nm}(k, k) \rightarrow -8 \sum_\ell \Lambda_{n\ell}(k, k) \bar{\Delta}_\ell \quad (71)$$

in the limit $\lambda_m \rightarrow 0$. This results in

$$iG_n^{-1}(k) \sigma_3 - i\sigma_3 G_n^{-1}(k) + 8 \sum_\ell \Lambda_{n\ell}(k, k) \bar{\Delta}_\ell = 0. \quad (72)$$

The vertex function is determined as $\Lambda_{n\ell} = (1/4)\tilde{\Lambda}_n g_{n\ell} \sigma_2$ with

$$\tilde{\Lambda}_n = 1 + \frac{\Sigma_{2n}}{\Delta_n} = 1 + \frac{1}{2\tau_{2n}} \frac{1}{\sqrt{\omega^2 + \Delta_n^2}}. \quad (73)$$

Here we used the gap equation given by

$$\bar{\Delta}_n = \sum_\ell g_{n\ell} \frac{1}{\beta} \sum_\omega \int \frac{d^d k}{(2\pi)^d} F_\ell(i\omega, \mathbf{k}). \quad (74)$$

From this relation, we can show that the NG boson Green function $D(q)$ has a pole at $q = (q_0, \mathbf{q}) = 0$. In fact, the matrix $g^{-1} + \tilde{\chi}(q)$ in the limit $q \rightarrow 0$ is represented as

$$\begin{aligned} & (g^{-1})_{n\ell} + \delta_{n\ell} \tilde{\chi}_{NG, n}(q=0) \\ &= (g^{-1})_{n\ell} \\ & - \delta_{n\ell} \frac{1}{\Delta_n} N_n(0) \pi \frac{1}{\beta} \sum_\omega \frac{\bar{\Delta}_n + \Sigma_{2n}}{\sqrt{(\omega + i\Sigma_{1n})^2 + (\bar{\Delta}_n + \Sigma_{2n})^2}}. \end{aligned} \quad (75)$$

The determinant of this matrix vanishes because of the gap equation written as

$$\det \left((g^{-1})_{n\ell} - \delta_{n\ell} \frac{1}{\Delta_n} s_n \right) = 0, \quad (76)$$

where

$$s_n = \pi N_n(0) \frac{1}{\beta} \sum_\omega \frac{\bar{\Delta}_n + \Sigma_{2n}}{\sqrt{(\omega + i\Sigma_{1n})^2 + (\bar{\Delta}_n + \Sigma_{2n})^2}}. \quad (77)$$

IV. PLASMA MODE

The Nambu-Goldstone mode becomes a massive plasma mode in the presence of the Coulomb potential. The plasma mode is represented by the spatial derivative of the phase variables θ_n where the order parameters are parametrized as $\Delta_n = e^{i2\theta_n} (\bar{\Delta}_n + h_n)$. The action density for θ_j is written as[60, 62]

$$\begin{aligned} \mathcal{L}[\theta] &= \sum_j \left[N_j(0) (\partial_\tau \theta_j - e\phi)^2 + n_j \frac{1}{2m_j} (\nabla \theta_j)^2 \right] \\ &+ \frac{1}{8\pi} (\nabla \phi)^2 + \sum_{ij} \bar{\Delta}_i (g^{-1})_{ij} \bar{\Delta}_j \cos(2(\theta_i - \theta_j)), \end{aligned} \quad (78)$$

where e is the charge of the electron and ϕ indicates the Coulomb potential. The effective action for θ_j is obtained

by integrating out the field ϕ :

$$\begin{aligned} \mathcal{L}[\theta] = & \frac{1}{8\pi e^2 N(0)^2} \sum_{jj'a} N_j(0) N_{j'}(0) \partial_\tau \zeta_{ja} \partial_\tau \zeta_{j'a} \\ & + \sum_j \frac{n_j}{2m_j} \zeta_{ja}^2 + \sum_j N_j(0) (\partial_\tau \theta_j)^2 \\ & - \frac{1}{N(0)} \left(\sum_j N_j(0) \partial_\tau \theta_j \right)^2 \\ & + \sum_{ij} \bar{\Delta}_i (g^{-1})_{ij} \bar{\Delta}_j \cos(2(\theta_i - \theta_j)) + \dots, \end{aligned} \quad (79)$$

where we put

$$\zeta_{ja} = \nabla_a \theta_j, \quad (80)$$

and $N(0) = \sum_j N_j(0)$. The index a takes x, y and z .

In the single-band case, the plasma frequency is given by

$$\omega_{pl,a}^2 = 4\pi e^2 n / m_a, \quad (81)$$

where $n = n_1$ is the electron density. In the two-band case, the quadratic part of ζ_{ja} is written as

$$\frac{1}{\beta} \sum_\ell \frac{1}{8\pi e^2 N(0)^2} \sum_a (\zeta_{1a}(\omega_\ell) \zeta_{2a}(\omega_\ell)) M_\zeta \begin{pmatrix} \zeta_{1a}(-\omega_\ell) \\ \zeta_{2a}(-\omega_\ell) \end{pmatrix}, \quad (82)$$

where

$$\begin{aligned} M_\zeta \\ = \begin{pmatrix} \omega_\ell^2 N_1(0)^2 + \frac{4\pi e^2 N(0)^2 n_1}{m_{1a}} & \omega_\ell^2 N_1(0) N_2(0) \\ \omega_\ell^2 N_1(0) N_2(0) & \omega_\ell^2 N_2(0)^2 + \frac{4\pi e^2 N(0)^2 n_2}{m_{2a}} \end{pmatrix}, \end{aligned} \quad (83)$$

where $N(0) = N_1(0) + N_2(0)$. Then, the plasma frequency is given by the solution of $\det M_\zeta(i\omega_\ell \rightarrow \omega + i\delta) = 0$:

$$\omega_{pl,a}^2 = 4\pi e^2 \frac{n_1 n_2}{m_{1a} m_{2a}} \frac{(N_1(0) + N_2(0))^2}{\frac{n_1}{m_{1a}} N_2(0)^2 + \frac{n_2}{m_{2a}} N_1(0)^2}. \quad (84)$$

In an N -gap superconductor, the plasma frequency is generalized to be

$$\begin{aligned} \omega_{pl,a}^2 = & 4\pi e^2 \frac{n_1 \cdots n_N}{m_{1a} \cdots m_{Na}} N(0)^2 \left[N_1(0)^2 \frac{n_2 \cdots n_N}{m_{2a} \cdots m_{Na}} \right. \\ & \left. + \cdots + N_N(0)^2 \frac{n_1 \cdots n_{N-1}}{m_{1a} \cdots m_{N-1,a}} \right]^{-1}, \end{aligned} \quad (85)$$

for $a = x, y$ and z where $N(0) = \sum_j N_j(0)$. When N gaps are equivalent, this formula reduces to

$$\omega_{pl,a}^2 = 4\pi e^2 \frac{n}{m_a} N. \quad (86)$$

V. HIGGS GREEN'S FUNCTION IN SUPERCONDUCTORS

A. Higgs Green's function

The Green functions for the Higgs boson $h_n = \psi_n^\dagger \sigma_1 \psi_n$ are defined by

$$H_{nm}(x-y) = -i \langle T h_n(x) h_m(y) \rangle. \quad (87)$$

The effective action for the Higgs fields, up to the one-loop order, is written as

$$\begin{aligned} S[h] = & - \sum_{nm} \int dt d^d x h_n (g^{-1})_{nm} h_m \\ & + \frac{i}{2} \sum_n \text{Tr} h_n G_n^{(0)}(p) \sigma_1 G_n^{(0)}(p+q) \sigma_1 h_n. \end{aligned} \quad (88)$$

When the temperature T is near T_c , this gives the well-known time-dependent Ginzburg-Landau (TDGL) action. In the TDGL action, due to the dissipation effect, the Higgs mode may not be defined clearly. The Higgs mode is well defined at low temperatures.

