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# SDW and d-wave states in the CuO<sub>2</sub> model by variational Monte Carlo simulations

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## Abstract

The ground state of the Cu–O network in the oxide superconductors is investigated by using the variational Monte Carlo method. We employ the Gutzwiller-projected BCS, SDW and AF-BCS wave functions in search for a possible ground state with respect to dependences on electron density  $\rho$  and transfer  $t_{pp}$ , where the AF-BCS state is the coexistence state of antiferromagnetism and superconductivity. Near half-filling there is a large SDW phase for both the hole and electron doping cases. The d-wave state turns out to be stable away from half-filling. We have found that the AF-BCS state is most stable among the above wave functions near half-filling in the SDW region. It is also found that the extended s-wave state is possible for the electron doping case.  $\bigcirc$  2000 Published by Elsevier Science B.V. All rights reserved.

Keywords: High-T<sub>c</sub> superconductors; Multi-band Hubbard model; Variational Monte Carlo method

### 1. Hamiltonian and wave functions

The ground state of the oxide high- $T_c$  superconductors is investigated based on the three-band Hubbard model for the CuO<sub>2</sub> model. The Hamiltonian is given as [1]

$$\begin{split} H &= \varepsilon_d \sum_{i\sigma} d^{\dagger}_{i\sigma} d_{i\sigma} + U_d \sum_i d^{\dagger}_{i\uparrow} d_{i\uparrow} d^{\dagger}_{i\downarrow} d_{i\downarrow} \\ &+ \varepsilon_p \sum_{i\sigma} \left( p^{\dagger}_{i+\hat{x}/2,\sigma} p_{i+\hat{x}/2,\sigma} + p^{\dagger}_{i+\hat{y}/2,\sigma} p_{i+\hat{y}/2,\sigma} \right) \\ &+ t_{pd} \sum_{i\sigma} \left[ d^{\dagger}_{i\sigma} (p_{i+\hat{x}/2,\sigma} + p_{i+\hat{y}/2,\sigma} - p_{i-\hat{x}/2,\sigma} - p_{i-\hat{y}/2,\sigma} \right) \\ &- p_{i-\hat{y}/2,\sigma} \right) + \text{h.c.} ] \\ &+ t_{pp} \sum_{i\sigma} \left[ - p^{\dagger}_{i+\hat{y}/2,\sigma} p_{i+\hat{x}/2,\sigma} + p^{\dagger}_{i+\hat{y}/2,\sigma} p_{i-\hat{x}/2,\sigma} \right] \end{split}$$

+ 
$$p_{i-\hat{y}/2,\sigma}^{\dagger}p_{i+\hat{x}/2,\sigma} - p_{i-\hat{y}/2,\sigma}^{\dagger}p_{i-\hat{x}/2,\sigma} + \text{h.c.}].$$
 (1)

 $\hat{x}$  and  $\hat{y}$  represent unit vectors along x and y directions, respectively.  $p_{i\pm\hat{x}/2,\sigma}^{\dagger}$  and  $p_{i\pm\hat{x}/2,\sigma}$  denote the operators for the p electrons at site  $R_i \pm \hat{x}/2$ . Similarly  $p_{i\pm\hat{y}/2,\sigma}^{\dagger}$  and  $p_{i\pm\hat{y}/2,\sigma}$  are defined. Other notations are standard and energies are measured in units of  $t_{pd}$ . For simplicity we neglect the Coulomb interaction among p electrons.

We consider the following wave functions: normalstate Gutzwiller function, the Gutzwiller function with antiferromagnetic long-rang order, the Gutzwiller-projected BCS wave function, and the coexistence state of antiferromagnetism and superconductivity. These types of functions are standard ground state wave functions and have been investigated considerably for the Hubbard model [2–4]. The last one is written as  $\psi_{AF-BCS} =$  $P_{N_{e}}P_{G}\prod_{k}(u_{k}+v_{k}\alpha_{k\uparrow}^{\dagger}\alpha_{-k\downarrow}^{\dagger})|0\rangle$  where  $\alpha_{k\sigma}$  is constructed as a linear combination of two wave numbers k and k + Qfor the commensurate vector  $Q = (\pi, \pi)$ .  $P_G$  is the Gutzwiller projection operator and  $P_{N_c}$  is a projection operator which extracts only the states with a fixed total electron number. The parameters in our calculations are the following:  $\varepsilon_d = -2$ ,  $\varepsilon_p = 0$ ,  $U_d = 8$  and  $0 \le t_{pp} \le 0.4$ in units of  $t_{pd}$  for the 6 × 6 square lattice.

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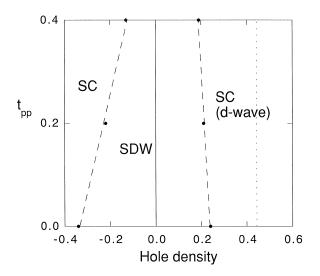


Fig. 1. Phase diagram in the plane of  $t_{pp}$  and  $\delta$ . Parameters are given by  $U_d = 8$  and  $\varepsilon_p - \varepsilon_d = 2.0$  in units of  $t_{pd}$ .

#### 2. Results

First let us consider the BCS and SDW wave functions. In Fig. 1 we show the phase diagram in the plane of  $t_{pp}$  and  $\delta$  where  $\delta$  is the hole density. The negative  $\delta$  denotes the electron-doping case. The energy is lowered considerably by the antiferromagnetic long-range order up to 20% hole doping. The d-wave state exists in a region where  $0.2 < \delta < 0.44$  and  $\delta < -0.2$  (for  $t_{pp} = 0.2$ ). The extended s-wave state has higher energy than the d-wave state for the hole-doping case, while the extended s-wave state is more stable than the d-wave state for the electron-doping case near half-filling. This indicates a possibility of the extended s-wave superconductivity. Second, let us discuss the coexistence state near halffilling. We show the energy as a function of the superconducting order parameter  $\Delta$  for  $\psi_{AF-BCS}$  in Fig. 2, where  $\delta = 0.111$  and the d-wave order parameter is assumed. The AF order parameter is given by  $\Delta_{AF} = 0.41$  near the

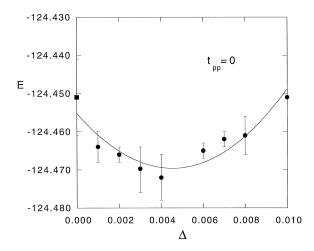


Fig. 2. Energy as a function of SC order parameter  $\Delta$  for the AF-BCS state. AF order parameter is optimized for each value of  $\Delta$ . The hole density is given by  $\delta = 0.111$ .

minimum. It is also found that the coexistence state is most stable among the variational wave functions shown above for the electron-doping case near half-filling. A possibility of the coexistence state will enlarge the superconducting region. We furthermore found that the antiferromagnetic state with spin modulations has possibly lower energy than the uniform AF and AF-BCS states near half-filling.

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