

A Proof Theoretical Account of Continuation Passing Style

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Motivation:

- To give a proof-theoretical account of Continuation Passing Style.
- To show Gentzen's sequent style is *good* syntax.

Main Results:

The CBV normalization for **CND** (Parigot 92) can be simulated by the cut-elimination procedure for **LKQ** (Danos-Joinet-Schellinx 93), namely the q-protocol.

Theorem 1. *If $p \Rightarrow q$ then $\bar{p} \Rightarrow \bar{q}$*

A Proof Theoretical Account of CPS

CPS translation is the one from Natural Deduction style logic to Gentzen's sequent style logic.

- The source language of CBV CPS is **CND** with CBV normalization procedure.
- The target language of CBV CPS is **LKQ**.
- The translation from **CND** into **LKQ** is the CBV CPS translation.

- Different from the traditional one, our translation does not raise translation on types.
- Plotkin-style and Hofmann-Streicher-style CPS translation can be recovered by considering *intuitionistic decoration* of **LKQ**.

What is **CND**? (Parigot 92)

- **CND**(Classical Natural Deduction) has classical provability.
- **CND** is a “classical” extension of natural deduction.
- Formation rules take the form of left and right introduction.

- $\lambda\mu_{\mathbf{N}}$ is the term calculus of **CND**.
- $\lambda\mu_{\mathbf{N}}$ is a classical extension to CBN λ -calculus.
- $\lambda\mu_{\mathbf{N}}$ has a SN and CR normalization procedure.

What is Call-By-Value **CND**? (Ong 96)

- **CND**'s term calculus is $\lambda\mu_V$.
- $\lambda\mu_V$ is a classical extension to CBV λ -calculus.
- Our $\lambda\mu_V$ is a variant of Ong's.
- We also give a new, simple proof of SN and CR property of normalization.

Typical **CND** sequents with term assignment:

$$M: A^x, B^y, (A \rightarrow B)^z \Rightarrow D, A'^\alpha, B'^\beta, (A' \rightarrow B')^\gamma$$

$$\frac{p: A^x, \Gamma \Rightarrow B, \Delta}{\lambda x^A. p: \Gamma \Rightarrow A \rightarrow B, \Delta} \rightarrow \mathcal{I}$$

$$\frac{M: \Gamma_0 \Rightarrow A \rightarrow B, \Delta_0 \quad N: \Gamma_1 \Rightarrow A, \Delta_1}{M N: \Gamma_0, \Gamma_1 \Rightarrow B, \Delta_0, \Delta_1} \rightarrow \mathcal{E}$$

What is **LKQ**? (Danos, Joinet and Schellinx 93)

- **LKQ** has classical provability.
- **LKQ** is a Gentzen's sequent-style classical logic.
- Formation rules take the form of left and right introduction.

- **LKQ** is a variant of Gentzen's **LK**.

Typical **LKQ** sequents with term assignment:

$$T: A^x, B^y, (A \rightarrow B)^z \Rightarrow A'^\alpha, B'^\beta, (A' \rightarrow B')^\gamma; C$$

$$\frac{T: A^x \Rightarrow B^\beta; \emptyset}{\bar{\lambda}x^A. \bar{\mu}\beta^B. T: \Rightarrow; A \rightarrow B} \text{R}\rightarrow$$

$$\frac{V: \Rightarrow; A \quad U: B^y \Rightarrow; \emptyset}{\text{let } y = zV \text{ in } U: (A \rightarrow B)^z \Rightarrow; \emptyset} \text{L}\rightarrow$$

Curry-Howard isomorphism:

- Proofs of **CND** as programs.
- The normalization procedure as computation.
- Proofs of **LKQ** as programs.
- The cut-elimination procedure (i.e., the q-protocol) as computation.

Gentzen's sequent-style classical is good syntax.

- 2nd order extension is well-known.
- There also is a well-known algebraic semantics.

CBV Evaluation Context:

We define **CBV evaluation contexts**, ranged over by E , as follows:

$$E := [-] \mid EN \mid vE \mid EB$$

Traditionally, evaluation contexts are devised so that every non-normal closed term M can be written uniquely as $E[R]$, where R is a redex.

Instead, we use the notion of evaluation context to uniquely define a ζ -redex in every μ -rename.