The second term in the effective action eq.(88) for the Higgs field is the one-loop contribution given by

$$\begin{aligned} \Pi_n(i\epsilon, \mathbf{q}) = & \frac{1}{2\beta} \sum_n \frac{1}{V} \sum_{\mathbf{p}} \text{tr} \left[G_n^{(0)}(i\omega_n + i\epsilon, \mathbf{p} + \mathbf{q}) \sigma_1 \right. \\ & \left. \times G_n^{(0)}(i\omega_n, \mathbf{p}) \sigma_1 \right], \end{aligned} \quad (89)$$

where we use the Matsubara formalism. At absolute zero, $\Pi_n(q_0, \mathbf{q})$ (where $q_0 = i\epsilon$) is expanded in $|\mathbf{q}|$ as

$$\begin{aligned} \Pi_n(q_0, \mathbf{q}) = & -N_n(0) \int d\xi \frac{1}{2E_n(\xi)} \\ & + N_n(0) (4\bar{\Delta}_n^2 - q_0^2) \frac{1}{4\bar{\Delta}_n^2} F\left(\frac{q_0}{2\bar{\Delta}_n}\right) \\ & + N_n(0) \frac{1}{3} c_{ns}^2 \left(\frac{\mathbf{q}}{2\bar{\Delta}_n}\right)^2 + \dots, \end{aligned} \quad (90)$$

where $c_{ns}^2 = v_{Fn}^2/3$ and $E_n(\xi) = \sqrt{\xi^2 + \bar{\Delta}_n^2}$. $F(x)$ is given by[61]

$$\begin{aligned} F(x) = & \frac{1}{x\sqrt{1-x^2}} \tan^{-1}\left(\frac{x}{\sqrt{1-x^2}}\right) \quad (0 \leq x < 1) \quad (91) \\ F(x) = & -\frac{i}{x\sqrt{x^2-1}} \frac{\pi}{2} + \frac{1}{2x\sqrt{x^2-1}} \ln \left| \frac{x - \sqrt{x^2-1}}{x + \sqrt{x^2-1}} \right| \\ & \quad (1 < x). \end{aligned} \quad (92)$$

We used an approximation that the density of states is constant.

When q_0 and \mathbf{q} are small, we have for a single-band superconductor

$$\frac{1}{g} + \Pi(q_0, \mathbf{q}) = N(0) \left[1 - \frac{1}{3} \left(\frac{q_0}{2\bar{\Delta}}\right)^2 \right] + N(0) \frac{1}{3} c_s^2 \left(\frac{\mathbf{q}}{2\bar{\Delta}}\right)^2. \quad (93)$$

This is proportional to the inverse of the Fourier transform of the Higgs Green function. This agrees with the effective action for the Higgs mode obtained by means of the functional integral method given as [62, 63]

$$S^{(2)}[h] = \int d\tau d^d x N(0) \left[\frac{1}{12\bar{\Delta}^2} \left(\frac{\partial h}{\partial \tau} \right)^2 + \frac{v_F^2}{36\bar{\Delta}^2} (\nabla h)^2 + h^2 \right], \quad (94)$$

in the imaginary-time formulation. Please note that $1/g + \Pi(q)$ does not have a zero when q_0 is small as far as $0 \leq q_0 < 2\bar{\Delta}$. We show the behavior of $F(x)$ in Fig.8 and $g^{-1} + \Pi(\omega, \mathbf{q} = 0)$ as a function of ω in Fig.9.

When $v_{Fn}|\mathbf{q}|/q_0 \ll 1$, the $g^{-1} + \Pi$ is given by

$$(g^{-1} + \Pi)_{mn} = (g^{-1})_{mn} - \delta_{mn} f_n + \delta_{mn} N_n(0) \left[1 - \left(\frac{\tilde{q}_n}{2\bar{\Delta}_n} \right)^2 \right] F \left(\frac{\tilde{q}_n}{2\bar{\Delta}_n} \right), \quad (95)$$

where we put

$$\tilde{q}_n = \sqrt{q_0^2 - \frac{1}{3}v_{Fn}^2 \mathbf{q}^2}, \quad (96)$$

for the Fermi velocity v_{Fn} in the n -th band.

We indicate the Fourier transform of the Higgs Green function $H_{nm}(x - y)$ as $H_{nm}(q)$:

$$H_{nm}(x) = \int \frac{dp_0}{2\pi} \frac{d^d p}{(2\pi)^d} e^{ip_0 x_0 + i\mathbf{p} \cdot \mathbf{x}} H_{nm}(p), \quad (97)$$

for $p = (p_0, \mathbf{p})$. In a similar way as to the NG boson, the Higgs Green function satisfies [61]

$$H_{nm}(q) = -i \int \frac{dk_0}{2\pi} \frac{d^d k}{(2\pi)^d} \text{tr} \left[\delta_{nm} \sigma_1 G_n(k) \sigma_1 G_n(k+q) - \sum_{\ell} \sigma_1 G_n(k) \Lambda_{H,n\ell}(k, k+q) G_n(k+q) H_{\ell m}(q) \right], \quad (98)$$

where we introduced the vertex function $\Lambda_{H,n\ell}$. We can put this in the form

$$\Lambda_{H,n\ell} = \frac{1}{2} g_{n\ell} \sigma_1. \quad (99)$$

Then the matrix of Higgs Green's function $H = (H_{nm})$ is written as follows.

$$H(q) = 2g^{-1} (g^{-1} + \Pi(q))^{-1} \Pi(q), \quad (100)$$

where $\Pi(q)$ is the diagonal matrix with elements $\Pi_n(q)$ ($n = 1, \dots$): $\Pi(q) = \text{diag}(\Pi_1(q), \Pi_2(q), \dots)$.

In the single-band case, the Higgs Green function for small q_0 and \mathbf{q} is given by

$$H(q) \simeq 2N(0) \frac{1}{gN(0)} \frac{(2\bar{\Delta})^2}{(2\bar{\Delta})^2 - \frac{1}{3}q_0^2 + \frac{1}{3}c_s^2 \mathbf{q}^2}. \quad (101)$$

When q_0 is as large as $2\bar{\Delta}$, $H(q)$ is approximated as

$$H(q) \approx -\frac{8}{\pi} N(0) \left(\frac{1}{gN(0)} \right)^2 \frac{\bar{\Delta}}{\sqrt{\omega(\mathbf{q})^2 - q_0^2}}. \quad (102)$$

for $q_0 < \omega(\mathbf{q})$ where

$$\omega(\mathbf{q}) = \sqrt{(2\bar{\Delta})^2 + \frac{1}{3}v_F^2 \mathbf{q}^2}. \quad (103)$$

In the latter case, the singularity is given by a square root function. Thus, $H(q)$ represents a fluctuation mode, not a quasiparticle excitation mode since the residue at $q_0 = \omega(\mathbf{q})$ vanishes. $H(q)$ is defined on a Riemann surface with a cut from $q_0 = -\omega(\mathbf{q})$ to $q_0 = \omega(\mathbf{q})$ on the real axis. For $q_0 > \omega(\mathbf{q})$, there is the imaginary part representing the damping effect:

$$H(q)^{-1} \approx -\frac{1}{2} g^2 N(0) \frac{1}{(2\bar{\Delta})^2} (q_0^2 - \omega(\mathbf{q})^2) - i \frac{\pi}{4} g^2 N(0) \frac{1}{2\bar{\Delta}} \sqrt{q_0^2 - \omega(\mathbf{q})^2}, \quad (104)$$

when q_0 is near $\omega(\mathbf{q})$. This behavior of the Higgs Green function is similar to that of the σ -particle Green function in the Gross-Neveu model [75]. The Higgs mode considered here has strong similarity with the σ boson of the Gross-Neveu model in two dimensions. In fact, the Green function $G_\sigma(p)$, where $p = (p_0, p_1)$, of the σ boson is given as up to the one-loop order

$$iG_\sigma(p)^{-1} = g^2 \frac{N_f}{\pi} \sqrt{\frac{4\bar{\Delta}^2 - p^2}{p^2}} \tan^{-1} \sqrt{\frac{p^2}{4\bar{\Delta}^2 - p^2}}, \quad (105)$$

for $0 < p^2 \equiv p_0^2 - p_1^2 < (2\bar{\Delta})^2$, and

$$iG_\sigma(p)^{-1} = -g^2 \frac{N_f}{2\pi} \left[\sqrt{\frac{p^2 - 4\bar{\Delta}^2}{p^2}} \ln \left| \frac{\sqrt{p^2} - \sqrt{p^2 - 4\bar{\Delta}^2}}{\sqrt{p^2} + \sqrt{p^2 - 4\bar{\Delta}^2}} \right| + i\pi \sqrt{\frac{p^2 - 4\bar{\Delta}^2}{p^2}} \right], \quad (106)$$

for $p^2 > (2\bar{\Delta})^2$, where N_f is the number of fermions and g is the coupling constant in the Gross-Neveu model. For $p^2 < 0$ we have

$$iG_\sigma(p)^{-1} = -g^2 \frac{N_f}{2\pi} \sqrt{\frac{-p^2 + 4\bar{\Delta}^2}{-p^2}} \ln \left| \frac{\sqrt{-p^2} - \sqrt{-p^2 + 4\bar{\Delta}^2}}{\sqrt{-p^2} + \sqrt{-p^2 + 4\bar{\Delta}^2}} \right|. \quad (107)$$

In the two-band case, the Higgs Green function is given as

$$H(q) = 2g^{-1} \begin{pmatrix} \gamma_{11} + \Pi_1 & \gamma_{12} \\ \gamma_{21} & \gamma_{11} + \Pi_2 \end{pmatrix}^{-1} \begin{pmatrix} \Pi_1 & 0 \\ 0 & \Pi_2 \end{pmatrix} = \frac{2}{(\gamma_{11} - f_1)d_2 + (\gamma_{22} - f_2)d_1 + d_1 d_2} \times \left[\frac{1}{\det g} \begin{pmatrix} \Pi_1 & 0 \\ 0 & \Pi_2 \end{pmatrix} + \Pi_1 \Pi_2 \begin{pmatrix} \gamma_{11} & \gamma_{12} \\ \gamma_{21} & \gamma_{22} \end{pmatrix} \right], \quad (108)$$

where we set $\Pi_n(q) = -f_n + d_n(q)$ where

$$d_n(q) = N_n(0) \left[1 - \left(\frac{\tilde{q}_n}{2\Delta_n} \right)^2 \right] F \left(\frac{\tilde{q}_n}{2\Delta_n} \right), \quad (109)$$

and $\gamma_{nm} = (g^{-1})_{nm}$. We assume that $\gamma_{nm} = \gamma_{mn}$. Here we used the gap equation

$$\det \begin{pmatrix} \gamma_{11} - f_1 & \gamma_{12} \\ \gamma_{21} & \gamma_{22} - f_2 \end{pmatrix} = 0. \quad (110)$$

It is seen from this expression that the Green function $H(q)$ shows no divergence when $\bar{\Delta}_1 \neq \bar{\Delta}_2$ in general. This is shown in Fig. 10. The Higgs mode is not a quasiparticle mode and exists as a fluctuation mode in a multiband superconductor.

We define the Higgs constant potential $V = (V_{mn})$ as

$$V_{mn} = (g^{-1})_{mn} + \delta_{mn} \Pi_n(q_0 = 0, \mathbf{q} = 0). \quad (111)$$

This is something like the 'mass term' for Higgs fields h_n . In the subsection 5.4, we evaluate the eigenvalue y_H^2 of this matrix, by solving the gap equation. In a usual field theory, y_H indicates the mass of the field h . In a superconductor, however, y_H is different from the excitation gap because y_H is not determined from a singularity of the Higgs Green function. The eigenvalues y_{Hn} , however, characterize the Higgs modes and determine the spatial expanse of the Higgs fields. In case $\det(V_{mn}) = 0$, one eigenvalue vanishes.

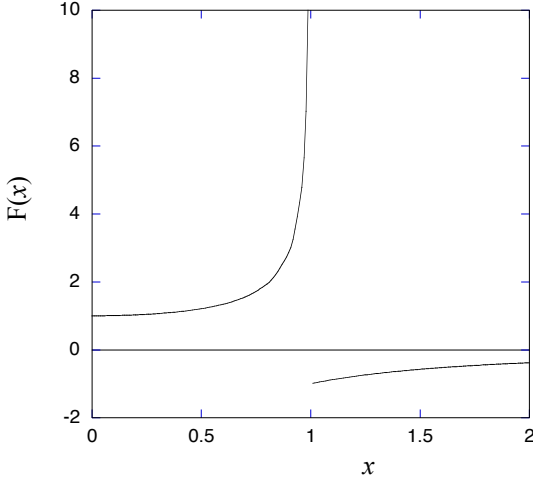


FIG. 8. $F(x)$ as a function of $x = q_0/2\bar{\Delta}$. $F(x)$ has a singularity at $x = 1$. The 'mass' term is obtained by expanding $(1 - x^2)F(x)$ in terms of x .

B. Kinetic terms of the Higgs mode

We discuss the kinetic terms of Higgs boson in this subsection briefly, where the kinetic terms mean $(\partial_\tau h)^2$ and $(\nabla h)^2$. The time-dependent Ginzburg-Landau model

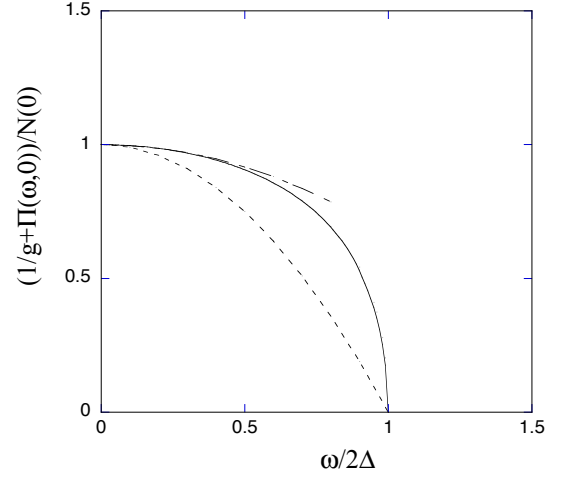


FIG. 9. $g^{-1} + \Pi(\omega, \mathbf{q} = 0)$ as a function of the $x \equiv \omega/2\bar{\Delta}$. The dash-dotted line shows the curve $1 - x^2/3$. The dotted line indicates $1 - x^2$ that corresponds to the Nambu-Jona-Lasinio model where $N(0)$ is replaced by the divergent integral $I_0 \equiv (1/4\pi^2) \ln(\Lambda/\bar{\Delta})$ with the cutoff Λ .