Reduction Rules for $\lambda\mu_V$:

$$\begin{array}{lll} (\beta_V) & (\lambda x.L)v & \Rightarrow L [x := v] \\ (\zeta_V) & \mu\alpha.[\beta] E[\mu\gamma.[\delta] M] & \Rightarrow \mu\alpha.([\delta] M) [\gamma := [\beta] E[-]] \\ (\text{polymorphic}) & (\Lambda X.L)B & \Rightarrow L [X := B] \end{array}$$

Where $M [\gamma := [\beta] E[-]]$ means “in M , replace all subterms of the form $[\gamma] L$ by the term $[\beta] E[L]$ ”.

Difference from Ong-Stewart’s CBV $\lambda\mu_V$ (Ong96):

We pack $n + 1$ length of “reduction sequence” into single reduction.

Simulating CBV language by $\lambda\mu_V$

The CBV language we consider is typed CBV λ -calculus with continuations(catch/throw) called λ_V . Its reduction rules are:

$$\begin{aligned}(\lambda x.L)v &\Rightarrow \text{catch } \beta L [x := v] && \beta \notin \text{FN}(L [x := v]) \\ \text{catch } \beta E[\text{catch } \alpha M] &\Rightarrow \text{catch } \beta E[M [\alpha := [\beta] E[-]]] \\ \text{throw } \beta E[\text{catch } \alpha M] &\Rightarrow \text{throw } \beta E[M [\alpha := [\beta] E[-]]] \\ \text{catch } \alpha E_e[\text{throw } \alpha N] &\Rightarrow \text{catch } \alpha N \\ \text{throw } \beta E_e[\text{throw } \alpha N] &\Rightarrow \text{throw } \alpha N\end{aligned}$$

The embedding: $\lceil _ \rceil : \lambda_V\text{-terms} \mapsto \lambda\mu_V\text{-terms}$ is defined as follows:

$$\begin{aligned} \lceil x \rceil &= x \\ \lceil \lambda x^A.L \rceil &= \lambda x^A.\mu\beta^B[\beta] \lceil L \rceil \\ \lceil MN \rceil &= \lceil M \rceil \lceil N \rceil \\ \lceil \text{catch } \alpha^A.N \rceil &= \mu\alpha^A.[\alpha] \lceil N \rceil \\ \lceil \text{throw } \alpha.N \rceil &= \mu\delta^B.[\alpha] \lceil N \rceil \end{aligned}$$

Where $\beta \notin \text{FN}(\lceil L \rceil)$ and $\delta \notin \text{FN}(\lceil N \rceil)$.

Theorem 2. *If $M \Rightarrow N$ then $\lceil M \rceil \Rightarrow \lceil N \rceil$*

Reduction Rules for $\overline{\lambda\mu}_q$:

- (S1) $\text{let } x = \overline{\mu}\alpha.S \text{ in } T \Rightarrow S [\alpha := (\text{let } x = _ \text{ in } T)]$
- (S2) $\text{let } x = V \text{ in } S \Rightarrow S [x := V]$
- (L \rightarrow) $(\overline{\lambda}x^A.\overline{\mu}\beta^B.T)V \Rightarrow \overline{\mu}\beta^B.(\text{let } x = V \text{ in } T)$
- (L \forall) $(\overline{\lambda}X.\overline{\mu}\alpha^A.T)B \Rightarrow \overline{\mu}\alpha^A.T [X := B]$

Simulation of $\lambda\mu_V$ by $\overline{\lambda\mu}_Q$:

1. The translation $\overline{(-)}$, $\lambda\mu_V$ - μ -renames $\mapsto \overline{\lambda\mu}_Q$ - $\overline{\mu}$ -abstractions is defined as follows:

$$\overline{\mu\beta^B.[\alpha] N} = \overline{\mu}\beta^B.(N : \text{let } x = _ \text{ in } [\alpha] x)$$

2. The infix operator $:$, $\lambda\mu_V$ -terms $\times \overline{\lambda\mu}_Q$ -contexts $\mapsto \overline{\lambda\mu}_Q$ -contexts is defined as follows:

$$\begin{aligned} v : \text{let } y = _ \text{ in } S &= S [y := \Psi(v)] \\ \mu\alpha.[\beta] M : \text{let } y = _ \text{ in } S &= \text{let } y = \overline{\mu\alpha}.[\beta] M \text{ in } S \\ MN : \text{let } y = _ \text{ in } S &= M : \text{let } z = _ \text{ in } (N : \text{let } x = _ \text{ in } (\text{let } y = zx \text{ in } S)) \\ MB : \text{let } y = _ \text{ in } S &= M : \text{let } z = _ \text{ in } (\text{let } y = zB \text{ in } S) \end{aligned}$$