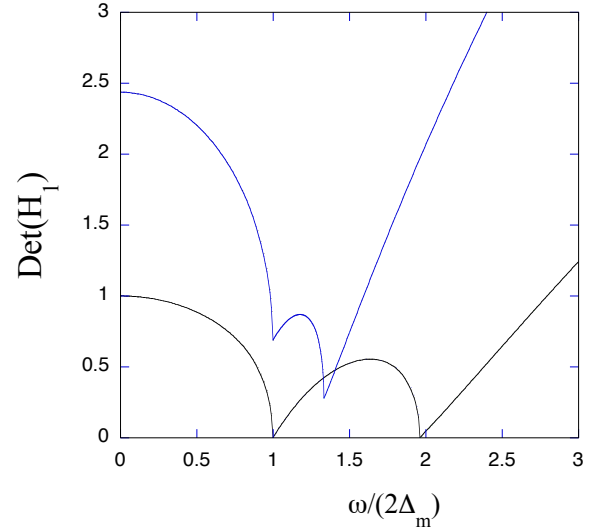


FIG. 10. $\det H_1$ as a function of $\omega/2\Delta_m$ in a two-band case where $H_1 = \text{Re}(g^{-1} + \Pi)$. The lower curve is for $N_1 g_{11} = 0.3$, $N_2 g_{22} = 0.25$ and $N_1 g_{12} = 0$ and the upper curve is for $N_1 g_{11} = 0.3$, $N_2 g_{22} = 0.25$ and $N_1 g_{12} = 0.05$. We put $\Delta_m = \min(\bar{\Delta}_1, \bar{\Delta}_2, \bar{\Delta}_3)$. When the interband g_{12} vanishes, we have zeros at $\omega = \bar{\Delta}_1$ and $\omega = \bar{\Delta}_2$.

with dissipation is often used in a study of nonequilibrium properties of superconductors near the critical temperature T_c . At low temperature $T \ll T_c$, the action for the Higgs mode is given by the quadratic form as shown in the previous subsection. Thus there is a temperature dependence. We consider here the single-band case for simplicity. The coefficient of $h\partial_\tau^2 h$ is given as, up to the

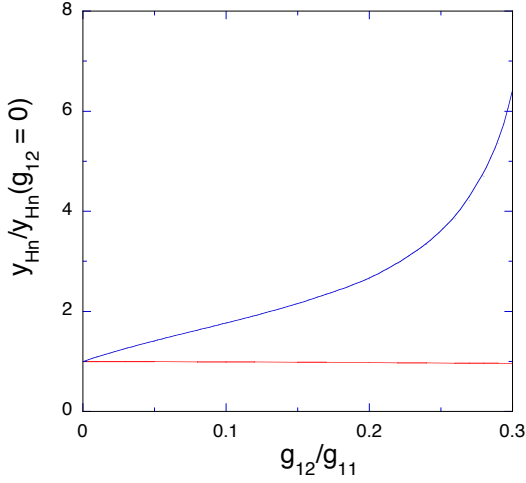


FIG. 11. Eigenvalue y_H^2 in a two-band superconductor as a function of the interband coupling g_{12} . y_H is measured in unit of that in the case of $g_{12} = 0$. We set $g_{11}\bar{N}(0) = g_{22}\bar{N}(0) = 0.35$ for $\bar{N}(0) = N_1(0) = N_2(0)$. The Higgs 'mass' of one mode remains a constant whereas the other 'mass' grows very large as g_{12} increases.

TABLE II. Kinetic terms of the Higgs field h in the action at absolute zero $T \sim 0$ and near $T \sim T_c$ (for the single-band case).

	$T \sim 0$	$T \sim T_c$
τ -part	$\frac{1}{12\Delta^2}N(0)\left(\frac{\partial h}{\partial \tau}\right)^2$	$\frac{\pi\hbar}{8k_B T_c}hi\frac{\partial h}{\partial \tau}$
x -part	$\frac{1}{36\Delta^2}N(0)\hbar^2 v_F^2 (\nabla h)^2$	$\frac{7\zeta(3)\hbar^2 v_F^2}{48\pi^2 k_B^2 T_c^2}N(0) (\nabla h)^2$

one-loop order,

$$I_\tau \equiv \frac{1}{\beta} \sum_\ell \frac{1}{V} \sum_{\mathbf{k}} \left[\frac{\bar{\Delta}^2 - \omega_\ell^2 - \xi_k^2}{(\omega_\ell^2 + \xi_k^2 + \bar{\Delta}^2)^3} - 2 \frac{\omega_\ell^2}{(\omega_\ell^2 + \xi_k^2 + \bar{\Delta}^2)^3} - 4 \frac{\bar{\Delta}^2 - \omega_\ell^2 - \xi_k^2}{(\omega_\ell^2 + \xi_k^2 + \bar{\Delta}^2)^4} \omega_\ell^2 \right], \quad (112)$$

where ξ_k denotes the electron dispersion relation. The coefficient of $h(\mathbf{v} \cdot \nabla)^2 h$ is similarly give by

$$I_x \equiv \frac{1}{\beta} \sum_\ell \frac{1}{V} \sum_{\mathbf{k}} \left[\frac{\bar{\Delta}^2 - \omega_\ell^2 - \xi_k^2}{(\omega_\ell^2 + \xi_k^2 + \bar{\Delta}^2)^3} - 2 \frac{\xi_k^2}{(\omega_\ell^2 + \xi_k^2 + \bar{\Delta}^2)^3} - 4 \frac{\bar{\Delta}^2 - \omega_\ell^2 - \xi_k^2}{(\omega_\ell^2 + \xi_k^2 + \bar{\Delta}^2)^4} \xi_k^2 \right]. \quad (113)$$

At absolute zero, I_τ and I_x are the same since we can exchange ω_ℓ and ξ_k . When T approaches T_c , I_τ vanishes at some T . Then, when $T \sim T_c$, the time-dependence of h field should be given by the time-dependent Ginzburg-Landau functional. We show the kinetic terms at $T = 0$ and $T \sim T_c$ in Table II.

C. Higgs constant potential

The Higgs constant potential V is defined by $V = g^{-1} + \Pi$ in the limit of $q_0 \rightarrow 0$ and $\mathbf{q} \rightarrow 0$. The potential V in a multi-band superconductor is crucially dependent upon the coupling-constant matrix g . The quadratic form of h_n in this limit is given as

$$M[h] \equiv \sum_{mn} h_m M_{mn} h_n = \sum_{mn} h_m (\gamma_{mn} - \delta_{mn} f_m + \delta_{mn} \rho_m) h_n, \quad (114)$$

where we set

$$\gamma_{mn} = (g^{-1})_{mn}, \quad (115)$$

$$\rho_m = \int \frac{d^d k}{(2\pi)^d} \frac{1}{\beta} \sum_\ell \frac{2\bar{\Delta}_m^2}{(\omega_\ell^2 + \xi_k^2 + \bar{\Delta}_m^2)^2}. \quad (116)$$

γ_{mn} indicates the strength of the Josephson coupling between m and n bands. $\rho_m = \rho_m(T)$ equals the density of states $N_m(0)$ at absolute zero and is proportional to $\bar{\Delta}_m^2$ near T_c :

$$\rho_m = \begin{cases} N_m(0) & \text{at } T = 0, \\ N_m(0) \frac{7\zeta(3)}{4\pi^2 k_B^2 T_c^2} \bar{\Delta}_m(T)^2 & \text{for } T \sim T_c. \end{cases} \quad (117)$$

The gap function $\bar{\Delta}_m$ are determined by the gap equation,

$$\sum_m \gamma_{nm} \bar{\Delta}_m = f_n \bar{\Delta}_n. \quad (118)$$

In the single-band case, we have $M_{11} = \rho_1$ because of the gap equation. In the two-band case, $M = (M_{mn})$ is given by the 2×2 matrix:

$$M = \begin{pmatrix} \gamma_{11} - f_1 + \rho_1 & \gamma_{12} \\ \gamma_{21} & \gamma_{22} - f_2 + \rho_2 \end{pmatrix}. \quad (119)$$

The critical temperature T_c is given as

$$k_B T_c = \frac{2e\gamma\omega_c}{\pi} e^{-\lambda}, \quad (120)$$

where

$$\lambda = \frac{g_{11}/N_2 + g_{22}/N_1}{2\det g} - \frac{1}{\det g} \sqrt{\frac{1}{4} \left(\frac{g_{11}}{N_2} - \frac{g_{22}}{N_1} \right)^2 + \frac{g_{12}g_{21}}{N_1 N_2}}, \quad (121)$$

and ω_c is the cutoff. In the simple case where two bands are equivalent with $g_{11} = g_{22}$, $g_{12} = g_{21}$ and $N_1 = N_2$, the superconducting gap at $T = 0$ is

$$\bar{\Delta}_1 = \bar{\Delta}_2 = 2\omega_c e^{-\lambda}, \quad (122)$$

where

$$\lambda = \frac{1}{\det g} \left(\frac{g_{11}}{N_1} - \frac{|g_{12}|}{N_1} \right) = \frac{1}{(g_{11} + |g_{12}|)N_1}. \quad (123)$$

In this case, we must notice that Δ_n and T_c are finite even when $\det g = 0$.

When $\det g = 0$, the gap functions are obtained as

$$\bar{\Delta}_1 = 2\omega_c \exp\left(-\frac{1}{g_{11}N_1 + g_{22}N_2} \left(1 - \frac{1}{2}g_{22}N_2 \ln\left(\frac{g_{22}}{g_{11}}\right)\right)\right), \quad (124)$$

for $g_{12} = g_{21}$. $\bar{\Delta}_2$ is obtained by exchanging the indices 1 and 2. This is in contrast to the single-band case where the vanishing of g means the disappearance of superconductivity. A singular behavior of the 'mass' spectra occurs when the determinant $\det g$ is small. We call the region with small $\det g$ the critical region in the following. We find that one of the eigenvalues of the matrix M can be very large in this region.

D. Spectra of the Higgs potential in the two-band model

Let us consider the two-band case. There are two cases that should be examined; they are (a) $\det g > 0$ and (b) $\det g < 0$. Since the fields h_i have the same dimension as Δ_i , we define the fields η_n by

$$h_n = \bar{\Delta}_n \eta_n. \quad (125)$$

Correspondingly, we define the Higgs mass matrix \tilde{M} as

$$\tilde{M} = \begin{pmatrix} (\gamma_{11} - f_1 + \rho_1)\bar{\Delta}_1^2 & \gamma_{12}\bar{\Delta}_1\bar{\Delta}_2 \\ \gamma_{12}\bar{\Delta}_1\bar{\Delta}_2 & (\gamma_{22} - f_2 + \rho_2)\bar{\Delta}_2^2 \end{pmatrix}. \quad (126)$$

(a) Higgs modes for $\det g > 0$.

In this case, $\det g = g_{11}g_{22} - g_{12}g_{21} > 0$. The Josephson couplings γ_{ij} are

$$\gamma_{11} = \frac{g_{22}}{\det g}, \quad \gamma_{22} = \frac{g_{11}}{\det g}, \quad \gamma_{12} = -\frac{g_{12}}{\det g}. \quad (127)$$

We assume that $g_{11} > 0$ and $g_{22} > 0$, namely, the interaction in each band is attractive. Then, we have $\gamma_{11} > 0$ and $\gamma_{22} > 0$. We also set $g_{12} = g_{21}$. When $\gamma_{12} = 0$, the gap equation reduces to $\gamma_{nn} - f_n = 0$ ($n = 1, 2$). For $\gamma_{12} \neq 0$, f_n decreases, that is, $\bar{\Delta}_n$ increases, and thus $\gamma_{nn} - f_n > 0$. The eigenvalues x of \tilde{M} are given as

$$\begin{aligned} x &= \frac{1}{2}\{(\gamma_{11} - f_1 + \rho_1)\bar{\Delta}_1^2 + (\gamma_{22} - f_2 + \rho_2)\bar{\Delta}_2^2\} \\ &\pm \frac{1}{2}\left[(\gamma_{11} - f_1 + \rho_1)\bar{\Delta}_1^2 - (\gamma_{22} - f_2 + \rho_2)\bar{\Delta}_2^2\right. \\ &\quad \left.+ 4\gamma_{12}^2\bar{\Delta}_1^2\bar{\Delta}_2^2\right]^{1/2}. \end{aligned} \quad (128)$$

Let us consider, for simplicity, a simple model with equivalent two bands satisfying $g_{11} = g_{22}$, $N_1(0) =$

$N_2(0) \equiv \bar{N}(0)$ and $\bar{\Delta}_1 = \bar{\Delta}_2 \equiv \bar{\Delta}$. This leads to $f_1 = f_2$, $\rho_1 = \rho_2$ and $\gamma_{11} - f_1 = |\gamma_{12}|$. The eigenvalues of \tilde{M} are

$$x_1 = \rho_1\bar{\Delta}^2, \quad x_2 = (\rho_1 + 2|\gamma_{12}|)\bar{\Delta}^2. \quad (129)$$

The corresponding y_{H1} and y_{H2} are given by

$$y_{H1} = \sqrt{\frac{\rho_1}{\bar{N}(0)}}\bar{\Delta}, \quad y_{H2} = \sqrt{\frac{\rho_1 + 2|\gamma_{12}|}{\bar{N}(0)}}\bar{\Delta}. \quad (130)$$

The one mode y_{H2} shows a dependence on g_{12} , while the other value remains a constant. y_{H2} increases as $|\gamma_{12}|$ increases as shown in Fig.11 for the two equivalent bands, with a very large value when $\det g$ is small. The Fig.12 indicates the superconducting gaps and y_H 's for $g_{11}N_1(0) = 0.35$ and $g_{22}N_2(0) = 0.30$ at absolute zero. The coherence length ξ is proportional to the inverse of y_H , exhibiting the dependence on the coupling constant matrix g . Thus the upper critical field H_{c2} , being proportional to $1/\xi^2$, also shows the dependence on g . H_{c2} can be very large as $\det g$ approaches zero.

(b) Higgs potential for $\det g < 0$.

Let us turn to the case with $\det g = g_{11}g_{22} - g_{12}g_{21} < 0$. We assume that $g_{11} > 0$ and $g_{22} > 0$. This means that $\gamma_{11} < 0$ and $\gamma_{22} < 0$. We examine the case with two equivalent bands. Since $\gamma_{11} < 0$, we have $\gamma_{11} - f_1 = -|\gamma_{12}|$. Then, the eigenvalues of the matrix \tilde{M} are

$$x_1 = \rho_1\bar{\Delta}^2, \quad x_2 = (\rho_1 - 2|\gamma_{12}|)\bar{\Delta}^2. \quad (131)$$

Correspondingly, we have

$$y_{H1} = \sqrt{\frac{\rho_1}{\bar{N}(0)}}\bar{\Delta}, \quad y_{H2} = \sqrt{\frac{\rho_1 - 2|\gamma_{12}|}{\bar{N}(0)}}\bar{\Delta}. \quad (132)$$

In contrast to the previous case, y_{H2} decreases as $|\gamma_{12}|$ increases. Thus, the coherence length corresponding to y_{H2} increases and can be very large as a function of g_{12} . y_{H2} decreases and vanishes when $|\gamma_{12}|$ increases as $|\gamma_{12}|$ approaches g_{11} . The appearance of massless state indicates an instability of the superconducting state. When $y_{H2}^2 < 0$, the state with $(\bar{\Delta}_1, \bar{\Delta}_2) = (\bar{\Delta}, \bar{\Delta})$ is at the saddle point and thus is unstable to be away from this point. Let us examine this phenomenon in the following.