3. An auxiliary function Ψ , $\lambda\mu_V$ -values $\mapsto \overline{\lambda\mu}_Q$ -values, is defined as follows:

$$\Psi(x) = x; \quad \Psi(\lambda x.p) = \overline{\lambda}x.\overline{p}; \quad \Psi(\Lambda X.p) = \overline{\Lambda}X.\overline{p}$$

Proof theoretically, this translation is based on Prawitz's observation to simulate natural deduction-style by Gentzen's sequent style logic (Prawitz65).

For example, the application pq can be written in **LKQ** as follows:

$$\frac{\frac{\frac{U: \Rightarrow (A \rightarrow B)^\gamma; \emptyset}{\text{let } z = \bar{\mu}\gamma^{A \rightarrow B}.U \text{ in } (\text{let } x = \bar{\mu}\alpha^A.S \text{ in } (\text{let } y = zx \text{ in } [\beta]y)): \Rightarrow B^\beta; \emptyset} \text{mid}}{\text{let } x = \bar{\mu}\alpha^A.S \text{ in } (\text{let } y = zx \text{ in } [\beta]y): (A \rightarrow B)^z \Rightarrow B^\beta; \emptyset} \text{mid}}{\frac{S: \Rightarrow A^\alpha; \emptyset \quad \text{let } y = zx \text{ in } [\beta]y: A^x, (A \rightarrow B)^z \Rightarrow B^\beta; \emptyset}{x: A^x \Rightarrow; A \quad [\beta]y: B^y \Rightarrow B^\beta; \emptyset} \text{L} \rightarrow} \text{mid}}$$

where $\bar{p} = \bar{\mu}\gamma.U$ and $\bar{q} = \bar{\mu}\alpha.S$.

$$\frac{\frac{\overline{A \Rightarrow ; A} \text{ Ax}}{A, A \rightarrow B \Rightarrow B;} \quad \frac{\frac{\overline{B \Rightarrow ; B} \text{ Ax}}{B \Rightarrow B;} \text{ D} \quad \vdots}{\Rightarrow A;} \text{ L}\rightarrow}{\frac{A \rightarrow B \Rightarrow B; \quad \Rightarrow A;}{\Rightarrow B;} \text{ mid} \quad \Rightarrow A \rightarrow B; \text{ mid}} \text{ mid}$$

$$\frac{\frac{\overline{A \Rightarrow ; A} \text{ Ax}}{A, A \rightarrow B \Rightarrow B;} \quad \frac{\frac{\overline{B \Rightarrow ; B} \text{ Ax}}{B \Rightarrow B;} \text{ D} \quad \vdots}{\Rightarrow A \rightarrow B;} \text{ L}\rightarrow}{\frac{A \Rightarrow B; \quad \Rightarrow A \rightarrow B;}{\Rightarrow B;} \text{ mid} \quad \Rightarrow A; \text{ mid}} \text{ mid}$$

There are two ways to map **CND** into **LKQ**:

We choose the ζ_V -redex in the application from left-to-right(LR) order. Of course, the opposite order should be studied in its own right.

This phenomena is known in the previous study of CPS; the CBV right-to-left(RL) evaluation method. This kind of CPS-translation was shown, for example, by Murthy(Murthy92).

RL reduction system:

RL Evaluation Context:

$$E := [-] \mid ME \mid Ev \mid EB$$

RL Translation:

$$MN : \text{let } y = _ \text{ in } S = N : \text{let } x = _ \text{ in } (M : \text{let } z = _ \text{ in } (\text{let } y = zx \text{ in } S))$$

Danos-Joinet-Schellinx's theory give a proof theoretical explanation to this phenomenon – they say there are two ways to map **LK** derivations to **LKQ** derivations.

Intuitionistic Decoration:

One can embed **LKQ** into (multiplicative) **LJ** by means of **intuitionistic decoration** method. Formulas of **LJ** are that of second order propositional logic constructed from \cap (multiplicative conjunction) and \supset (multiplicative implication). The introduced **negations** are implications; it is defined as $\overset{\phi}{\neg} A \equiv A \supset \phi$, where ϕ is an arbitrary fixed formula.