We include η^4 term in the action to investigate a stability of superconducting state. The mass functional is written as

$$M[\eta] = a_1\eta_1 + a_2\eta_2 + \eta^t \tilde{M} \eta + \frac{b}{4}(\eta_1^4 + \eta_2^4), \quad (133)$$

with a constant $b > 0$, where $\eta = {}^t(\eta_1, \eta_2)$. $\bar{\Delta}_n$ ($n = 1, 2$) are determined from the condition $a_1 = a_2 = 0$. We write $\eta_n = \bar{\eta}_n + \delta\eta_n$ (for $n = 1, 2$) where $\bar{\eta}_n$ is a new stationary value of η_n and $\delta\eta_n$ stands for fluctuation of the mode

η_n . The condition that the linear terms in $\delta\eta_n$ should vanish results in the equations

$$2\tilde{M}_{11}\bar{\eta}_1 + 2\tilde{M}_{12}\bar{\eta}_2 + b\bar{\eta}_1^3 = 0, \quad (134)$$

$$2\tilde{M}_{12}\bar{\eta}_1 + 2\tilde{M}_{22}\bar{\eta}_2 + b\bar{\eta}_2^3 = 0. \quad (135)$$

Let us consider the model with two equivalent bands where we set $\bar{\Delta} \equiv \bar{\Delta}_1 = \bar{\Delta}_2$ and $g_{11} = g_{22}$. The eigenvectors of the matrix \tilde{M} are ${}^t(1, 1)/\sqrt{2}$ and ${}^t(1, -1)/\sqrt{2}$ with the eigenvalue $\rho_1\bar{\Delta}^2$ and $(\rho_1 - 2|\gamma_{12}|)\bar{\Delta}^2$, respectively. The eigenvalue corresponding to the mode $\eta_1 - \eta_2$ can be negative and the state will show an instability to this direction. Then, we set $\bar{\eta}_2 = -\bar{\eta}_1$ to obtain the equation

$$2(\rho_1 - 2|\gamma_{12}|)\bar{\Delta}^2 + b\bar{\eta}_1^2 = 0, \quad (136)$$

for non-trivial solution $\bar{\eta}_1 \neq 0$. Then we have

$$\bar{\eta}_1 = \pm \sqrt{\frac{2(2|\gamma_{12}| - \rho_1)}{b}} \bar{\Delta}. \quad (137)$$

Due to fluctuation of the $\eta_1 - \eta_2$ mode, the stationary values of the gap functions ($\bar{\Delta}_1, \bar{\Delta}_2$) change from $(\bar{\Delta}, \bar{\Delta})$ to

$$(\bar{\Delta} + \bar{\eta}_1\bar{\Delta}, \bar{\Delta} - \bar{\eta}_1\bar{\Delta}). \quad (138)$$

This is shown schematically in Fig. 13. Thus, the stationary values of Δ_n break the symmetry $\Delta_1 = \Delta_2$ which should hold for the case of two equivalent bands, when $\det g < 0$ and $\rho_1 - 2|\gamma_{12}| < 0$. This may be called the spontaneous symmetry breaking of \mathbf{Z}_2 symmetry.

Near $((1 + \bar{\eta}_1)\bar{\Delta}, (1 - \bar{\eta}_1)\bar{\Delta})$, the potential $M[\eta]$ is expanded as

$$M[\eta] = \text{const.} + {}^t \delta\eta \begin{pmatrix} \tilde{M}_{11} + \frac{3}{2}b\bar{\eta}_1^2 & \tilde{M}_{12} \\ \tilde{M}_{21} & \tilde{M}_{22} + \frac{3}{2}b\bar{\eta}_2^2 \end{pmatrix} \delta\eta, \quad (139)$$

where $\delta\eta = {}^t(\delta\eta_1, \delta\eta_2)$. The eigenvalues of this 2×2 matrix give y_H 's for Higgs modes. For the case with two equivalent bands, we have

$$y_{H1}^2 = \frac{2(3|\gamma_{12}| - \rho_1)}{\bar{N}(0)} \bar{\Delta}^2, \quad y_{H2}^2 = \frac{2(2|\gamma_{12}| - \rho_1)}{\bar{N}(0)} \bar{\Delta}^2. \quad (140)$$

This is shown in Fig.14 where y_{Hn}^2 for $n = 1, 2$ are shown as a function of $|\gamma_{12}|/\rho_1$. One mode becomes massless when $2|\gamma_{12}| = \rho_1$. When $2|\gamma_{12}| > \rho_1$, namely, g_{12}^2 is near $g_{11}g_{22}$, the Higgs values y_H can be very large.

As we have shown above, the eigenvalues of Higgs matrix M exhibit a singular behavior when $\det g$ is small. When $\det g > 0$, the eigenvalue and thus H_{c2} can be large. When $\det g < 0$, there is a possibility of softening of the eigenvalue of one Higgs mode. We show y_{Hn}^2 as a function of g_{12} in Fig.15. There is a singularity in the critical region near $\det g = 0$, which shows a possibility of large upper critical field H_{c2} .

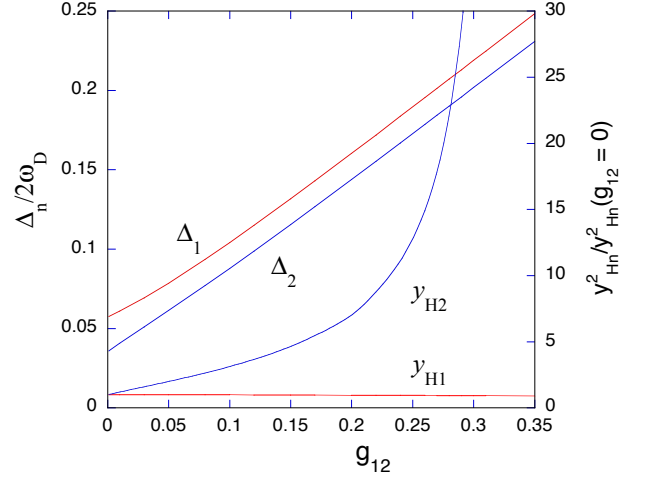


FIG. 12. Gap functions $\bar{\Delta}_n$ and Higgs eigenvalue y_{Hn} in a two-band superconductor ($n = 1, 2$) as a function of the interband coupling g_{12} . y_{Hn} is measured in unit of that in the case of $g_{12} = 0$. We set $g_{11}\bar{N}(0) = 0.35$ and $g_{22}\bar{N}(0) = 0.30$ for $\bar{N}(0) = N_1(0) = N_2(0)$. The Higgs eigenvalue of one mode remains a constant whereas the other grows very large as g_{12} increases, namely $\det g$ approaches zero. Δ_n in the figure indicates $\bar{\Delta}_n$.

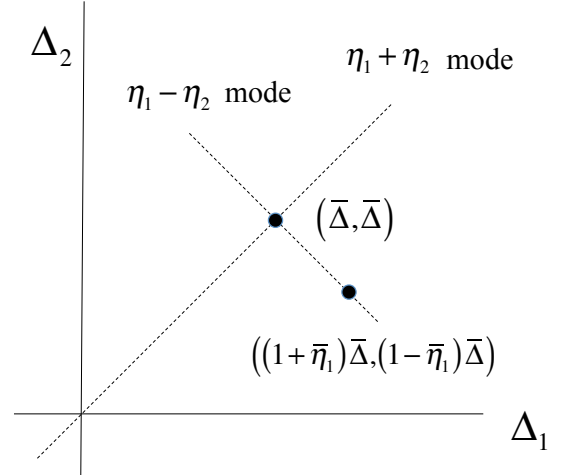


FIG. 13. Gap functions and fluctuation modes when two bands are equivalent for $\det g < 0$ and $\rho_1 - 2|\gamma_{12}| < 0$. The order parameters $(\bar{\Delta}, \bar{\Delta})$ is shifted to $((1 + \bar{\eta}_1)\bar{\Delta}, (1 - \bar{\eta}_1)\bar{\Delta})$ or $((1 - \bar{\eta}_1)\bar{\Delta}, (1 + \bar{\eta}_1)\bar{\Delta})$ due to the fluctuation of $\eta_1 - \eta_2$ mode.

E. Higgs potential in a three-band superconductor

We turn to a three-band superconductor in this subsection. The Higgs matrix M is given by

$$M = \begin{pmatrix} \gamma_{11} - f_1 + \rho_1 & \gamma_{12} & \gamma_{13} \\ \gamma_{21} & \gamma_{22} - f_2 + \rho_2 & \gamma_{23} \\ \gamma_{31} & \gamma_{32} & \gamma_{33} - f_3 + \rho_3 \end{pmatrix}. \quad (141)$$

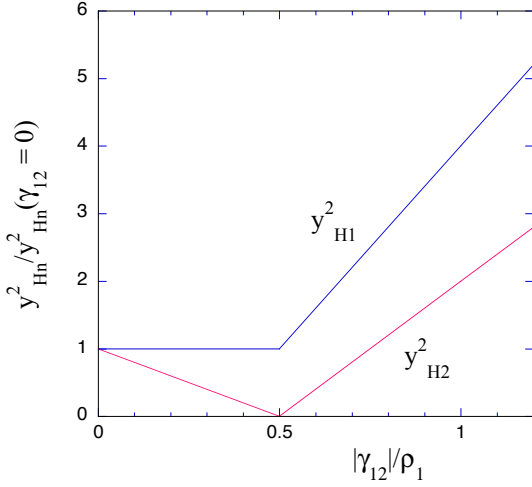


FIG. 14. y_{Hn}^2 ($n = 1, 2$) as a function of $|\gamma_{12}|$ when $\det g < 0$. In this case, the region with small γ_{12} is unrealistic because g_{12} should be very small. We used $g_{11}N_1(0) = 0.30$.

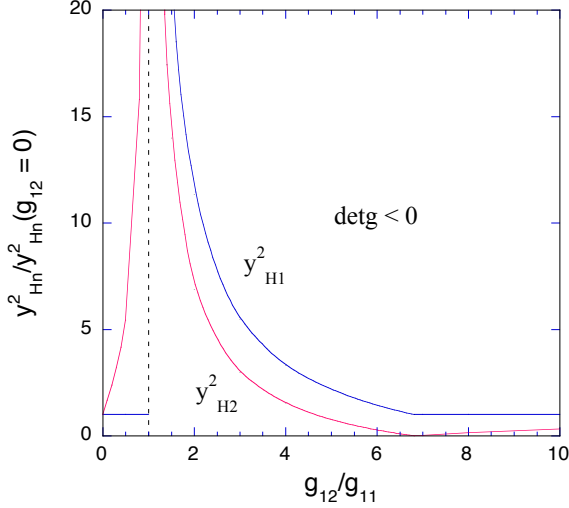


FIG. 15. y_{Hn}^2 ($n = 1, 2$) as a function of g_{12}/g_{11} where $g_{12} > 0$. We have $\det g < 0$ for $g_{12}/g_{11} > 1$. y_{Hn} shows a singularity near $\det g = 0$.

Let us first consider the case where three bands are equivalent, that is, we have $g_{11} = g_{22} = g_{33} \equiv t > 0$ and $g_{12} = g_{21} = g_{23} = g_{32} = g_{31} = g_{13} \equiv v$. We have also the same density of state in every band as $N_1(0) = N_2(0) = N_3(0) \equiv \bar{N}(0)$ and $\rho_1 = \rho_2 = \rho_3 \equiv \rho$, and thus $f_1 = f_2 = f_3 \equiv f$. In this case the matrix g of coupling constants is

$$g = \begin{pmatrix} t & v & v \\ v & t & v \\ v & v & t \end{pmatrix}. \quad (142)$$

Then, $\det g = (t-v)^2(t+2v)$ and the Josephson couplings γ_{ij} are

$$\gamma_{ii} = \frac{t+v}{(t-v)(t+2v)}, \quad \gamma_{ij} = -\frac{v}{(t-v)(t+2v)} \quad (i \neq j). \quad (143)$$

The gap equation is written as

$$\begin{vmatrix} \gamma_{11} - f_1 & \gamma_{12} & \gamma_{13} \\ \gamma_{21} & \gamma_{22} - f_1 & \gamma_{23} \\ \gamma_{31} & \gamma_{32} & \gamma_{33} - f_3 \end{vmatrix} = 0. \quad (144)$$

In our simple case, the critical temperature is given by

$$k_B T_c = \frac{2e^{\gamma_E}}{\pi} \omega_D \exp\left(-\frac{1}{(g_{11} + 2g_{12})\bar{N}(0)}\right), \quad (145)$$

when $v = g_{12} > 0$, and

$$k_B T_c = \frac{2e^{\gamma_E}}{\pi} \omega_D \exp\left(-\frac{1}{(g_{11} + |g_{12}|)\bar{N}(0)}\right), \quad (146)$$

when $v = g_{12} < 0$. The eigenvalues of the matrix M are

$$(A) \quad x_1 = \rho, \quad x_2 = \rho - 2\gamma_{12} \quad \text{for } v > 0, \quad (147)$$

$$(B) \quad x_1 = \rho, \quad x_2 = \rho + 3\gamma_{12} \quad \text{for } v < 0. \quad (148)$$

For $v > 0$, the eigenstates with $\rho - 2\gamma_{12}$ are doubly degenerate. On the other hand, for $v < 0$, the states with the eigenvalue ρ are doubly degenerate. We call these two cases the case A and B, respectively. In the case A, since γ_{12} is negative for $0 < v < g_{11}$, two eigenvalues of the Higgs matrix M increase as $|\gamma_{12}|$ increases. $\rho - 3\gamma_{12}$ diverges at the critical point $\det g = 0$. In contrast, in the case B, one Higgs mode has a large y_H in the critical region where $\det g$ is small.

We present a typical behavior of y_{Hn} as a function of g_{12} for the case with three equivalent bands in Fig.16. The 'mass' of one mode remains constant as in the case of two-band superconductivity. We show y_{Hn} for two cases as a function of g_{12} in Figs.17 and 18 where three bands are not necessarily equivalent. In Fig.17 y_{Hn} of two modes show a large dependence of g_{12} indicating that this is in the case A.

Let us investigate the case where y_{Hn}^2 is negative when $|g_{12}|$ becomes large across the critical point $\det g = 0$. In the case B with isotropic three bands, the one mode has negative y_{H1}^2 for $v = g_{12} < -t/2 = -g_{11}/2$. The mode $\eta \equiv (\eta_1 + \eta_2 + \eta_3)/\sqrt{3}$ shows an instability in this case. We must include the $(b/4)\sum_i \eta_i^4$ ($b > 0$) in the mass functional $M[\eta_i]$ to examine a stability of superconducting state. We express the shift of the stationary point of the gap functions as $\eta_n = \bar{\eta} + \delta\eta_n$. We obtain

$$\bar{\eta} = \pm \sqrt{\frac{2|\rho + 3\gamma_{12}|}{b}}, \quad (149)$$

for $\rho + 3\gamma_{12} < 0$. Then, the Higgs matrix M is

$$M = \begin{pmatrix} 8|\gamma| - 2\rho & \gamma & \gamma \\ \gamma & 8|\gamma| - 2\rho & \gamma \\ \gamma & \gamma & 8|\gamma| - 2\rho \end{pmatrix}, \quad (150)$$

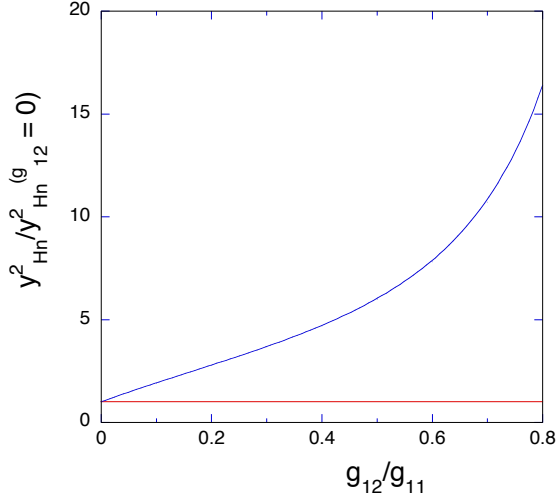


FIG. 16. y_{Hn}^2 ($n = 1, 2, 3$) as a function of g_{12}/g_{11} for the model with three equivalent bands where we assume $g_{11} = g_{22} = g_{33}$, $g_{12} = g_{23} = g_{31}$ and $N_1(0) = N_2(0) = N_3(0)$. We set $g_{11}N_1(0) = 0.3$. Two Higgs values grows large as $g_{12}(> 0)$ increases in the case A. In the case B, the label of the horizontal axis should read $2|g_{12}|/g_{11}$ for $g_{12} < 0$ and we have a similar behavior. One of Higgs modes has a heavy y_H for large $|g_{12}|/g_{11}$ in the case A. The heavy eigenvalue becomes very huge in the critical region where $\det g \sim 0$.