Definition 1 (intuitionistic decoration on formulas).
Intuitionistic decoration on formulas are defined as follows:

$$\begin{aligned}
 A^q &:= A \text{ (for } A \text{ atomic)} & (A \rightarrow B)^q &:= \overset{\phi}{\neg} (A^q \cap \overset{\phi}{\neg} B^q) \\
 (A \vee B)^q &:= \overset{\phi}{\neg} (\overset{\phi}{\neg} A^q \cap \overset{\phi}{\neg} B^q) & (A \wedge B)^q &:= A^q \cap B^q \\
 (\forall X.A)^q &:= \forall X. \overset{\phi}{\neg} \overset{\phi}{\neg} A^q
 \end{aligned}$$

Definition 2 (intuitionistic decoration on sequents).
Intuitionistic decoration on sequents are defined as follows:

$$\mathbf{LKQ} \vdash \Gamma \Rightarrow \Delta; \Pi \quad \text{iff} \quad \mathbf{LJ} \vdash \Gamma^q, \overset{\phi}{\neg} \Delta^q \Rightarrow \Pi^q$$

The direct translation from $\lambda\mu_{\vee}$ to λ_{\vee} allows us to recover Hofmann-Streicher-style CPS-translation as follows:

Definition 3 (Hofmann-Streicher-style CPS-translation).

1. The translation $\overline{(-)}$, $\lambda\mu\nu$ - μ -renames \mapsto $\lambda\nu$ -values is defined as follows:

$$\overline{\mu\alpha.[\beta] M} = \lambda\alpha.(M : \lambda y.\beta y)$$

2. The infix operator $:$, $\lambda\mu\nu$ -terms \times $\lambda\nu$ -abstractions \mapsto $\lambda\nu$ -applications is defined as follows:

$$\begin{aligned} v : \lambda y.S &= S [y := \Psi(v)] \\ \mu\alpha.[\beta] M : \lambda y.S &= \overline{(\mu\alpha.[\beta] M)} \lambda y.S \\ MN : \lambda y.S &= M : \lambda z.(N : \lambda x.z \langle x, \lambda y.S \rangle) \\ MB : \lambda y.S &= M : \lambda z.z \langle B, \lambda y.S \rangle \end{aligned}$$

3. An auxiliary function Ψ , $\lambda\mu\nu$ -values \mapsto $\lambda\nu$ -values, is defined as follows:

$$\Psi(x) = x; \quad \Psi(\lambda x.p) = \lambda x.\bar{p}; \quad \Psi(\Lambda X.p) = \Lambda X.\bar{p}$$

Plotkin's' modified CPS-translation:

Above translation can be thought of a variant of Plotkin's modified CPS-translation (Plotkin 75). What is new here is that we use two colons on the translation.

RL system in Hofmann-Streicher-style:

Of course, RL translation is also possible:

$$MN : \lambda y. S = N : \lambda x. (M : \lambda z. z \langle x, \lambda y. S \rangle)$$

Semantics:

Let $(A, \cap, 1)$ with partial order (\leq) be a meet-semilattice. A Heyting semilattice $(A, \cap, 1, \supset)$ is a meet-semilattice with an additional binary operation \supset satisfying

$$a \cap b \leq c \Leftrightarrow a \leq b \supset c$$

Moreover, we have distinguished element $\phi \in A$. We define pseudonegation as follows:

$$\overset{\phi}{\neg} a \stackrel{\text{def}}{=} a \supset \phi$$

Now, we define following operations:

$$\begin{aligned}a^* &= a \\(a \rightarrow b)^* &= \phi(a^* \cap \phi b^*) \\(a \wedge b)^* &= a^* \cap b^* \\(a \vee b)^* &= \phi(\phi a^* \cap \phi b^*) \\(\neg a)^* &= \phi(a^*) \\1^* &= 1 \\0^* &= \phi \\(a \ll b)^* &= \phi b^* \leq \phi a^*\end{aligned}$$

$(A^*, \wedge, \vee, 1, 0, \neg)$ is a boolean algebra with partial order \ll .