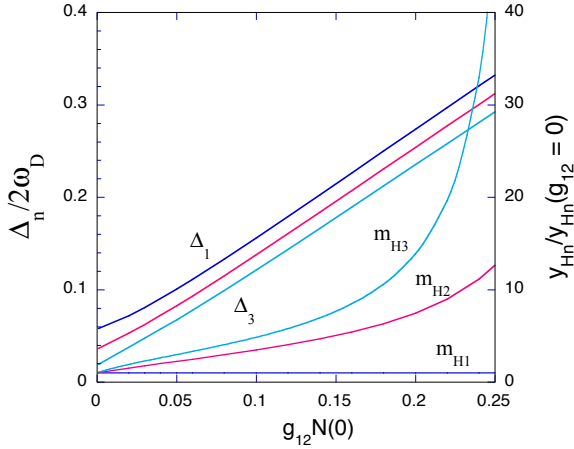


FIG. 17. $\bar{\Delta}_n$ and y_{Hn}^2 ($n = 1, 2, 3$) as a function of g_{12}/g_{11} where we assume $g_{12} = g_{23} = g_{31}$. We set $g_{11}N_1(0) = 0.35$, $g_{22}N_2(0) = 0.3$ and $g_{33}N_3(0) = 0.25$. We adopt that we have the same density of states in three bands. Two of Higgs modes have a heavy value.

where $\gamma = \gamma_{12}$. The eigenvalues are

$$x_1 = 9|\gamma| - 2\rho, \quad x_2 = 6|\gamma| - 2\rho, \quad (151)$$

where the eigenstates with the eigenvalue $x_1 = 9|\gamma| - 2\rho$ are doubly degenerate. The Higgs value squared $y_{Hn}^2 \propto x_n$ is shown as a function of $\gamma = \gamma_{12}$ for the case of three equivalent bands with $g_{12} < 0$ in Fig.19. x_2 vanishes at $|\gamma| = \rho/3$ and is large for large $|\gamma|$.

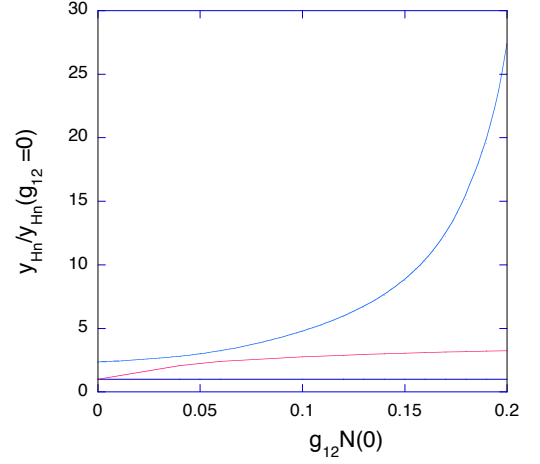


FIG. 18. y_{Hn}^2 ($n = 1, 2, 3$) as a function of $g_{12}\bar{N}(0)$ where we assume $g_{12} = g_{31}$. We used a set parameters as $g_{11}\bar{N}(0) = 0.35$, $g_{22}\bar{N}(0) = 0.30$, $g_{33}\bar{N}(0) = 0.25$ and $g_{23}\bar{N}(0) = 0.05$. We also adopt that $N_1(0) = N_2(0) = N_3(0) = \bar{N}(0)$. In this case, the value of y_H of one Higgs mode becomes large as g_{12} increases.

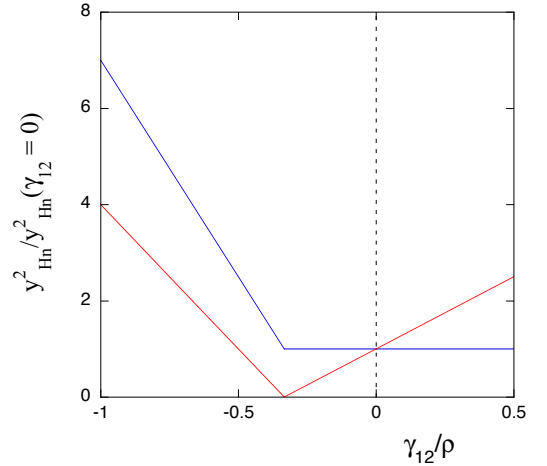


FIG. 19. y_{Hn}^2 ($n = 1, 2, 3$) as a function of γ_{12}/ρ_1 where we assume an isotropic three-band superconductor and $g_{12} < 0$ (the case B in the text). We adopted that $g_{11} = g_{22} = g_{33}$, g_{ij} ($i \neq j$) are the same, and $N_1(0) = N_2(0) = N_3(0)$. In this model, two Higgs states are degenerate and the other one state becomes a massless mode at $\gamma_{12}/\rho_1 = -1/3$.

F. Discussion

We have considered the Higgs modes in multi-gap superconductors. Since the upper critical field H_{c2} is proportional to y_H^2 , there is a possibility that we have large H_{c2} in a multi-gap superconductor such as iron-based superconductors by tuning interaction parameters. The eigenvalue y_H increases as $|\det g|$ decreases. In a two-gap superconductor, we have two solutions for the gap equation and one solution with higher T_c is realized. The

other solution with low T_c is expected to be less important. When the coherence length of low- T_c solution is shorter than that of the high- T_c solution, we expect that the low- T_c solution plays a role in determining the critical field. As a result the upper critical field may be larger. This gives a possibility of high upper critical field in a multi-gap superconductor.

VI. SUMMARY

We have examined the property of Green's functions of the Nambu-Goldstone and Higgs modes in a superconductor. In an N -gap superconductor, there are N Nambu-Goldstone modes. We have, however, one gapless mode and $N - 1$ massive modes in the presence of interband BCS couplings. The NG mode Green function $D(\omega, \mathbf{q})$ for small ω and \mathbf{q} is given as $D \propto 1/(\omega^2 - \omega(\mathbf{q})^2)$ with the dispersion $\omega(\mathbf{q}) = v_{NG}|\mathbf{q}|$ where $v_{NG} = (1/\sqrt{3})\sqrt{(N_1v_{F1}^2 + \dots + N_Nv_{FN}^2)/(N_1 + \dots + N_N)}$. An analytic property of the NG Green function $D(\omega, \mathbf{q})$ is dependent on ω . One gapless mode remains gapless in the presence of intraband scattering due to non-magnetic and magnetic impurities, which was shown on the basis of the Ward-Takahashi identity. In a multiband superconductor, massive modes due to interband couplings g_{nm} become gapless again in a region with time-reversal symmetry breaking.

The Higgs Green function was also examined. The time-dependent part of the Higgs action is dependent on the temperature; it is given by $(\partial_t h)^2$ at low temperature, while it is $h\partial_t h$ near the critical temperature T_c . The Green function $H(\omega, \mathbf{q})$ of the Higgs mode has a singularity at $\omega \sim 2\Delta$ given as $2\Delta/\sqrt{(2\Delta)^2 + \frac{1}{3}v_F^2\mathbf{q}^2 - \omega^2}$. The Higgs Green function has the same singularity as the σ -boson Green function in the Gross-Neveu model. We have shown that when there are several order parameters, the constant part of the Higgs action is important and crucially dependent upon the interband coupling constants g_{ij} . In a multiband superconductor, the eigenvalue of the matrix M of constant Higgs potential can be very large as the interband coupling constant g_{12} increases, although the other eigenvalues of the other Higgs mode remain constant. This indicates the possibility of the large upper critical field H_{c2} because of the relation $H_{c2} \propto 1/\xi^2 \propto y_H^2$. In iron-based superconductors, the extremely huge H_{c2} has been reported for NdFeAsO_{0.7}F_{0.3}[76] and Ba_{0.6}K_{0.4}Fe₂As₂[77]. Our results indicate that the huge H_{c2} may be due to the multiband effect for the Higgs modes.

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