- Every proof made in A^* (as boolean algebra) can be translated into the proof in A (as Heyting-semilattice).
- As before, there are two ways to map A^* proof into A proof. We cannot distinguish two proofs in algebraic method. Algebra don't care a bit about the order of application of transitive law.
- However, the order of arrow composition matters in category theory — we may have different arrows according to the order of composition.
- Hence A^* raise a co-control category (Selinger01). Specifically, \rightarrow and \wedge are **binoidal functors** in co-control category. That is, they are not **bifunctors**.

$$\begin{array}{c}
\frac{}{x: A^x \Rightarrow A} \text{Ax} \\
\\
\frac{N: \Rightarrow A, \Delta}{\mu\beta^B.[\alpha] N: \Rightarrow B, ((A^\alpha, \Delta) \setminus B^\beta)} \text{rename} \\
\\
\frac{p: A^x \Rightarrow B}{\lambda x^A.p: \Rightarrow A \rightarrow B} \rightarrow\mathcal{I} \\
\\
\frac{M: \Rightarrow A \rightarrow B \quad N: \Rightarrow A}{MN: \Rightarrow B} \rightarrow\mathcal{E} \\
\\
\frac{p[X := Y]: \Rightarrow A[X := Y]}{\Lambda X.p: \Rightarrow \forall X.A} \forall^2\mathcal{I}^* \\
\\
\frac{M: \Rightarrow \forall X.A}{MB: \Rightarrow A[X := B]} \forall^2\mathcal{E}
\end{array}$$

Table 1. $\lambda\mu_V$ -term Assignment for **CND**

$$\frac{}{x: A^x \Rightarrow ; A} \text{Ax}$$

$$\frac{V: \Rightarrow ; A}{[\alpha]V: \Rightarrow A^\alpha ; \emptyset} \text{D}$$

$$\frac{V: \Rightarrow ; A \quad U: B^y \Rightarrow ; \emptyset}{\text{let } y = zV \text{ in } U: (A \rightarrow B)^z \Rightarrow ; \emptyset} \text{L}\rightarrow$$

$$\frac{T: A^x \Rightarrow B^\beta ; \emptyset}{\bar{\lambda}x^A.\bar{\mu}\beta^B.T: \Rightarrow ; A \rightarrow B} \text{R}\rightarrow$$

Table 2. $\bar{\lambda}\bar{\mu}_Q$ -term Assignment for **LKQ**

$$\frac{V: \Rightarrow ; A \quad T: A^x \Rightarrow ; \emptyset}{\text{let } x = V \text{ in } T: \Rightarrow ; \emptyset} \text{tail}$$

$$\frac{S: \Rightarrow A^\alpha ; \emptyset \quad T: A^x \Rightarrow ; \emptyset}{\text{let } x = \bar{\mu}\alpha.S \text{ in } T: \Rightarrow ; \emptyset} \text{mid}$$

$$\frac{U: (A[X := B])^x \Rightarrow ; \emptyset}{\text{let } x = zB \text{ in } U: (\forall X.A)^z \Rightarrow ; \emptyset} \text{L}\forall^2$$

$$\frac{T[X := Y]: \Rightarrow (A[X := Y])^\alpha ; \emptyset}{\bar{\lambda}X.\bar{\mu}\alpha^A.T: \Rightarrow ; \forall X.A} \text{R}\forall^{2*}$$

Table 3. $\bar{\lambda}\mu_{\text{Q}}$ -term Assignment for **LKQ** — continued

$$\frac{S: \Rightarrow A \quad U: B^y \Rightarrow C}{(\lambda y^B.U)(zx): z^{(A \supset B)} \Rightarrow C} \text{L}\supset$$

$$\frac{T: A^x \Rightarrow B}{\lambda x^A.T: \Rightarrow A \supset B} \text{R}\supset$$

$$\frac{T: A^x, B^y \Rightarrow C}{T: (A \cap B)^{\langle x, y \rangle} \Rightarrow C} \text{L}\cap$$

$$\frac{S: \Rightarrow A \quad T: \Rightarrow B}{\langle S, T \rangle: \Rightarrow A \cap B} \text{R}\cap$$

Table 4. λ -term Assignment for **LJ**

$$\frac{}{x: A^x \Rightarrow A} \text{Ax}$$

$$\frac{S: \Rightarrow A \quad T: A^x \Rightarrow C}{(\lambda x^A.T)S: \Rightarrow C} \text{cut}$$

$$\frac{U: (A[X := B])^x \Rightarrow C}{(\lambda x^{A[X:=B]}.U)(zB): (\forall X.A)^z \Rightarrow C} \text{L}\forall^2$$

$$\frac{T[X := Y]: \Rightarrow A[X := Y]}{\Lambda X.T: \Rightarrow \forall X.A} \text{R}\forall^{2*}$$

Table 5. λ -term Assignment for **LJ** — continued